

BID CLARIFICATION #2

July 31, 2015

DUE DATE: August 6, 2015
TIME: 2:00 p.m.
PROJECT: Main Accumulation Areas for Regulated Wastes (MAA)
PROJECT NO: #901807
LOCATION: University of Connecticut
Capital Projects & Contract Administration
3 North Hillside Road
Storrs, CT 06269
Attn: Amy Allen

Please note the following information must be incorporated into your bid for the Main Accumulation Areas for Regulated Wastes (MAA), Project #901807:

- 1) See attached RFI response log.
- 2) See attached Addendum Number 4 from Tai Soo Kim dated July 31, 2015.
- 3) See attached revised Form of Proposal.
- 4) Please note, Drawing C-22 attached to Addendum Number 2 is in response to RFI Question #3 from the first bid.

The University of Connecticut Bid Submission Requirements:

- All bidders must submit their Form of Proposal along with all required forms and any associated bid clarifications as your firm's bid proposal. All required forms must be completed.
- All bidders must initial the bottom of each page within their bid proposal and associated Bid Clarifications attesting to the fact that you have reviewed, read, understood, and accepted the information and terms and conditions within, without exception.
- **YOUR BID PROPOSAL MAY BE CONSIDERED NON-RESPONSIVE AND MAY NOT BE REVIEWED FOR FAILURE TO SUBMIT ALL OF THE ABOVE DOCUMENTATION (ALONG WITH ANY OTHER DOCUMENTATION DETAILED IN THE BID DOCUMENTS AND SPECIFICATIONS)**
- All bid awards must be approved by the University of Connecticut. After review of all factors, terms and conditions, including price, the University of Connecticut reserves the right to reject any and all proposal, or any part thereof, or waive defects in same, or accept any proposal deemed to be in the best interest of the University of Connecticut

University of Connecticut
Amy Allen, Purchasing Agent II

RFI LOG - Project 901807 Main Accumulation Area

RFI No.	Company Name	Date Submitted	Question	Response Req'd From	Response
1	O&G Industries	7/28/2015	No invitation to bid was included with the re-bid documents. Please provide.	UCONN	See Bid Clarification #01.
2	O&G Industries	7/29/2015	Chemical Lab Storage and Waste Storage are listed as Class1, Division 1 on Drawing E102. In conflict, on Drawing E101, they are listed as Class 1, Division 2. Please clarify.	LEA	Noted to clarify in Addendum #2 E102 and E101 should be consistent that Class 1 Division 1 applies ONLY to Rooms: 104, 104A and 104B. All electrical devices, alarms, sensors and fire safety devices within those rooms should be Class 1 Division 1 intrinsically safe.
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ADDENDUM NUMBER 4
July 31, 2015

The following clarifications are applicable to drawings and specifications for the project referenced above.

DRAWINGS**Item 4-1****CIVIL PLANS – Infiltration Trench Clarification**

The infiltration trenches for this project have been design around the StormTech Subsurface Stormwater Management system. Alternate manufacturer's may be submitted provided that adequate supporting computations are provided to document meeting or exceeding the performance and structural criteria of the StormTech system as designed. The following technical data is provided for comparison basis.

Trench A

- 64.04-feet long by 11.5-feet wide
- Contains 3 rows of 9 Storm Tech infiltration chambers (16-inch high by 34-inch wide arches)
- Bottom elevation at 598.67-feet, 2.33 feet in depth
- Wetted bottom area of 736.5 sq.ft.
- Infiltration capacity of 115.2 cu.ft./hr (based on 1.2 in/hr infiltration rate)
- Trench filled with ¾-inch crushed stone, with a void space of 40%
- Storage capacity of 923.9 cu.ft. (395.8 cu.ft. in storm chambers, 528.1 cu.ft. in crushed stone)
- Hydraulic volume capacity of 3,188 cu.ft. during 50-year, 24-hour storm event
 - Assumed 6-hour lag time before infiltration occurs
- Capable of handling peak inflow of 0.691 cfs
- Equipped with high-level overflow

Trench B

- 83.14-feet long by 19.16-feet wide
- Contains 5 rows of 11 Storm Tech infiltration chambers (16-inch high by 34-inch wide arches)
- Bottom elevation at 593.62-feet, 2.33 feet in depth
- Wetted bottom area of 1500.5 sq.ft.

- Infiltration capacity of 234.4 cu.ft./hr (based on 1.2 in/hr infiltration rate)
- Trench filled with ¾-inch crushed stone, with a void space of 40%
- Storage capacity of 1469 cu.ft. (645.1 cu.ft. in storm chambers, 823.9 cu.ft. in crushed stone)
- Hydraulic volume capacity of 5,392 cu.ft. during 50-year, 24-hour storm event
 - Assumed 6-hour lag time before infiltration occurs
- Capable of handling peak inflow of 1.663 cfs

General Installation Notes

- Each trench will be capable of supporting a minimum of 24,000 pounds [10,886 kg] per square foot (i.e. three times the AASHTO H-20 Wheel Load Rating)
- Line trench walls with a 4-ounce non-woven filter fabric. Overlap adjacent filter fabric by at least 2-feet. Do not place filter fabric beneath chambers.
- Place 6-inches of crushed stone on the bottom of the trench. The base must be level and at a zero grade.

Item 4-2

DRAWING A1.11 - FIRST FLOOR PLAN and SPECIFICATION SECTION 124816 ENTRANCE MATS, FLOOR GRILLES AND FRAMES

Change Walk Off Mats (2 locations) from specified recessed grille and frame to the following:

Basis of Design: Berber Tile by Mats, Inc.
 Material: 100% solution dyed UV stabilized polypropylene fibers.
 Format: 19 11/16" x 19 11/16" Tile.
 Pile Thickness: 5/32".
 Tile Thickness: 3/8".
 Installation: Glue Down (Semi-Permanent).

Provide sizes shown on the drawings.

Item 4-3

MECHANICAL DRAWINGS

Air Outlet and Inlet Schedule – change type D size from 12"x12" to 12" Round.

Modify the diffuser/return type and air flow data as follows:

Room Number	Existing	New
101A	C200	H200

103	D150(2)	C150(2)
104	A875(4)	A705(4)
104	RETURNS(2)	1535(2)
C1B	P150	C150
104A	E500	B500
104B	RETURN	700
106	D260	D200
106	E260	E200
106	E340	E280
106	D340	D280
106A	B700(2)	B680(2)
106A	F1400	F1360
107	E660	E580
107	A875	D100
108	A875	D160
108	E700	E160

Item 4-4

SPECIFICATION

Add Specification Section 093000 CERAMIC TILE, attached to this Addendum.

ATTACHMENTS

SPECIFICATION SECTION 093000 CERAMIC TILE.

RFI LOG WITH RESPONSES.

END OF ADDENDUM #4

SECTION 093000 - CERAMIC TILE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. Sustainable Design Intent: Comply with project requirements intended to achieve sustainable design, measured and documented according to the CT High Performance Building Standard Mandatory Requirements and LEED Green Building Rating System, of the United States Green Building Council. Refer to Section 018113.13 – SUSTAINABLE DESIGN REQUIREMENTS for certification level and certification requirements.
- B. This Section includes the following:
 - 1. Ceramic wall tile.
 - 2. Ceramic floor tile.
- C. Related Sections include the following:
 - 1. Division 01 Section “Sustainable Design Requirements” for additional LEED/CT High Performance Buildings requirements.
 - 2. Division 01 Section “Construction Waste Management and Disposal” for salvaging, recycling, and disposing of non hazardous waste.
 - 3. Division 7 Section "Joint Sealants" for sealing of expansion, contraction, control, and isolation joints in tile surfaces.
 - 4. Division 10 Section “Toilet and Bath Accessories”.

1.3 DEFINITIONS

- A. Module Size: Actual tile size (minor facial dimension as measured per ASTM C 499 plus joint width indicated).
- B. Facial Dimension: Actual tile size (minor facial dimension as measured per ASTM C 499).
- C. Facial Dimension: Nominal tile size as defined in ANSI A137.1.

1.4 LEED SUBMITTALS

- A. MRc4: “Product data for Credit MRc4: For products having recycled content, documentation indicating percentages by weight of postconsumer and preconsumer recycled content and cost.”
- B. MRc5: “Product certification for Credit MRc5: For products and materials required to comply with requirements for Regional Materials including location and distance to Project from point of material manufacture and extraction, harvest or recovery for each raw material. Include statement indicating cost of each regional material and the fraction by weight that is considered regional.”
- C. IEQc4.1: “Product Data for adhesives and sealants used on the interior of the building indicating VOC content of each product used. List each product including manufacturer’s name, product name, specific VOC data and corresponding allowable VOC from list identified in 018113.13.

1.5 SUBMITTALS

- A. Product Data: For each type of product indicated.
- B. Samples for Initial Selection: For each type of tile and grout indicated. Include Samples of accessories involving color selection.
- C. Product Certificates: For each type of product, signed by product manufacturer.
- D. Qualification Data: For Installer.
- E. Material Test Reports: For each tile-setting and -grouting product.

1.6 QUALITY ASSURANCE

- A. Source Limitations for Tile: Obtain all tile of same type from one source or producer.
 - 1. Obtain tile from same production run and of consistent quality in appearance and physical properties for each contiguous area.
- B. Source Limitations for Setting and Grouting Materials: Obtain ingredients of a uniform quality for each mortar, adhesive, and grout component from a single manufacturer and each aggregate from one source or producer.
- C. Source Limitations for Other Products: Obtain each of the following products specified in this Section through one source from a single manufacturer for each product:
- D. Preinstallation Conference: Conduct conference at Project site.
 - 1. Review requirements in ANSI A108.01 for substrates and for preparation by other trades.

1.7 DELIVERY, STORAGE, AND HANDLING

- A. Deliver and store packaged materials in original containers with seals unbroken and labels intact until time of use. Comply with requirement in ANSI A137.1 for labeling sealed tile packages.
- B. Store tile and cementitious materials on elevated platforms, under cover, and in a dry location.
- C. Store aggregates where grading and other required characteristics can be maintained and contamination avoided.
- D. Store adhesives in unopened containers and protected from freezing.
- E. Handle tile that has temporary protective coating on exposed surfaces to prevent coated surfaces from contacting backs or edges of other units. If coating does contact bonding surfaces of tile, remove coating from bonding surfaces before setting tile.

1.8 PROJECT CONDITIONS

- A. Environmental Limitations: Do not install tile until construction in spaces is complete and ambient temperature and humidity conditions are maintained at the levels indicated in referenced standards and manufacturer's written instructions.

1.9 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Tile and Trim Units: Furnish quantity of full-size units equal to 5 percent of amount installed, for each type, composition, color, pattern, and size indicated.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. In other Part 2 articles where titles below introduce lists, the following requirements apply for product selection:
 - 1. Manufacturers: Subject to compliance with requirements, provide products by one of the manufacturers specified.

2.2 PRODUCTS, GENERAL

- A. ANSI Ceramic Tile Standard: Provide tile that complies with ANSI A137.1, "Specifications for Ceramic Tile," for types, compositions, and other characteristics indicated.
 - 1. Provide tile complying with Standard grade requirements, unless otherwise indicated.

2. For facial dimensions of tile, comply with requirements relating to tile sizes specified in Part 1 "Definitions" Article.
- B. ANSI Standards for Tile Installation Materials: Provide materials complying with ANSI standards referenced in "Setting and Grouting Materials" Article.
- C. Colors, Textures, and Patterns: Where manufacturer's standard products are indicated for tile, grout, and other products requiring selection of colors, surface textures, patterns, and other appearance characteristics, provide specific products or materials complying with the following requirements:
 1. As selected by Architect from manufacturer's full range.
- D. Factory Blending: For tile exhibiting color variations within ranges selected during Sample submittals, blend tile in factory and package so tile units taken from one package show same range in colors as those taken from other packages and match approved Samples.
- E. Mounting: For factory-mounted tile, provide back- or edge-mounted tile assemblies as standard with manufacturer, unless otherwise indicated.
 1. Where tile is indicated for installation in wet areas, do not use back- or edge-mounted tile assemblies unless tile manufacturer specifies in writing that this type of mounting is suitable for installation indicated and has a record of successful in-service performance.

2.3 TILE PRODUCTS

- A. Ceramic Wall Tile, Floor Tile, and Trim:
 1. Basis of Design Manufacturer: Subject to compliance with requirements, provide Daltile; Div. of Dal-Tile International Inc., Keystones Colorbody Pocelain, or equal product by one of the following:
 - a. American Olean; Div. of Dal-Tile International Corp.
 - b. Buchtal Corporation USA.
 - c. Crosby Tiles.
 2. Size: 2" x 2".
 3. Color: 2 colors per room, 1 wall color and 1 floor/base color. Colors to be selected from price groups 1 and 2.
- B. Ceramic Trim Units: Matching characteristics of adjoining flat tile and coordinated with sizes and coursing of adjoining flat tile where applicable. Provide shapes as follows, selected from manufacturer's standard shapes:
 1. Base Cove: Cove, module matching wall tile size.
 2. External Corners: Bullnose, module matching wall tile size.
 3. Internal Corners: Cove, module matching wall tile size.

2.4 SETTING AND GROUTING MATERIALS

- A. Manufacturers:
 - 1. Custom Building Products.
 - 2. LATICRETE International Inc.
 - 3. MAPEI Corporation.
 - 4. Summitville Tiles, Inc.
- B. Portland Cement Mortar (mortar bed at walls) Installation Materials: ANSI A108.1A (wet-set method) and as specified below:
 - 1. Latex Additive: Manufacturer's standard water emulsion, serving as replacement for part or all of gaging water, of type specifically recommended by latex-additive manufacturer for use with field-mixed portland cement and aggregate mortar bed.
- C. Latex-Portland Cement Mortar (wall bond coat): ANSI A118.4, consisting of the following:
 - 1. Prepackaged dry-mortar mix combined with acrylic resin or styrene-butadiene-rubber liquid-latex additive.
 - a. For wall applications, provide nonsagging mortar that complies with Paragraph F-4.6.1 in addition to the other requirements in ANSI A118.4.
- D. Standard Sanded Cement Grout for joints 1/8" and wider: ANSI A118.6, color as selected from manufacturer's full range.
- E. Standard Unsanded Cement Grout for joints less than 1/8": ANSI A118.6, color as selected from manufacturer's full range.
- F. Plyomer Modified Tile Grout: ANSI A118.7, color as selected from manufacturer's full range.
 - 1. Acrylic resin or styrene-butadiene rubber in liquid-latex form for addition to pre-packaged dry-grout mix.
 - a. Unsanded grout mixture for joints 1/8" and narrower.
 - b. Sanded grout mixture for joints 1/8" and wider.

2.5 ELASTOMERIC SEALANTS

- A. General: Provide manufacturer's standard chemically curing, elastomeric sealants of base polymer and characteristics indicated that comply with applicable requirements in Division 7 Section "Joint Sealants."
- B. Colors: Provide colors of exposed sealants to match colors of grout in tile adjoining sealed joints, unless otherwise indicated.

2.6 MISCELLANEOUS MATERIALS

- A. Trowelable Underlayments and Patching Compounds: Latex-modified, portland cement-based formulation provided or approved by manufacturer of tile-setting materials for installations indicated.
- B. Tile Cleaner: A neutral cleaner capable of removing soil and residue without harming tile and grout surfaces, specifically approved for materials and installations indicated by tile and grout manufacturers.
- C. Grout Sealer: Manufacturer's standard silicone product for sealing grout joints that does not change color or appearance of grout.
 - 1. Products:
 - a. Custom Building Products; Grout Sealer.
 - b. MAPEI Corporation; KER 003, Silicone Spray Sealer for Cementitious Tile Grout.
 - c. Southern Grouts & Mortars, Inc.; Silicone Grout Sealer.
 - d. Summitville Tiles, Inc.; SL-15, Invisible Seal Penetrating Grout and Tile Sealer.

2.7 MIXING MORTARS AND GROUT

- A. Mix mortars and grouts to comply with referenced standards and mortar and grout manufacturers' written instructions.
- B. Add materials, water, and additives in accurate proportions.
- C. Obtain and use type of mixing equipment, mixer speeds, mixing containers, mixing time, and other procedures to produce mortars and grouts of uniform quality with optimum performance characteristics for installations indicated.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine substrates, areas, and conditions where tile will be installed, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of installed tile.
 - 1. Verify that substrates for setting tile are firm; dry; clean; free of oil, waxy films, and curing compounds; and within flatness tolerances required by referenced ANSI A108 Series of tile installation standards for installations indicated.
 - 2. Verify that installation of grounds, anchors, recessed frames, electrical and mechanical units of work, and similar items located in or behind tile has been completed before installing tile.

3. Verify that joints and cracks in tile substrates are coordinated with tile joint locations; if not coordinated, adjust joint locations in consultation with Architect.

B. Proceed with installation only after unsatisfactory conditions have been corrected.

3.2 PREPARATION

A. Remove coatings, including curing compounds and other substances that contain soap, wax, oil, or silicone, that are incompatible with tile-setting materials.

B. Blending: For tile exhibiting color variations within ranges selected during Sample submittals, verify that tile has been factory blended and packaged so tile units taken from one package show same range of colors as those taken from other packages and match approved Samples. If not factory blended, either return to manufacturer or blend tiles at Project site before installing.

C. Field-Applied Temporary Protective Coating: Where indicated under tile type or needed to prevent grout from staining or adhering to exposed tile surfaces, precoat them with continuous film of temporary protective coating, taking care not to coat unexposed tile surfaces.

3.3 INSTALLATION, GENERAL

A. ANSI Tile Installation Standards: Comply with parts of ANSI A108 Series "Specifications for Installation of Ceramic Tile" that apply to types of setting and grouting materials and to methods indicated in ceramic tile installation schedules.

B. TCA Installation Guidelines: TCA's "Handbook for Ceramic Tile Installation." Comply with TCA installation methods indicated in ceramic tile installation schedules.

C. Extend tile work into recesses and under or behind equipment and fixtures to form complete covering without interruptions, unless otherwise indicated. Terminate work neatly at obstructions, edges, and corners without disrupting pattern or joint alignments.

D. Accurately form intersections and returns. Perform cutting and drilling of tile without marring visible surfaces. Carefully grind cut edges of tile abutting trim, finish, or built-in items for straight aligned joints. Fit tile closely to electrical outlets, piping, fixtures, and other penetrations so plates, collars, or covers overlap tile.

E. Jointing Pattern: Lay tile in grid pattern, unless otherwise indicated. Align joints when adjoining tiles on base, walls, and trim are same size. Lay out tile work and center tile fields in both directions in each space or on each wall area. Adjust to minimize tile cutting. Provide uniform joint widths, unless otherwise indicated.

1. For tile mounted in sheets, make joints between tile sheets same width as joints within tile sheets so joints between sheets are not apparent in finished work.

F. Grout tile to comply with requirements of the following tile installation standards:

1. For ceramic tile grouts (sand-portland cement; dry-set, commercial portland cement; and latex-portland cement grouts), comply with ANSI A108.10.

3.4 WALL TILE INSTALLATION

- A. Install types of tile designated for wall installations to comply with requirements in the Wall Tile Installation Schedule, including those referencing TCA installation methods and ANSI setting-bed standards.
- B. Joint Widths: Install tile on walls with the following joint widths:
 1. Ceramic Tile: 1/16 inch.

3.5 CLEANING AND PROTECTING

- A. Cleaning: On completion of placement and grouting, clean all ceramic tile surfaces so they are free of foreign matter.
 1. Remove grout residue from tile as soon as possible.
 2. Clean grout smears and haze from tile according to tile and grout manufacturer's written instructions, but no sooner than 10 days after installation. Use only cleaners recommended by tile and grout manufacturers and only after determining that cleaners are safe to use by testing on samples of tile and other surfaces to be cleaned. Protect metal surfaces and plumbing fixtures from effects of cleaning. Flush surfaces with clean water before and after cleaning.
 3. Remove temporary protective coating by method recommended by coating manufacturer that is acceptable to tile and grout manufacturer. Trap and remove coating to prevent it from clogging drains.
- B. When recommended by tile manufacturer, apply coat of neutral protective cleaner to completed tile walls. Protect installed tile work with kraft paper or other heavy covering during construction period to prevent staining, damage, and wear.
- C. Before final inspection, remove protective coverings and rinse neutral cleaner from tile surfaces.

3.6 FLOOR TILE INSTALLATION SCHEDULE

- A. Tile Installation for Unglazed Ceramic Mosaic Tile where slabs are not depressed: Interior floor installation for new slab on grade concrete; thin-set mortar; TCA F113-07.
 1. Tile Type: Unglazed ceramic mosaic or porcelain tile.
 2. Thin-Set Mortar: Latex- portland cement mortar, ANSI A118.4 3/32" minimum thickness.
 3. Grout: Standard sanded cement grout, ANSI 118.6.
 4. Movement Joint: TCA EJ171.

3.7 WALL TILE INSTALLATION SCHEDULE

- A. Tile Installation for interior wall installation over new or existing masonry or concrete; cement mortar bed, bonded: TCA W211-07.
1. Tile Type: Glazed ceramic mosaic wall tile.
 2. Mortar Bed: Latex-portland cement mortar, ANSI 108.1A, 3/8" thick.
 3. Bond Coat: Portland cement paste.
 4. Grout: Standard sanded cement grout, ANSI A118.6.
 5. Movement Joint: TCA EJ171.

END OF SECTION 093000

RFI LOG - Project 901807 Main Accumulation Area

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**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

Proposal Submission Checklist

The following documents and information shall be submitted and included as your bid proposal. All documents must be submitted in a sealed envelope reflecting the submitting firm's name and address; addressed to CPCA attention to the appropriate purchasing agent, clearly stating the project name and project number. All required documents are to be included and executed in their original condition as issued.

- Ethics Forms
- Bid Bond
- Fully executed Form of Proposal
- Copies of prequalification certificate and an updated statement as prescribed by Commissioner of Administrative Services for the State of Connecticut.
- How did you learn about this Project?
 - Hartford Courant
 - Waterbury Republican
 - Norwich Bulletin
 - DAS
 - CPCA Website
 - Other _____

Contractors Certification

By submitting a bid proposal, the bidder is attesting to the review, reading, understanding, and acceptance of the information and requirements of the project contained within the bid documents without exception. By submitting a bid proposal, the Bidder represents that they have examined the site, and accept the conditions under which the work will be performed and we have read, evaluated, understand, and accepted all the Contract Documents, including those documents provided for on the Disk, and their content in their entirety and have included all provisions necessary to accomplish all work according to the information and requirements prescribed therein without exception.

SUBMITTED BY:

Firm: _____

Date: _____

Address: _____

SUBMITTED BY: _____

Print Name: _____

Title: _____

Telephone: _____

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

University of Connecticut
Amy Allen, Purchasing Agent II
Capital Project & Contract Administration
3 North Hillside Road, Unit 6047
Storrs, Connecticut 06269-6047

Dear Ms. Allen:

1. In accordance with Connecticut General Statutes Sections 10a-109a through 10a-109y and pursuant to, and in compliance with your Invitation to Bid, the Notice and Instructions to Bidders, the Form of Contract, including the conditions thereto, the form of required bond, I (we) propose to furnish the labor and/or materials installed as required for the project named and numbered on the FORM OF PROPOSAL of this proposal to the extent of the Proposal submitted herein, furnishing all necessary equipment, machinery, tools, labor and other means of construction, and all materials specified in the manner and at the time prescribed strictly in accordance with the provisions of the Contract including specifications and/or drawings together with all addenda issued and received prior to the scheduled closing time for the receipt of the bids, and in conformity with requirements of the University of Connecticut and any laws or departmental regulations of the State of Connecticut or of the United States which may affect the same, for and in consideration of the price(s) stated on the said FORM OF PROPOSAL, hereof.
2. The Lump Sum Base Bid by me (us) on the FORM OF PROPOSAL includes all work indicated on the drawings and/or described in the specifications (including the furnishing and installing of all required materials, labor, equipment and allowances where applicable), except:
 - A. Work covered by Alternates as may be listed on the FORM OF PROPOSAL.
 - B. Contingent work covered by Unit Prices as may be listed on the FORM OF PROPOSAL.
 - C. Work covered by Options as may be listed on the FORM OF PROPOSAL.
3. This proposal is submitted subject to and in compliance with the foregoing and following conditions and/or information.
 - A. AWARD: All proposals shall be subject to the provisions and requirements of the Bid Documents and for purpose of award, consideration shall be given only to proposals submitted by qualified and responsible bidders.
 - B. COMMENCEMENT AND COMPLETION OF WORK: Contractor shall commence and complete the work in accordance with the requirements of the Contract Documents.
 - C. If the Contractor fails to complete the work within the time required by the Contract Documents, the University shall have the right to assess liquidated damages as provided in Paragraph 9.11 of the General Conditions.
 - D. CONTRACTORS INSURANCE REQUIRED:
 1. The limits of liability and coverages shall be those set forth in Article 11 of the General Conditions included with this bid package (or as previously executed with the on-call trade contract).
 - E. REQUIRED PERCENTAGES OF WORK AND SET-ASIDES

FORM OF PROPOSAL

- .1 If awarded this contract, we (I) as the General Contractor on this Project shall be required to perform not less than 10% of the completed dollar value of the Work with its own forces.

- .2 We (I) as the General Contractor on this project shall award not less than 25% of the total Contract Price to subcontractors who are certified and eligible to participate under the State of Connecticut Small Business Set Aside Program, of which 6.25% (of the total Contract) must be awarded to Women Owned or Minority Businesses. This requirement must be met even if the General Contractor is certified and eligible to participate in the Small Business Set Aside Program. To facilitate compliance with this requirement for set aside subcontractors, submit a list of certified set aside contractors to be used on this project along with the dollar amounts to be paid to each, on the form provided, and a copy of their current certification must be attached. This information will be considered as part of your bid proposal and failure to comply with any portion of this requirement, including but not limited to failure to list or meet the necessary dollar amount of percentage of the bid price will be cause to reject your bid.

F. NONDISCRIMINATION & LABOR RECRUITMENT:

We (I) agree that the Contract awarded for this project shall be subject to the Executive Orders No. Three and Seventeen, promulgated June 16, 1971 and February 15, 1973 respectively and to the Guidelines and Rules of the State Labor Department implementing Executive Order No. Three and further agree to submit reports of Compliance Staffing on Labor Department Form E.O.3-1, when and as requested.

G. FEDERAL & STATE WAGE DETERMINATIONS AND PRICING CONSIDERATION:

- .1 Each contractor who is awarded a contract on or after October 1, 2002 shall be subject to provisions of the Connecticut General Statutes, Section 31-53 as amended by Public Act 02-69, "An Act Concerning Annual Adjustments to Prevailing Wages".

- .2 In determining bid price, consideration should be given to Section 31-53 of the General Statutes of Connecticut as amended by Public Act 02-69, "An Act Concerning Annual Adjustments to Prevailing Wages". Such prevailing wage adjustment will not be considered a basis for an annual contract adjustment.

- .3 The State of Connecticut Labor Department Wage Schedule where required, shall be provided with these documents, typically with the Bidders' Convenience Package, or will be incorporated in the Contract Documents as an Addendum. At the time of bidding, the bidder agrees to accept the current prevailing wage scale, as well as any annual adjustment to the prevailing wage scale, as provided by the Connecticut Department of Labor. Wage Rates will be posted each July 1st on the Department of Labor website: www.ctdol.state.ct.us . Such prevailing wage adjustment will not be considered a basis for an annual contract amendment.

H. CERTIFICATION OF BIDDER REGARDING EQUAL EMPLOYMENT OPPORTUNITY & NON-SEGREGATED FACILITIES:

We (I) acknowledge that we (I) and our subcontractors are obligated to fill out the forms provided by the University of Connecticut Office of Capital Project and Contract Administration and to agree to certify to the compliance of non-segregated facilities.

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

I. NOTICE TO EXECUTIVE BRANCH STATE CONTRACTORS AND PROSPECTIVE STATE CONTRACTORS OR CAMPAIGN CONTRIBUTION AND SOLICITATION BAN.

With regard to a State contract as defined in P.A. 07-1 having a value in a contract year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this submission in response to the State's solicitation expressly acknowledges receipt of the State Elections Enforcement Commission's notice advertising prospective principals of the contents of the notice. See Attachment SEEC Form 11.

4. ACCOMPANYING THIS PROPOSAL IS:

A. A CERTIFIED CHECK drawn to the order of the University of Connecticut in the amount of 10% of the Bid, i.e.:

_____ DOLLARS \$ _____

and drawn on the _____
(STATE BANK & TRUST COMPANY)

_____ located at _____
(A NATIONAL BANKING ASSOCIATION) (CITY & STATE)

which is understood shall be cashed and the proceeds thereof used so far as may be necessary to reimburse the State of Connecticut for losses and damages arising by virtue of my (our) failure to file the required Bonds and execute the required contract in this proposal as accepted by the University of Connecticut.

OR;

B. A BID BOND having as surety thereto a Surety Company for Companies authorized to transact business in the State of Connecticut and made out in the penal sum of 10% of the bid, (Bids \$50,000 and greater) i.e.:

_____ DOLLARS \$ _____

If the bidder is a joint venture, the Bid Bond shall specifically identify and include each joint venturer as a principal.

C. If the bidder is a joint venture, a copy of the executed Joint Venture Agreement shall be submitted along with the bid materials.

5. We (I), the undersigned, hereby declare that I am (we are) the only person(s) interested in the proposal and that it is without any connection with any other person making any bid for the same work. No person acting for, or employed by, the State of Connecticut is directly interested in this proposal, or in any contract which may be made under it, or in expected profits to arise therefrom. This proposal is made without directly or indirectly influencing or attempting to influence any other person or corporation to bid or refrain from bidding or to influence the amount of the bid of any other person or corporation. This proposal is made in good faith without collusion or connection with any other person bidding for the same work and this proposal is made with distinct reference and relation to the plans and specifications prepared for this Contract. I (We) further declare that in regard to the conditions affecting the work to be done and the labor

FORM OF PROPOSAL

and materials needed, this proposal is based solely on my (our) investigation and research and not in reliance upon any representations of any employee, officer or agent of the State.

6. Each class of work set forth in a separate Section of the Specifications and designated as a subtrade in Item 2A of the proposal pages shall be the matter of a subcontract made in accordance with the procedures set forth in the Bid and Contract Documents.
7. The undersigned agrees that, if selected as General Contractor, he shall, within ten (10) days, Saturdays, Sundays and legal holidays excluded, after presentation thereof by the University of Connecticut, execute a contract in accordance with the terms of this general bid.
8. The undersigned agrees and warrants that he has made good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials under such contract and shall provide the Commission on Human Rights and Opportunities with such information as is requested by the Commission concerning his employment practices and procedures as they relate to the provisions of the Connecticut General Statutes governing contract requirements.
9. The undersigned acknowledges that should their submitted Form of Proposal fail to have included a copy of your firms prequalification certificate and an updated statement accompany their bid submission, that their bid will be invalid and considered non-responsive. Per CGS 4b-91 amended.

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

A. STANDARD BID BOND:

NOW ALL MEN BY THESE PRESENTS,

That we, _____ hereinafter called the principal, of _____, as principal, and _____, hereinafter called the Surety, a corporation organized and existing under the laws of the State of _____, and duly authorized to transact a surety business in the State of Connecticut, as Surety, are held and firmly bound unto the State of Connecticut, as obligee, in the penal sum of ten (10) percent of the amount of the bid set forth in a proposal hereinafter mentioned, _____, in lawful money of the United States of America, for the payment of which sum, well and truly to be made to the Obligee, the Principal and the Surety bind, themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH,

That, whereas the Principal has submitted or is about to submit a proposal the other obligee related to a contract for the Project Referenced above.

NOW, THEREFORE, if the said contract be awarded to the Principal and the Principal shall, within such time as may be specified, enter in the said contract in writing with the State of Connecticut and give the required bonds, with surety acceptable to the Oligee, or if the Principal shall fail to do so, pay to Obligee the damages which the Obligee may suffer by reason of such failure not exceeding the penal sum of this bond, then this obligation shall be void, otherwise to remain in full force and effect.

SIGNED, SEALED AND DATED this _____ day of _____, 20__

_____ Witness	_____ Surety	_____ Witness	_____ Principal
	_____ Title		_____ Title

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

B. The undersigned proposes to furnish all labor and material required for:

**Main Accumulation Areas for Regulated Wastes (MAA)
#901807**

**University of Connecticut
Storrs, CT**

in accordance with the accompanying Drawings and Specifications prepared by:

Tai Soo Kim Partners Architects

The Contract Price specified below subject to additions and deductions according to the terms of the Contract Documents.

C. BID CLARIFICATIONS:

The undersigned acknowledges receipt of the following Bid Clarifications issued during the bidding period and has included all changes therein in the above base bid amount.

Clarifications/Addenda # _____, Dated _____
Clarifications/Addenda # _____, Dated _____
Clarifications/Addenda # _____, Dated _____
Clarifications/Addenda # _____, Dated _____

D. PROPOSED BASE CONTRACT PRICE:

Having carefully examined the Bid Documents for the above reference project, and having visited the project site and examined all conditions affecting the work, the undersigned, upon written notice of award of contract, agrees to provide all labor, supervision, materials, tools, construction equipment, services, safety, insurance, bonds, and to pay all applicable taxes, and other costs necessary or required to complete the Work of this Bid in full accordance with all Bid Documents and within the required timeframe as indicated by the proposed schedule for the Lump Sum Bid of:

_____ **US Dollars**

(\$ _____) (which incorporates all allowances as may be listed in the plans and specifications)

(Show the amount in both words and figures. In case of discrepancy, amount shown in words will govern.)

The University reserves the right to elect to implement some, all or none of the Alternates and/or Options set forth in the Proposal forms, as may be in the best interest of the University. The low Bid shall be determined by taking the Base Price set forth in the Proposal form as selected by the University, plus the Alternates and/or Options selected by the University.

E. SCHEDULE OF ALTERNATES: (Revised 7/15/15)

Provide Alternate Prices which reflect the work of the bid package under which this bid proposal was submitted and shall remain **valid for the life of the project** and include **all costs** for a complete installation. All pricing is inclusive of all costs of wages, applicable taxes, benefits, and applicable insurance. The Prices herein shall remain valid for the life of the project and include all costs for a complete installation. Alternate prices are good for both adds and deducts.

Alternate No. 1:

Vegetative Roof Pre-Grown Modular System – Provide modules, growing media, plants and edging, as shown on the drawings and specified in Specification Section 075423.

Add: \$ _____

Alternate No. 2:

Building Envelope Air Leakage Test – Provide Orifice Blower Door Test as specified in Section 019119.

Add: \$ _____

Alternate No. 3:

Provide stainless steel in lieu of painted galvanized steel for all exterior hand rails, guard rails, and associated items.

Add: \$ _____

END OF ALTERNATES

F. SCHEDULE OF UNIT PRICES:

All rates are inclusive of all costs of wages, applicable taxes, benefits, applicable insurance. The rates provided will be negotiated and included as part of the contract and of your subcontracts. The Unit Prices herein shall remain valid for the life of the project and include all costs for a complete installation. Unit prices are good for both adds and deducts.

Unit Price 1: Temporary Excavation Support

Description: Provide additional Temporary Excavation Support including excavation, backfilling, tie backs, soil nails and all work required for a complete installation.

\$ _____ Per Fifty (50) Square Feet of Exposed Excavation Face

Unit Price 2: General Rock Excavation

Description: Provide general rock excavation in open areas by ripping. Legally dispose of excavated materials off-site.

\$ _____ Per Cubic Yard

Unit Price 3: Trench Rock Excavation

Description: Provide trench rock excavation in by ripping. Legally dispose of excavated materials off-site.

\$ _____ Per Cubic Yard

Unit Price 4: Sprinkler Head in Acoustical Lay in or Suspended Gypsum Board Ceiling

Description: Provide additional fire sprinkler head in acoustical lay in or gypsum board ceiling.

\$ _____ Per One (1) Sprinkler Head and Associated Piping

Unit Price 5: Sprinkler Head in Area Without Ceiling

Description: Provide additional fire sprinkler head.

\$ _____ Per One (1) Sprinkler Head and Associated Piping

Unit Price 6: Fire Alarm Horn Strobe

Description: Provide additional fire alarm horn strobe with 25 feet of circuiting.

\$ _____ Per One (1) Fire Alarm Horn Strobe with Associated Circuiting

Unit Price 7: Smoke Detector

Description: Provide additional smoke detector with 25 feet of circuiting.

\$_____ Per One (1) Smoke Detector with 25 Feet of Associated Circuiting

Unit Price 8: Duplex Electrical Receptacle

Description: Provide additional duplex electrical receptacle with 25 feet of circuiting.

\$_____ Per One (1) Duplex Electrical Receptacle with Associated Circuiting

Unit Price 9: Data Drop

Description: Provide additional data drop with 100 foot cabling.

\$_____ Per One (1) Data Drop with Associated Cabling

End of Unit Prices

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

G. SCHEDULE OF LABOR RATES:

The following are hourly wage rates for all tradesmen associated with this project for performing extra work. These rates are fully loaded (including benefits, applicable taxes, and worker compensation insurance) and are in accordance with the prevailing wages of the trade having jurisdiction in areas where the work is performed. The wage rates shall be valid for the life of the project. ***NOTE: Further, no mark-up shall be allowed on the premium time portion of the wage rate. At the request of the University, the Contractor will submit labor rate summary sheets, which justify all submitted labor rates. All rates are subject to thorough analysis and subject to reduction if deemed inaccurate by The University of Connecticut.***

TRADE: _____

Attachments: Y / N

Submit one sheet for each Labor Trade (Division) used on project. Copy as needed.

<u>Foreman</u>	<u>Straight Time</u>	<u>Time and One Half</u>	<u>Double Time</u>
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

<u>Journeyman</u>	<u>Straight Time</u>	<u>Time and One Half</u>	<u>Double Time</u>
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

<u>Apprentice</u>	<u>Straight Time</u>	<u>Time and One Half</u>	<u>Double Time</u>
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

<u>Laborer</u>	<u>Straight Time</u>	<u>Time and One Half</u>	<u>Double Time</u>
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

End Labor Rates

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

H. SCHEDULE OF VALUES:

The undersigned agrees that the Schedule of Values submitted with this Bid is a true representation of the distribution of the costs of this project and equals the Stipulated Sum shown above. The Schedule of Values is an integral part of this proposal. Please indicate N/A for those divisions of work not applicable. The costs provided below include the complete cost for furnishing and installing of materials, labor, and equipment required to provide the complete scope of work for each specified division (includes the costs of applicable taxes, insurance, bonds, overhead, profit, small tools, travel, parking, supervision, etc.). The "TOTAL" price must equal your total lump sum bid proposal.

Division	Group	
01	General Conditions	\$
02	Existing Conditions	\$
03	Concrete	\$
04	Masonry	\$
05	Metals	\$
06	Wood, Plastics, Composites	\$
07	Thermal & Moisture Protection	\$
08	Openings	\$
09	Finishes	\$
10	Specialties	\$
11	Equipment	\$
12	Furnishings	\$
13	Special Construction	\$
14	Conveying Equipment	\$
*21	Fire Suppression	\$
22	Plumbing	\$
*23	HVAC Heating, Ventilating, Air Conditioning	\$
25	Integrated Automation	\$
26	Electrical	\$
27	Communications	\$
28	Electronic Safety and Security	\$
*31	Earthwork	\$
32	Exterior Improvements	\$
33	Utilities	\$
34	Transportation	\$
35	Waterway and Marine Construction	\$
*40	Process Integration	\$
41	Material Process & Handling Equipment	\$
42	Process Heating, Cooling & Drying Equipment	\$
43	Process Gas & Liquid Handling, Purification & Storage Eqmt.	\$
44	Pollution & Waste Control Equipment	\$
45	Industry Specific Manufacturing Equipment	\$
46	Water & Wastewater Equipment	\$
*48	Electrical Power Generation	\$
Insurance		\$
Bonds		\$
Allowances	(where applicable)	\$
TOTAL		\$

*Gap in numerical sequence, reserved for future expansion

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

I. The subdivision of Work in the proposed Contract Price is as follows:

ITEM 1 WORK BY GENERAL CONTRACTOR:

For all work other than that to be done by subcontractors included in Item 2A and Item 2B.

\$ _____ **(ITEM 1)**

Note: In accordance with paragraph 3.E.1 this amount, together with work by the general contractor as listed in Item 2A below, must be at least 10% of the total bid price.

ITEM 2A WORK BY SUBCONTRACTORS NAMED:

Subcontractors and prices for the following trades must be listed (if such prices exceed \$25,000). However, the general bidder may list himself together with his price if he customarily performs any of the trades specified. If the general contractor requires a performance and/or labor & material payment bond then the general contractor must indicate below which of the subcontractors are subject to this requirement. The amount (%) shall not exceed the subcontractor's price listed below.

DESCRIPTION	NAME OF SUBCONTRACTOR	DOLLAR AMOUNT	LABOR & MATERIAL BOND	PERFORMANCE BOND
MASONRY				
ELECTRICAL				
MECHANICAL WITHOUT HVAC				
HVAC				

A copy of the executed agreement between the successful bidder and the named subcontractors above must be presented to the Office of CPCA at time of contract signing. The contract may not be executed until copies of executed agreements are received by CPCA.

ITEM 2B WORK BY SUBCONTRACTORS NOT NAMED:

\$ _____
(INCLUDES ALL SUBCONTRACT WORK NOT LISTED IN ITEM 2A)

The undersigned agrees that each of the subcontractors listed on this FORM OF PROPOSAL will be used for the work indicated at the amount stated, unless a substitution is permitted by the University.

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

J. SET-ASIDE CONTRACTOR SCHEDULE

In accordance with Section 5.2 of our Notice and Instructions to Bidders, it is a requirement to list below the names of each currently certified set aside contractor anticipated to be used for this project, along with the dollar amount to be paid each contractor.

The responsibility for listing a qualified and certified set aside contractor, Women Owned Businesses or Minority Businesses, rests solely with the proposer and not the State. **We acknowledge that listing a subcontractor who does not qualify shall be considered the same as not listing one at all and the proposal may be considered non-responsive and subject to rejection.**

<u>Name</u>	<u>Address</u>	<u>Amount</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

The amount is NOT LESS THAN 25% of the proposed base contract price as stated on the Form of Proposal, Section D.

**CERTIFICATE OF ELIGIBILITY HAS BEEN OBTAINED THROUGH THE FOLLOWING WEBSITE;
<https://www.biznet.ct.gov/SupplierDiversity/SDSearch.aspx>
FOR EACH OF THE NAMED CONTRACTORS AND IS BEING SUBMITTED WITH THIS FORM.**

The Undersigned agrees that each of the subcontractors listed on the proposal form will be used for the work indicated at the amount stated, unless a substitution is permitted by the awarding authority.

Authorized Signature

Title

Company Name

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

K. BIDDER CONTRACT COMPLIANCE MONITORING REPORT

PART I - Bidder Information

Company Name Street Address City & State Chief Executive	Bidder Federal Employer Identification Number _____ Or Social Security Number _____
Major Business Activity (brief description)	Bidder Identification (response optional/definitions in Instruction to Bidders page 18) -Bidder is a small contractor. Yes__ No__ -Bidder is a minority business enterprise Yes__ No__ (If yes, check ownership category) Black__ Hispanic__ Asian American__ American Indian/Alaskan Native ____ Iberian Peninsula__ Individual(s) with a Physical Disability__ Female__
Bidder Parent Company(If any)	-Bidder is certified as above by State of CT Yes__ No__ -
Other Locations in Ct. (If any)	DAS Certification Number _____

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

PART II - Bidder Nondiscrimination Policies and Procedures

<p>1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes__ No__</p>	<p>7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.? Yes__ No__</p>
<p>2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes__ No__</p>	<p>8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes__ No__</p>
<p>3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes__ No__</p>	<p>9. Does your company have a mandatory retirement age for all employees? Yes__ No__</p>
<p>4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes__ No__</p>	<p>10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes__ No__ NA__</p>
<p>5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes__ No__</p>	<p>11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes__ No__ NA__</p>
<p>6. Does your company have a collective bargaining agreement with workers? Yes__ No__ 6a. If yes, do the collective bargaining agreements contain non-discrimination clauses covering all workers? Yes__ No__ 6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of Ct? Yes__ No__</p>	<p>12. Does your company have a written affirmative action Plan? If no, please explain. Yes__ No__</p>
	<p>13. Is there a person in your company who is responsible for equal employment opportunity? Yes__ No__ If yes, give name and phone number. _____ _____ _____</p>

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

Part III - Bidder Subcontracting Practices

1. Will the work of this contract include subcontractors or suppliers? Yes__ No__
 1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise as defined on page 1 / use additional sheet if necessary)
 1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a? Yes__ No__

Part IV – Bidder Employment Information

Date:

JOB CATEGORY	OVERALL TOTALS	WHITE (not of Hispanic origin)		BLACK (not of Hispanic origin)		HISPANIC		ASIAN or PACIFIC ISLANDER		AMERICAN INDIAN or ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Management											
Business & Financial Ops											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support											
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction											
Installation, Maintenance & Repair											
Material Moving Workers											
TOTALS ABOVE											
Total One Year Ago											
FORMAL ON THE JOB TRAINEES (ENTER FIGURES FOR THE SAME CATEGORIES AS ARE SHOWN ABOVE)											
Apprentices											
Trainees											

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

PART V - Bidder Hiring and Recruitment Practices

1. Which of the following recruitment sources are used by you? (check yes or not and report percent used)				2. Check (x) any of the below listed requirements that you use as a hiring qualification		3. Describe below any other practices or actions that you take which show that you hire, train, and promote employees without discrimination?
SOURCE	YES	NO	% of applicants provided by source	(x)		
State Employment Service					Work Experience	
Private Employment Agencies					Ability To Speak Or Write English	
Schools And Colleges					Written Tests	
Newspaper Advertisements					High School Diploma	
Walk Ins					College Degree	
Present Employees					Union Membership	
Labor Organizations					Personal Recommendations	
Minority/Community Organizations					Height Or Weight	
Others Please Identify					Car Ownership	
					Arrest Record	
					Wage Garnishments	

Certification (Read this form and check your statements on it CAREFULLY before signing).

I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. SAT.

 Signature)

 (Date Signed)

 (Title)

 (Telephone)

**UNIVERSITY OF CONNECTICUT
 MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
 #901807**

FORM OF PROPOSAL

L. PROPOSER'S QUALIFICATION/RESPONSIBILITY STATEMENT

The Proposer shall have already completed and submitted the Questionnaire and other submission required by the University in its Invitation to Pre-Qualify, regarding the Proposer's qualifications. If changed circumstances arising since the initial submission, or other facts have occurred which would result in a material change to any of the Proposer's initial responses or submissions, the Proposer shall provide any such supplementary, or revised information at this time, along with its Proposal.

1. State, identify any such changed circumstances or other facts and provide any such supplementary or revised information as described above, identifying specifically, by number and content, each prior question, response to the Questionnaire, or information changed, supplemented or revised. (Attach a separate sheet if necessary)
2. State "NONE" if there are no changes to be made. _____

Please note that if the end date of the time period covered by the information submitted during your pre-qualification is three or more months old, please provide current financial documentation demonstrating that your present financial position has remained the same, or showing and identifying any changes in any way, in relation to the audited financial statement you submitted with your pre-qualified application on this proposal.

Dated at	this	day of	20
Name of Organization:			
Signature:			
Print Name:			
Title:			
<u>Notary Statement:</u>			
Mr./Mrs./Ms.		being duly sworn deposes and says that he/she	
is the	of	and that the	
(Position or Title)		(Firm Name)	
answers to the foregoing questions and all statements therein contained are true and correct.			
Subscribed and sworn to before me this		day of	20
Notary Public:			
My Commission Expires:		20	

M. ETHICS FORMS - A duly authorized representative of the company must sign these forms

- √ **These forms must be notarized and clearly show notary seal or acknowledged by a Commissioner of the Superior Court.**
- √ ALL REQUIRED forms, **must be completed, signed and returned** by the bidder/proposer as part of the bid/proposal/RFQ response package.
- √ Failure to submit ALL REQUIRED forms constitutes grounds for rejection of your bid/proposal/RFQ.
- √ If it is determined by the University of Connecticut and/or State of Connecticut that **any information requested was not referenced and submitted** with this bid/proposal/RFQ/LOI, and then such determination **will be just cause for disqualification of the bid/proposal/RFQ.**



**STATE OF CONNECTICUT
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION**

Written or electronic certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250 and 4-252(c); Governor M. Jodi Rell's Executive Orders No. 1, Para. 8, and No. 7C, Para. 10; and C.G.S. §9-612(g)(2)

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:** Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)
 Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "**Gift**" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.



STATE OF CONNECTICUT
AFFIRMATION OF RECEIPT OF STATE ETHICS LAWS SUMMARY

Written or electronic affirmation to accompany a large State construction or procurement contract, having a cost of more than \$500,000, pursuant to Connecticut General Statutes §§ 1-101mm and 1-101qq

INSTRUCTIONS:

Complete all sections of the form. Submit completed form to the awarding State agency or contractor, as directed below.

CHECK ONE:

- I am a person seeking a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency with my bid or proposal. [Check this box if the contract will be awarded through a competitive process.]
- I am a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the awarding State agency at the time of contract execution. [Check this box if the contract was a sole source award.]
- I am a subcontractor or consultant of a contractor who has been awarded a large State construction or procurement contract. I am submitting this affirmation to the contractor.
- I am a contractor who has already filed an affirmation, but I am updating such affirmation either (i) no later than thirty (30) days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

IMPORTANT NOTE:

Within fifteen (15) days after the request of such agency, institution or quasi-public agency for such affirmation contractors shall submit the affirmations of their subcontractors and consultants to the awarding State agency. Failure to submit such affirmations in a timely manner shall be cause for termination of the large State construction or procurement contract.

AFFIRMATION:

I, the undersigned person, contractor, subcontractor, consultant, or the duly authorized representative thereof, affirm (1) receipt of the summary of State ethics laws* developed by the Office of State Ethics pursuant to Connecticut General Statutes § 1-81b and (2) that key employees of such person, contractor, subcontractor, or consultant have read and understand the summary and agree to comply with its provisions.

* The summary of State ethics laws is available on the State of Connecticut's Office of State Ethics website.

Signature

Date

Printed Name

Title

Firm or Corporation (if applicable)

Street Address

City

State

Zip

Awarding State Agency



STATE OF CONNECTICUT

Written or electronic PDF copy of the written certification to accompany a large state contract pursuant to P.A. No. 13-162 (Prohibiting State Contracts With Entities Making Certain Investments In Iran)

Respondent Name: _____

INSTRUCTIONS:

CHECK ONE: Initial Certification.
 Amendment or renewal.

A. Who must complete and submit this form. Effective October 1, 2013, this form must be submitted for any large state contract, as defined in section 4-250 of the Connecticut General Statutes. This form must always be submitted with the bid or proposal, or if there was no bid process, with the resulting contract, regardless of where the principal place of business is located.

Pursuant to P.A. No. 13-162, upon submission of a bid or prior to executing a large state contract, **the certification portion of this form must be completed** by any corporation, general partnership, limited partnership, limited liability partnership, joint venture, nonprofit organization or other business organization **whose principal place of business is located outside of the United States**. United States subsidiaries of foreign corporations are exempt. For purposes of this form, a "foreign corporation" is one that is organized and incorporated outside the United States of America.

Check applicable box:

Respondent's principal place of business is within the United States or Respondent is a United States subsidiary of a foreign corporation. Respondents who check this box **are not required to complete the certification portion of this form**, but must submit this form with its Invitation to Bid ("ITB"), Request for Proposal ("RFP") or contract package if there was no bid process.

Respondent's principal place of business is outside the United States and it is not a United States subsidiary of a foreign corporation. **CERTIFICATION required.** Please complete the certification portion of this form and submit it with the ITB or RFP response or contract package if there was no bid process.

B. Additional definitions.

- 7) "Large state contract" has the same meaning as defined in section 4-250 of the Connecticut General Statutes;
- 8) "Respondent" means the person whose name is set forth at the beginning of this form; and
- 9) "State agency" and "quasi-public agency" have the same meanings as provided in section 1-79 of the Connecticut General Statutes.

C. Certification requirements.

No state agency or quasi-public agency shall enter into any large state contract, or amend or renew any such contract with any Respondent whose principal place of business is located outside the United States and is not a United States subsidiary of a foreign corporation unless the Respondent has submitted this certification.

Complete all sections of this certification and sign and date it, under oath, in the presence of a Commissioner of the Superior Court, a Notary Public or a person authorized to take an oath in another state.

CERTIFICATION:

I, the undersigned, am the official authorized to execute contracts on behalf of the Respondent. I certify that:

Respondent has made no direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010.

Respondent has either made direct investments of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, or Respondent made such an investment prior to October 1, 2013 and has now increased or renewed such an investment on or after said date, or both.

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

OPM Iran Certification Form 7 (Rev. 3-28-14)

Page 2 of 2

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Respondent Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this _____ day of _____, 20____.

Commissioner of the Superior Court (or Notary Public)

My Commission Expires

**UNIVERSITY OF CONNECTICUT
MAIN ACCUMULATION AREAS FOR REGULATED WASTES (MAA)
#901807**

FORM OF PROPOSAL

All pages within the Form of Proposal must be completed, signed by a duly authorized representative of the firm and returned as part of the bid/proposal/RFQ response package. NO FACSIMILE SIGNATURE PERMITTED

- √ **If the form of proposal is being submitted by a Joint Venture, each Joint Venture shall sign the Proposal, and each Joint Venture agrees to be bound by the terms and conditions thereof.**
- √ **Failure to submit ALL REQUIRED forms constitutes grounds for rejection of your bid/proposal/RFQ.**
- √ **If it is determined by the University of Connecticut and/or State of Connecticut that any information requested but not referenced and submitted with this bid/proposal; such determination will be just cause for disqualification of the bid/proposal.**

(TO BE FILLED IN AND SIGNED BY THE BIDDER)

Signed the _____ day of _____ 20____.

Firm Name: _____

Street: _____

City/State/Zip Code: _____

Telephone Number: _____

Fax Number: _____

Duly Authorized/Title: _____

(TO BE FILLED IN AND SIGNED BY JOINT VENTURE IF APPLICABLE)

Firm Name: _____

Street: _____

City/State/Zip Code: _____

Telephone Number: _____

Fax Number: _____

Duly Authorized/Title: _____

Duly Authorized/Title: _____

End of Form of Proposal