

SUBCONTRACTOR PRE-QUALIFICATION STATEMENT

University of Connecticut

UConn Health

Clinic Building Renovation

Project Number: 901737

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FARMINGTON, CONNECTICUT

SUBCONTRACTOR
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FUSCO PROJECT #2730

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INFORMATION FOR PREQUALIFICATION

Applicants for prequalification for the Project must demonstrate that they are responsible and properly qualified to carry out the obligations of the contract, including, but not limited to, the managerial ability, technical ability, financial ability, and integrity to carry out the work, and shall disclose and identify any conflicts of interest.

MANAGERIAL ABILITY

The Applicant shall have on its payroll, or must be able to prove that it customarily employs managerial and supervisory personnel of the type qualified to perform the kind of work which may be called for on any project for which it intends to submit a bid.

The Applicant shall supply with the application, resume of proposed team (Superintendent & Project Manager) for the project including their proposed role, years with company and project relevant experience for which it intends to submit a bid.

The Applicant shall demonstrate that it possesses the managerial resources, capability and commitment necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

TECHNICAL ABILITY

The Applicant or its principals shall have the technical ability and experience to carry out the work properly, safely, and on schedule. The Applicant shall own or possess rented or leased equipment of the type customarily required by contractors in the performance of contract work and that such equipment, if needed, is available for the work for which it intends to bid. The Applicant shall demonstrate that it possesses the technical ability and experience necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

FINANCIAL ABILITY

The Applicant shall be financially responsible and able to satisfactorily perform and complete the work for which it intends to submit a bid. The Applicant shall demonstrate that it possesses the financial ability necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

INTEGRITY

The Applicant shall have a record of harmonious, cooperative, non-adversarial and honest relationships with Owners, including the University of Connecticut and the State of Connecticut if the Applicant has performed work on prior University or State projects, as well as with

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Construction Managers, Architects, Engineers, Consultants, Subcontractors and Suppliers on prior State projects or other projects.

The Applicant shall demonstrate that, by its past and present actions and conduct, and that of its principals and principal employees, it possesses the integrity necessary for and satisfactory to the Construction Manager and University for the proper performance of the work for which it intends to bid.

CONFLICT OF INTEREST

The Applicant shall disclose and identify with its Application, any relationships which may constitute a potential conflict of interest with Purchasing, Architectural & Engineering Services, Capital Project & Contract Administration or any other University organizations or departments; or the Construction Manager, any architect, engineer, consultant, or designer of the proposed project for the purpose of determining whether a conflict of interest exists.

RESERVATION OF RIGHTS

The Fusco Corporation and the University of Connecticut may make such investigation as they deem necessary to determine your firm's responsibility and ability to perform the work. Your firm shall furnish all information and data for this purpose as the Fusco Corporation or the University of Connecticut may further request. The Fusco Corporation and the University of Connecticut reserve the right to reject any Subcontractor Prequalification Statement or to otherwise not prequalify any firm if evidence submitted or investigation fails to satisfy the Fusco Corporation or the University of Connecticut that such firm is responsible and/or properly qualified to carry out the obligations of the Contract and to complete the work within the specified time.

The Fusco Corporation and the University of Connecticut reserve the right to amend or terminate the Invitation to Prequalify, to reject any and all Subcontractor Prequalification Statements, and to waive informalities and irregularities in any Subcontractor Prequalification Statement or supporting documentation.

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Reply to: The Fusco Corporation (mt Tyler@fusco.com)

Submit the pre-qualification package per instructions no later than September 18, 2015 @ 2:00 P.M.

RFI Submission deadline; no later than September 11, 2015 @ 2:00 P.M.

Company Name: _____ Contact: _____

Address: _____ Title: _____

_____ Telephone: _____

Fax: _____

Date Prepared: _____

(List if Applicable)

DAS Prequalification Single Project Value: \$ _____ Current Thru: _____

(Attach current certificate and update statement)

(List if Applicable)

S/MBE Certifications: _____ Current Thru: _____

(Attach certificate(s))

Please complete "Attachment A" and return with prequalification statement.

REQUIRED INFORMATION: (The below information will be used to evaluate the responsibility and integrity of the bidder)

1. How many years has your organization been in business as a contractor? _____
2. How many years has your organization been in business under its present business name? _____
3. Under what other or former names has your organization operated? _____
4. List jurisdictions and trade categories in which your organization is legally qualified to do business, and indicate license numbers. _____

5. Has any license ever been denied or revoked? _____ (if yes, please describe on a separate sheet)
6. Has a complaint ever been filed with a contractor's state licensing board against your firm? _____
_____ (if yes, please describe on a separate sheet)
7. ITEM OF WORK: (List Bid Package(s) of work you are bidding on, see invitation for breakout)

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8. Please list all work self performed: _____
(Prequalification will be invalidated if 40% of the scope is not self-performed with direct labor)

9. Name of proposed Project Manager/attach resume _____
Name of Proposed Superintendent/attach resume _____

10. Below please list the three (3) largest jobs which you have completed during last five (5) years:

(1) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

(2) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

(3) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

11. Below please list three (3) recently completed Projects in **Occupied Laboratory, Hospital, Research or Medical Education Buildings** during the last five (5) Years (if any):

(1) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

(2) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

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(3) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

12. For **Fire Protection, Plumbing, Mechanical & Electrical Contractors**, please list the three (3) largest **renovation** jobs which you have completed utilizing Building Information Modeling (3D coordination) during the last five (5) Years:

(1) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

(2) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

(3) Project Name _____
Contact/ Phone # _____ / _____
Started/Completed _____ / _____
Approx. Contract Value _____

13. Has your organization filed any claims, lawsuits or requested arbitration with regard to construction contracts within the last five years?
_____ (if yes, identify and explain on a separate sheet)

14. Has your organization had any OSHA violations within the last five years?
_____ (if yes, identify and explain on a separate sheet)

Current EMR: _____
(Explain on separate sheet if >1)

15. Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers or principals? _____
(If yes, please identify and explain on a separate sheet)

16. Has a complaint ever been filed against your firm or its principals alleging a violation of Connecticut General Statutes Sections 1-79 through 1-101 pertaining to Code of Ethics for

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Public Officials and Lobbyists, including, but not limited to, Section 1-84 listing prohibited activities, including the giving of “gifts” as defined therein, to public officials?
_____ (if yes, please identify and describe on a separate sheet)

17. Has your organization ever failed to complete any work awarded to it? _____
18. Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? _____ (If yes, please explain on a separate sheet).
19. Has your organization ever defaulted on a bond? _____ (If yes, please explain on a separate sheet).
20. Has your organization ever filed for bankruptcy? _____ (If yes, please explain on a separate sheet).

21. ANNUAL WORK VOLUME (Dollar Amount Per Year)

2011 \$ _____ 2012 \$ _____ 2013 \$ _____ 2014 \$ _____

22. Financial Statement: Please attach a copy of your latest audited or reviewed financial statement showing current assets, net fixed assets, other assets, and current liabilities (including projects not yet commenced, but awarded to your company. Include expected contract value and schedule for these projects). This statement will be treated confidentially.
23. List the principals of your organization and their titles:

Name: _____	Title: _____
Name: _____	Title: _____
Name: _____	Title: _____

24. BONDING

Current bonding capacity: Per Job _____ Aggregate _____

Please attach proof of current bonding capacity in the form of a letter from your Surety

Bid Securities: Bidders shall note Bid Securities are required for all Bid Packages over \$50,000. For those bid packages that require a bid security, each bid shall be accompanied by a bid security made payable to The Fusco Corporation in the amount of ten (10%) of the bid proposal and written on AIA A310 Document Bid Bond and accompanied by a certified

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and current copy of the power of attorney by the attorney executing the bid bond on behalf of the surety company. The bid bond must be issued by a surety company listed on the current US Department of Treasury's Federal Register and licensed to underwrite bonds in the State of Connecticut and have a rating of A- or better. For bid packages set-aside for award to Small Business Enterprise ("SBE") or Minority Business Enterprise ("MBE") contractors that cannot supply a bid bond, The Fusco Corporation will accept a letter of credit in the amount equal to 10% of the bid amount for any bid that is less than \$100,000 and 25% of the bid amount that is equal to or in excess of \$100,000 pursuant to Section 4a-60g..

Labor, Material and Performance Bonds: Bidders shall note Labor, Material and Performance Bonds will be required for all contracts over \$100,000 in value. Bonds shall be in the amount of 100% of the Contract price payable to The Fusco Corporation. Bonds must be issued by a surety company licensed to underwrite bonds in the State of Connecticut and have a AM Best rating of A- or better. For bid packages set-aside for award to SBE or MBE contractors that cannot supply a Performance and Labor and Material Payment Bond, The Fusco Corporation will accept a letter of credit in the amount equal 10% of the bid amount for any bid that is less than \$100,000 and in the amount equal to (25%) of the Bid amount that is equal to or in excess of (\$100,000) pursuant to Section 4a-60g.

25. The Fusco Corporation and the University of Connecticut may make such investigation as they deem necessary to determine your firm's responsibility and ability to perform the work. Your firm shall furnish all information and data for this purpose as the Fusco Corporation or the University of Connecticut may further request. The Fusco Corporation and the University of Connecticut reserve the right to reject any Subcontractor Prequalification Statement or to otherwise not prequalify any firm if evidence submitted or investigation fails to satisfy the Fusco Corporation or the University of Connecticut that such firm is responsible and/or properly qualified to carry out the obligations of the Contract and to complete the work within the specified time.

26. The Fusco Corporation and the University of Connecticut reserve the right to amend or terminate the Invitation to Prequalify, to reject any and all Subcontractor Prequalification Statements, and to waive informalities and irregularities in any Subcontractor Prequalification Statement or supporting documentation.

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27. SIGNATURE

The information provided herein is true and sufficiently complete so as not to be misleading.

Signed: _____
(Officer or Partner) (Title)

(Date)

(Name or Organization)

State of: _____ County of: _____
Subscribed and sworn to before me this _____ th day of _____ 2015
Notary Public:
My Commission expires: _____

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ATTACHMENT A

Complete this form if you are NOT DAS prequalified or S/MBE certified.

- a) Has your firm ever had a conviction or entry of a plea of guilty or nolo contendere for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract or in the performance of such contract or subcontract?
(Connecticut General Statute 31-57c) **YES / NO**
- b) Has your firm ever had a conviction or entry of a plea of guilty or nolo contendere under state or federal law for embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty which affects responsibility as a contractor?
(Connecticut General Statute 31-57c) **YES / NO**
- c) Has your firm ever had a conviction or entry of a plea of guilty or nolo contendere under state or federal antitrust, collusion or conspiracy statutes arising out of the submission of bids or proposals?
(Connecticut General Statute 31-57c) **YES / NO**
- d) Has your firm ever been cited for noncompliance with contract provisions on a public project, of a character regarded by the awarding authority to be of such gravity as to indicate a lack of responsibility to perform as a state contractor, including deliberate failure, without good cause, to perform in accordance with specifications or time limits provided in a contract? **YES / NO**
- e) On a separate sheet of paper, identify any OSHA citations within the past five (5) years under present business name or any past business name. Have you been cited for three or more willful or serious violations of OSHA, or of any standard, order or regulations promulgated pursuant to such Act which violations were cited in accordance with the provisions of any State Occupational Safety and Health Act or the Occupational Safety and Health Act of 1970 and which were not abated within the time fixed by the citation; and which citation has not been set aside following appeal to the appropriate agency or court having jurisdiction? Additionally list any criminal convictions related to the injury or death of any employee. (Connecticut General Statute 31-57b).
- f) Has your firm ever appeared on any list published by the Connecticut State Labor Department of persons or firms that have been found by the National Labor Relations Board and by a final decision rendered by a Federal Court to have been in violation of the National Labor Relations Act, 29USC 151 et. seq. or to have been found in contempt of court by a final decision of a Federal Court for failure to correct a violation of the National Labor Relations Act on three or more occasions involving different violations?
(Connecticut General Statute 31-57a). **YES / NO**

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- g) On a separate sheet of paper, identify any instances within the previous five years in which you or any entity in which you have an interest, has appeared on a list published by the State of Connecticut Labor Department of persons or firms which the Labor Department has found to have disregarded or violated your obligations to employees and subcontractors on public works projects under Connecticut General Statutes 31-53 and 31-76c (i.e. payment of prevailing wages and overtime payments) or in which you have been barred from Federal government contracts in accordance with the provisions of the Davis Bacon Act, 40 U.S. C. 276a-2. Describe in detail the circumstances of each violation, including but not limited to, the date and nature of the violation, the project on which the violation occurred, the source, if known, of any complaint giving rise to any Department of Labor investigation, the results of any such investigation, the penalty imposed or other action taken by the Department of Labor, any remedial action which was taken and any other resolution of any such complaint or violation. (Connecticut General Statute 31-53a). On the same sheet describe the policies and procedures that you would implement on this project to ensure that you will remain in compliance with the statutory wage rates and payment of wages as noted above.
- h) On a separate sheet of paper identify any instances in which any complaint has been made to, or any investigation or inquiry has been conducted by, the State of Connecticut Department of Labor regarding any alleged non-compliance by your firm of any provision of Part III of Chapter 557 (CT General Statutes Sections 31-52 through 31-57e, prevailing wage and other requirements) during the past five calendar years. Describe in detail the circumstances of each violation, including but not limited to, the date and nature of the violation, the project on which the violation occurred, the source, if known, of any complaint giving rise to any Department of Labor investigation, the results of any such investigation, the penalty imposed or other actions taken by the Department of Labor, any remedial action which was taken and any other resolution of any such complaint or violation. On the same sheet of paper describe the policies and procedures that you would implement on this project to ensure that you will remain in compliance with the statutory wage rates and payment of wages as noted above.
- i) Have you ever been cited or penalized by any government agency for failure to comply with any affirmative action, non-discrimination, or other human rights requirements applicable to any work performed by you? If so, provide on a separate sheet of paper date(s), details, disposition and docket number(s) for each such instance. **YES / NO**