RFP Addendum
DOTRFP-18 Rev. 9/15

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STATE OF CONNECTICUT

DEPARTMENT OF TRANSPORTATION

DIVISION OF PURCHASING & MATERIALS MANAGEMENT
Room #2418
2800 Berlin Turnpike
Newington, CT 06131-7546

RFP NO. 15DOT7003
RFP Due Date: 8 December 2015
Date Addendum Issued: 28 October 2015

RFP Addendum #1

PLEASE NOTE:
This document must be signed where indicated below by a person authorized to sign Proposals and Addenda on behalf of your company, and returned with your Request for Proposal (RFP) submission. Failure to do so may result in rejection of your Proposal.

DESCRIPTION: Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

A. See attached for responses to questions received

B. Please note that the RFP Due Date has been extended until December 8, 2015. A revised Schedule will be issued as Addendum #3

All other Terms and Conditions remain the same.

Signature _____ Date _____

Form DOT-6
(Rev. – 9/15)

CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: RFP Due Date

SPECIFICATION PAGE NUMBER: 1, 1 of 67 and 17 of 67

PROPOSER's REQUEST:
Will CDOT consider adjusting the bid due date so as to allow prospective bidders at least 60 days after receipt of the information requested in these Questions/Requests for Clarification to present final proposals?

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:
CTDOT will extend the RFP Due Date until December 8, 2015. A revised schedule will be issued as "Addendum #3"

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):

SIGNATURE: _____

DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Definition of "Subcontractor"

SPECIFICATION PAGE NUMBER: 9 of 67

PROPOSER's REQUEST:
Proposer requests that the definition of "Subcontractor" be revised to delete those defined in the RFP as "Supplier (Vendor)", and that the definition of "Subcontractor" be replaced with the following:
"SUBCONTRACTOR. Any supplier of services to the Contractor."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
Revisions to the Definitions may or may not be approved during the negotiation phase of the RFP process, at the sole discretion of CTDOT.

SIGNATURE: _____

DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Section A19 Contract Time and Liquidated Damages

SPECIFICATION PAGE NUMBER: 5 of 37 and 44 of 67

PROPOSER's REQUEST:
Proposer requests clarification of an apparent conflict between Sample Contract Section 3. Term; Effective Date which states:

"3. Term of Contract; Effective Date. The Contract will be in effect for five (5) years from the date of execution of this contract."; and Section A19 Contract Time and Liquidated Damages which states:

"A19. Contract Time and Liquidated Damages
Seven Hundred Twenty (720) calendar days from the NTP will be allowed for completion of work on this project."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
"Section A19." applies to the initial four (4) P40 locomotives currently owned by CTDOT. "3. Term and Effective Date" allows for the contract to remain in effect for an extended period of time in the event that additional locomotives are acquired by CTDOT (See "Scope", #11).

SIGNATURE: _____

DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: A23. Abandonment or Default by Contractor

SPECIFICATION PAGE NUMBER: 46 of 67

PROPOSER's REQUEST: In subsection (3), will CDOT consider changing ten (10) days to sixty (60) days?

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
Revisions to the Terms and Conditions may or may not be approved during the negotiation phase of the RFP process, at the sole discretion of CTDOT.

SIGNATURE: _____

DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 1. Definitions

SPECIFICATION PAGE NUMBER: 3 of 37

PROPOSER's REQUEST: : We request the following clarifications to the definitions of the proposed Contract.

Section 1.(c) Confidential Information – The definition of the term "Confidential Information" does not appear applicable to this type of contract. We request revising the definition to one that is more applicable to this type of contract and that is aligned with Connecticut Freedom of Information Act. We propose:

Confidential Information: means (a) trade secrets, including formulas, patterns, compilations, programs, devices, methods, techniques, processes, drawings, cost data, that (i) derive independent economic value, actual or potential, from not being generally known to, and not being readily ascertainable by proper means by, other persons who can obtain economic value from their disclosure or use, and (ii) are the subject of efforts that are reasonable under the circumstances to maintain secrecy; and (b) commercial or financial information given in confidence, not required by statute, and designated as confidential information.

Section 1.(d) Confidential Information Breach – This definition appears inapplicable to this type of contract (there being no "clients"), please see above clarification to Section 1. (c). We request the definition be deleted.

Section 1.(e) Contract – To be consistent with the language of the Contract, we request clarifying the language as stated below. Note that since the State has the right to further negotiate pricing after the Bid price is submitted and the pricing will be in the price schedule, to alleviate any possible inconsistencies, we have deleted the reference Bid price.

Contract: This agreement, as of its effective date, between Contractor and the State for any and all Goods or Services.

Section 1(g) Contractor Parties – In the case of a company that has no members, shareholders, partners, etc, we request clarifying the definition to read as follows:

Contractor Parties: The Contractor and its employees and contractors with whom Contractor is in privity of oral or written contract and the Contractor intends to Perform under the Contract in any capacity.

Section 1(h) Days – There is an inconsistency in the contract documents as to the definition of Days. The definition in the Contract states all calendar days other than Saturday, Sunday and holidays. Elsewhere the RFP document, Request for Proposals (RFP) Definitions, page ___, defines the term to mean all calendar days, without the exclusion of Saturdays, Sundays and holidays. We request clarifying the definition to read:

Days: All calendar days.

Section (f) Force Majeure – Proposer requests that CDOT revise as follows:

"Events that materially affect the cost of the Goods or Services or the time schedule within which to Perform and are outside the reasonable control of the party asserting that such event has occurred, including, but not limited to: labor disputes or strikes, transportation shortages or delays, material shortages or delays, failure of or inadequate permanent power, fire, extraordinary weather conditions, disasters, riots, epidemics, acts of God, terrorism, insurrection, or war (declared or undeclared)."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

SIGNATURE: _____

DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 5. Price Schedule, Payment Terms and Billing

SPECIFICATION PAGE NUMBER: 5 of 37

PROPOSER's REQUEST:
We request that the following replacements be made in subsection (a):

Replace the second sentence with the following:

"Payment for all Goods or Services shall be due within sixty (60) days of the date that Agency receives the invoice for such Goods or Services."

Replace the last sentence with the following:

"In the event that the Agency fails to pay any amounts when due under this Contract, the Agency will incur a late payment fee equal to 1.5% of the outstanding balance for each month (or portion thereof) that such balance remains outstanding."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 8. Contract Amendments

SPECIFICATION PAGE NUMBER: 6 of 37

PROPOSER's REQUEST:
We request that the following replacement be made in the second line:

Replace "the State" with "either Party."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

SIGNATURE: _____

DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 10. Termination

SPECIFICATION PAGE NUMBER: 7 of 37

PROPOSER's REQUEST:
Would CDOT consider providing at least sixty (60) days' notice to Contractor of a termination under subsection (a)?

Please clarify under what circumstances the Contractor would have the right of termination. Would CDOT consider adding the following provision to Section 10 to provide the Contractor with a right of termination for convenience? "Contractor may terminate this Agreement for Contractor's convenience at any time by giving the State a minimum of [TBD-to be consistent with the time frame for CDOT to give notice to the Contractor of a termination for convenience] days' written notice of Contractor's election to terminate."

Would CDOT consider adding the following provision to Section 10 to provide Contractor with a right of termination under limited circumstances? "Contractor may terminate this Agreement upon written notice to the State if the State fails to timely make two or more payments under this Agreement, or if the State fails to cure or commence cure of any other breach of this Agreement within sixty days' notice of such breach."

(g) Proposer requests deletion of the second sentence of (g).

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 11. Cost Modifications

SPECIFICATION PAGE NUMBER: 8 of 37

PROPOSER's REQUEST:
We request that the following replacement be made in the last line:

Replace "State deems to be necessary or appropriate" with "parties may agree."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 12. Breach

SPECIFICATION PAGE NUMBER: 8 of 37

PROPOSER's REQUEST:
We request a longer cure period and propose the cure period be at least 60 days. Accordingly, we suggest the following revision:

If either party breaches the Contract in any respect, the non-breaching party shall provide written notice of such breach to the breaching party and afford the breaching party an opportunity to cure the breach within sixty (60) days (or such longer period as may be specified in the notice) from the date that the breaching party receives such notice. Such right to cure period shall be extended if the non-breaching party is satisfied that the breaching party is making a good faith effort to cure but the nature of the breach is such that it cannot be cured within the right to cure period. The notice may include an effective Contract Termination date if the breach is not cured by the stated date and, unless otherwise modified by the non-breaching party in writing prior to the Cancellation date, no further action shall be required of any party to effect the Cancellation as of the stated date. If the notice does not set forth an effective Contract Termination date, then the non-breaching party may Cancel the Contract by giving the breaching party no less than twenty four (24) hours' prior written notice. If the Agency believes that the Contractor has not performed according to the Contract, the Agency may 'Withhold payment in whole or in part pending resolution of the Performance issue, provided that the Agency notifies the Contractor in writing prior to the date that the payment would have been due in accordance with Exhibit B.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 14. Waiver

SPECIFICATION PAGE NUMBER: 8 of 37

PROPOSER's REQUEST:
We request that Section 14(b) be replaced with the following:

"No waiver by either party shall be effective unless in writing and signed by the waiving party, and no failure on the part of either party to exercise, and no delay in exercising, any right, power or remedy under this Contract shall operate as a waiver thereof."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 16. Indemnification

SPECIFICATION PAGE NUMBER: 9 of 37

PROPOSER's REQUEST:
We request the following clarifications and revisions to the Indemnification provision.

(a) We request that this provision be replaced with the following:
"(a) The Contractor shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professional fees, to the extent caused by the negligence or willful misconduct of the Contractor and its employees and subcontractors or by the Contractor's breach of any terms or conditions of the Contract. Notwithstanding the foregoing, the Contractor shall not have any obligations or liability under this Section 16 or any other provision of this Contract for any intellectual property or other infringement or similar claim to the extent that:

1. the infringing item of Goods (or part thereof) was designed in accordance with the State's technical specifications;or

2. the claim arises from the State's use of any Goods (or part thereof) or Services furnished under this Contract in combination with any other non-Contractor materials or processes, where the use of the Contractor-furnished Goods (or part thereof) or Services alone, without such combination, would not have caused the infringement; or

3. the claim arises from a modification to any Goods (or part thereof) or Services furnished under this Contract, where such modification was made by the State (or any person or entity acting on the State's behalf or at the State's direction) or

4. the State failed to implement any update or modification provided by the Contractor that would have precluded the claim."

(b) We request that this provision be revised as follows:
"Notwithstanding the terms of this Section 16 or any other provision of this Contract to the contrary, the Contractor shall not be responsible for indemnifying or holding the State harmless from any liability to the extent that such liability is caused by the negligence or willful misconduct of the State or any other person or entity acting under the direct control or supervision of the State."

(c) We request that this provision be revised as follows:
"The Contractor shall reimburse the State for any and all damages to the real or personal property of the State caused by the negligence or willful misconduct of the Contractor or its employees or subcontractors."

(e) We request deletion of the last sentence which currently reads:
"The Agency shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that the Agency or the State is contributorily negligent. "

(g) We request prompt notice for all claims for indemnification and reasonable assistance. We propose adding the following new subsection (g):

"The State shall provide the Contractor with prompt written notice of any claim for indemnification, together with copies of all related court documents and other documents related to such claim. The Contractor shall have sole discretion to settle or otherwise resolve any such claim; provided, however, that any settlement requiring the State to incur a non-monetary

obligation shall require the State's prior written consent, which shall not be unreasonably withheld. The Contractor shall pay the monetary amount of any settlement."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 17. Forum and Choice of Law

SPECIFICATION PAGE NUMBER: 10 of 37

PROPOSER's REQUEST:
We request that jurisdiction and venue be limited to the Federal courts of the State of Connecticut, excluding the State Courts. We propose the following revision:

The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 18. Contractor Guaranties

SPECIFICATION PAGE NUMBER: 10 of 37

PROPOSER's REQUEST:
Particularly for best pricing, we request that the Contractor have the discretion of repairing rather than replacing an item that may be marred or damaged in transit. In addition, the warranty provisions in the Contract adequately cover the Services and Equipment, alleviating the need, and cost, for warranties of fitness for a particular purpose or merchantability.

Accordingly, we propose the following revision:
Contractor shall:

(a) Perform fully under the Contract;

(b) Guarantee the Goods or Services against defective material or workmanship and to repair any damage or marring occasioned in transit or, at the Contractor's option, replace them

(c) Furnish adequate protection from damage for all work and to repair damage of any kind, for which its workers are responsible, to the premises, Goods, the Contractor's work or that of Contractor Parties;

(d) With respect to the provision of Services, pay for all permits, licenses and fees and give all required or appropriate notices; and

(e) Adhere to all Contractual provisions ensuring the confidentiality of Records that the Contractor has access to and are exempt from disclosure under the State's Freedom of Information Act or other applicable law.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 19. Implied Warranties

SPECIFICATION PAGE NUMBER: 10 of 37

PROPOSER's REQUEST:
For best pricing, we request the deletion of implied warranties as there are numerous express warranties that provide substantial protections and coverage. Accordingly, we request the following revision:

Contractor disclaims any and all implied warranties, including the implied warranty of fitness for a particular purpose and the warranty of merchantability.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 24. Setoff

SPECIFICATION PAGE NUMBER: 11 of 37

PROPOSER's REQUEST:
Proposer requests deletion of this section.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 27. American with Disabilities Act

SPECIFICATION PAGE NUMBER: 12 of 37

PROPOSER's REQUEST:
We request clarification that this provision be limited to the employment title of the ADA (Title I) as the other titles of the ADA are not relevant to this Contract (for locomotive overhauls).

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 28. Representations and Warranties

SPECIFICATION PAGE NUMBER: 12 of 37

PROPOSER's REQUEST:
We request the following clarifications and changes.

Section 28 (e) and (f) Representations and Warranties --We request deleting or limiting these subparts as we perform criminal background checks only at the time of hire, and, if there is a subsequent conviction we may not be aware of it. Additionally, We are not a position to know about "civil judgments," whether during and employee's current employment or prior employment.

Section 28(x) Representations and Warranties – As certain of the Goods may be subject to third-party intellectual property rights, all ownership rights cannot be given. Accordingly, we request Section 28(x) be deleted.

Section 28(y) Representations and Warranties – As certain of the Goods may be subject to third-party intellectual property rights, such parties may be subject to claims of ownership and Section 28(z) already covers infringement, we propose revising to read:

"(y) they either own or have the authority to use all Title of and to the Goods, and that such Title is not the subject of any encumbrances or liens by any third party;"

Section 28(z) Representations and Warranties – As we have no control over how the Agency will use any of the Goods, we cannot provide a representation and warranty that its use will not infringe a third-party right. Accordingly, Subpart (z)(aa) should be deleted. The Agency has substantial protection under the remaining representations and warranties under Section 28(z).

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

SIGNATURE: _____

DATE: _____

Form DOT-6
(Rev. – 9/15)

CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 38. Insurance

SPECIFICATION PAGE NUMBER: 21 of 37

PROPOSER's REQUEST:
Will CDOT allow the Contractor to self insure? Permitting the Contractor to use its corporate program of insurance and self insurance will be far more cost effective than a Contractor attempting to purchase first dollar or nearly first dollar coverage for this project.

Section 38(d)-Workers' Compensation and Employers Liability Proposer requests that CDOT accept coverage for employee injuries under FELA in lieu of workers' compensation and employers liability under Section 38 (d).

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 45. Background Checks

SPECIFICATION PAGE NUMBER: 22 of 37

PROPOSER's REQUEST:
As we perform background checks only at the time of hire, we request deletion of this requirement.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 46. Continued Performance

SPECIFICATION PAGE NUMBER: 23 of 37

PROPOSER's REQUEST:
We request deletion of this requirement.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 47. Working and Labor Synergies

SPECIFICATION PAGE NUMBER: 23 of 37

PROPOSER's REQUEST:
We request that the last sentence be replaces with the following:

"Neither this Contract nor any provision thereof shall require, or be construed to require, the Contractor to contravene the provisions of any of its labor agreements. In the event of a conflict or inconsistency between this Contract and such labor agreements, the labor agreements shall control as to such provisions."

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 52. Cross-Default

SPECIFICATION PAGE NUMBER: 24 of 37

PROPOSER's REQUEST:
This provision would be untenable and accordingly, we request it be deleted.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 56. Time is of the Essence

SPECIFICATION PAGE NUMBER: 25 of 37

PROPOSER's REQUEST:
We request the following revision:

In the third line, please change "use" to "cure".

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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DATE: _____

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 59. Health Insurance Portability and Accountability Act

SPECIFICATION PAGE NUMBER: 25 of 37

PROPOSER's REQUEST:
This provision is inapplicable to this type of contract (locomotive overhauls) and accordingly, we request its deletion.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

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CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract Section 60. Protection of Confidential Information

SPECIFICATION PAGE NUMBER: 31 of 37

PROPOSER's REQUEST:
This provision is not applicable to this type of contract (locomotive overhauls) and we request its deletion. Please see our related request to the Contract Sections 1(f) and (g).

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

SIGNATURE: _____

DATE: _____

Form DOT-6
(Rev. – 9/15)

CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: Contract New Section 62. Limitation of Liability

SPECIFICATION PAGE NUMBER: 32 of 37

PROPOSER's REQUEST:
To provide best pricing, we request a limitation of liability for lost profits, etc and propose the following language:

NEITHER PARTY SHALL BE LIABLE FOR ANY SPECIAL INCIDENTAL OR CONSEQUENTIAL DAMAGES, INCLUDING BUT NOT LIMITED TO LOST REVENUES OR LOST PROFITS, AS A RESULT OF THIS CONTRACT OR ANY BREACH OF THIS CONTRACT.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
The sample contract (Attachment 1) has been approved by the office of the Attorney General for agency use; therefore requests for revisions are discouraged. While changes to the sample contract may be considered during the negotiation phase, a lengthy delay to the review and approval process by the Office of the Attorney General prior to execution may occur.

SIGNATURE: _____

DATE: _____

Form DOT-6
(Rev. – 9/15)

CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: A19(E)-Contract Term & Liquidated Damages

SPECIFICATION PAGE NUMBER: 44 of 67

PROPOSER's REQUEST:
Would CDOT consider reducing the cap on liquidated damages from ten percent (10%) to five percent (5%)?

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
Revisions to the Terms and Conditions may or may not be approved during the negotiation phase of the RFP process, at the sole discretion of CTDOT.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: General Question-Forms

SPECIFICATION PAGE NUMBER: N/A

PROPOSER's REQUEST:
Will the forms be available/provided in Word format?

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):
Available forms will be provided in Word format by sending an e-mail request to:
mary.matuszak@ct.gov

SIGNATURE: _____

DATE: _____

Form DOT-6
(Rev. – 9/15)

CONNECTICUT DEPARTMENT OF TRANSPORTATION
RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP No.: 15DOT7003

Project Description:
Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: various

SPECIFICATION PAGE NUMBER: various

PROPOSER's REQUEST:

We believe in the competitive process and request expansion of this RFP to allow OEM equivalent material rather than requiring genuine OEM material.

ConnDOT's RESPONSE:

A. APPROVED: _____

B. APPROVED AS MODIFIED:

C: DENIED: _____

D. ADDITIONAL INFORMATION REQUIRED:

ConnDOT's COMMENTS (if applicable):

Please refer to the RFP document, Proposal Requirements section, page 20 of 67, subsection 4) Brand Name Specifications and/or References. Please submit with the Proposal the required documentation for any materials that the Proposer believes to be applicable.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 10 Main Engine, Main Engine Cooling and Fuel System 10.1.3.3.B**

SPECIFICATION PAGE NUMBER: 10-3

PROPOSER'S REQUEST: Can CDOT provide vendor contact information for the Houston type fuel fill?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

Specification is revised to call for a Snyder II fitting as an acceptable fuel fill.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 9 Air Supply and Friction Brake System 9.1.3.1**

SPECIFICATION PAGE NUMBER: 9-1

PROPOSER'S REQUEST: If a main reservoir is found not to be in compliance with this section will CDOT issue a Change Order to replace with new?

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

If the Contractor finds a main reservoir that cannot meet the specification, and it has to be replaced with new, CDOT will consider a Change Order. The Contractor shall provide data to substantiate that the main reservoir tank no longer can meet the requirements of 49CFR229.31

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 8 Truck and Suspension 8.1.5.A**

SPECIFICATION PAGE NUMBER: 8-2

PROPOSER'S REQUEST: Removal and replacement of truck mounted airlines with new is typically not the industry standard. Can truck mounted piping. not including the hoses, be re-qualified and tested?

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

Revise section to state that the "truck mounted piping and clamps" can be re-qualified and replaced with new as required.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 8 Truck and Suspension 8.1.3.D**

SPECIFICATION PAGE NUMBER: 8-2

PROPOSER'S REQUEST: If a truck is out of tram will a change order be issued to repair or replace?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** X

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The Contractor shall make every effort to make corrective repairs to truck frames found to be out of tram within reason. Rebuilding the truck and making corrective actions to that the truck will tram are part of the scope of work.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 8 Truck and Suspension 8.1.3**

SPECIFICATION PAGE NUMBER: 8-1

PROPOSER'S REQUEST: Many cracked truck frames have been found during the P40 and P42 truck overhaul process. If a cracked truck frame is found will this be handled with a Change Order?

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The Contractor shall make every effort to make corrective repairs to truck frames found with cracks within reason. If the crack is to the degree that the work to repair is significant, CDOT may consider a change order. Burden is on Contractor to demonstrate that the repair is significant work.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 7 Miscellaneous Electrical Systems 7.2.5.l**

SPECIFICATION PAGE NUMBER: 7-2

PROPOSER'S REQUEST: Should this read "Renew engine prime, stop/start switch" instead of Replace?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The contractor shall interpret CDOT's language as intending the Contractor to replace the existing start /stop switch with a new start/stop switch

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 7 Miscellaneous Electrical Systems 7.2.3.H**

SPECIFICATION PAGE NUMBER: 7-2

PROPOSER'S REQUEST: This section of the specification says to "replace redundant battery charger system". Is this the redundant battery charger? Section 7.2.7 calls for battery charger to be reconditioned to conform with OEM specifications. Which battery charger is to be reconditioned?

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

Refers to the redundant 250A charger tied to the 480 TL. Section 7.2.7 refers to the 450A charger tied to the Aux. Alt. Therefore the answer is both battery charges are to be reconditioned.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 7 Miscellaneous Electrical Systems 7.2.3.F**

SPECIFICATION PAGE NUMBER: 7-1

PROPOSER'S REQUEST: Is it permissible that all wiring, conduit, and fittings can be inspected for damage, repaired or replaced if necessary and requalified throughout the locomotive?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

This section states that all magnet valves, including control wiring and piping shall be replaced with new. As clarification, CDOT will accept that only conduit and fittings be re-qualified when practical.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Miscellaneous Electrical Systems 7.2.3.D**

SPECIFICATION PAGE NUMBER: 7-1

PROPOSER'S REQUEST: This section of the specification calls for re-qualification of the master controllers. Contractor recommends UTEX of the master controller instead of re-qualifying to ensure it meets life expectancy. Will CDOT approve UTEX of the master controllers?

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

This section states that all magnet valves, including control wiring and piping shall be replaced with new. As clarification, CDOT will accept that only conduit and fittings be requalified when practical.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 7 Miscellaneous Electrical Systems 7.2.2**

SPECIFICATION PAGE NUMBER: 7-1

PROPOSER'S REQUEST: The 3 video display units mentioned in this section of the specification refer to GE Model 670. Wide display units on other P40 and P42 units are GE Model 780. Will these be acceptable?

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

GE Model 780 display screens will be acceptable if used at 100% screen dimensions and are compatible for fit, form and function.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 6 Main and Auxiliary Generator, Propulsion and Dynamic Braking Systems 6.5.A**

SPECIFICATION PAGE NUMBER: 6-1

PROPOSER'S REQUEST: Specification notes that "traction motors" shall be overhauled per a CDOT approved procedure and re-installed. Tractions motors shall not be UTEXed. New pinions shall be installed. Industry practice generally calls for UTEX of traction motors due to the excessive lead times and potential impact on the complete truck overhaul turn time. Will CDOT re-consider using OEM approved UTEX traction motors instead of overhauled?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT may consider UTEX traction motors. However, the contractor must provide a cost comparison and the specification the UTEX provider is using for review.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 4 Coupler, Draft Gear and Trainline Connection Overhaul Requirements 4.1.2.2.C**

SPECIFICATION PAGE NUMBER: 4-2

PROPOSER'S REQUEST: Will CDOT define "original functions" and does this include the current modifications NJT has installed on the locomotives?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The contractor is to return the train line functions to original configuration "prior to NJT reconfiguration" and make these units consistent with the 27 pin functions on the existing CDOT P40 locomotive fleet.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 4 Coupler, Draft Gear and Trainline Connection Overhaul Requirements 4.1.2.1.C**

SPECIFICATION PAGE NUMBER: 4-1

PROPOSER'S REQUEST: If the nylon securement system is not available for the 480v trainline system is it acceptable to use aluminum?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** X

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Locomotive Body and Structure Requirements 3.1.2.1.B**

SPECIFICATION PAGE NUMBER: 3-8

PROPOSER'S REQUEST: The specification states "Raised letter identifying tags shall be applied at all external air and electrical receptacles and hoses". The P40 GE locomotives were originally manufactured with decals. The normal process is to remove the old decals and replace them with new. Is this process acceptable to CDOT?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** X

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

All external identifying tags shall be in conformance with the current FRA CFR requirements. CDOT will not accept decals for use in this application.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 3 Locomotive Body and Structure Requirements 3.1.2.1.A**

SPECIFICATION PAGE NUMBER: 3-7

PROPOSER'S REQUEST: Request CDOT supply a drawing of the paint scheme including decal placements and paint manufacturer.

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will provide a confidential paint scheme to the proposers via email - it will not be a published part of this addendum.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 3 Locomotive Body Structure Requirements**

3.1.1.4A & 3.1.1.4.Q

SPECIFICATION PAGE NUMBER: 3-2 & 3-3

PROPOSER'S REQUEST: Contractor currently uses dye penetrant and ultrasonic non-destructive testing. Will ultrasonic non-destructive testing be acceptable?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

Section 3.1.1.4.A refers to radiator hatches with no requirements to perform dye penetrant or non-destructive testing. Section 3.1.1.4.Q requires the contractor to perform "dye penetrant" or "other non-destructive testing" when visual inspections cannot conclusively confirm the existence of cracks.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 2 General Requirements 2.1.4.2.A&B**

SPECIFICATION PAGE NUMBER: 2-3

PROPOSER'S REQUEST: The specification requires that locomotive cab noise be in compliance with 49 CFR 229.121. Are the cabs compliant now or will they need to be stripped and re-insulated?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT cannot confirm that the locomotives currently meet the requirements of 49 CFR 229.121. Therefore, the contractor shall be required to take the necessary actions to ensure that the locomotives are in compliance with 49 CFR 229.121. Section 2.1.4.2.B – The contractor shall prepare and install Noise Emission Plates.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 2 General Requirements 2.1.4.1.F**

SPECIFICATION PAGE NUMBER: 2-2

PROPOSER'S REQUEST: Contractor does not have sufficient test track to perform adjacent noise testing. Will contractors current outbound testing procedures be acceptable as long as they meet the requirements of 49 CFR 229.129?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The Contractor shall perform the necessary test to confirm the requirements of 49 CFR 229.129 are met.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 2 General Requirements 2.1.4.D.2**

SPECIFICATION PAGE NUMBER: 2-2

PROPOSER'S REQUEST: Request clarification. Do all the testing requirements in Section 2.1.4.1 apply to only the first unit and not all 4 units? 2.1.4.D.2 states "Noise tests shall be conducted on the first unit".

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The Contractor shall be required to perform the sound quality test on all locomotives.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 2 General Requirements 2.1.2.F**

SPECIFICATION PAGE NUMBER: 2-1

PROPOSER'S REQUEST: This section states "overhauled locomotives shall be capable of a minimum of 12-15 years of operation after completion of the overhaul". Contractor feels many of the components and material called out to be renewed or requalified should be all new to ensure service life of 12-15 years. Will CDOT consider re-evaluating its specification requirements?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will not consider re-evaluating the specification requirement at this time. If there are specific components that the Contractor has identified that should be replaced with new instead of renewed in order to achieve the 12-15 year service life of the locomotive, the Contractor should list these items in their proposal and make recommendations for each.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **General**

SPECIFICATION PAGE NUMBER: N/A

PROPOSER'S REQUEST: All number lights are missing on all locomotives and will have to be replaced. Will CDOT revise the Scope of Work (SOW) to incorporate new number lights?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** X

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

There is no requirement to replace number lights on the locomotive. It is CDOT's understanding that industry standard has been reflective decals for numbering since an incident about 20 years ago. If this is not the case, then this can be handled during the negotiation process or as a change order.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **General**

SPECIFICATION PAGE NUMBER: N/A

PROPOSER'S REQUEST: New Jersey Transit added multiple modifications while in their possession. Are these modifications to be removed?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The technical specification describes which NJT modifications are desired to be removed. The contractor will have to provide CDOT with specific details if there is a modification that is not identified for clarification purposes.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **General**

SPECIFICATION PAGE NUMBER: N/A

PROPOSER'S REQUEST: It was noted during the inspection of the 4 P40 units at NJT that various components were missing such as alerters, fuel injectors, radios and all turbo covers. Will CDOT be providing the missing material?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** X

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

No. CDOT will not be providing any of the missing components, or materials for the locomotives

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **General**

SPECIFICATION PAGE NUMBER: During the inspection of the P40 units at NJT it was noted that various modifications were performed. Contractor requests copies of all documentation and drawings for all NJT modifications performed on the 4 P40 units.

PROPOSER'S REQUEST:

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will only provide the Contractor with all documentation and drawings for modifications performed by NJT that were provided by NJT to CDOT.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Warranty**

SPECIFICATION PAGE NUMBER: Section A49, page 62 of Exhibit A

PROPOSER'S REQUEST: Contractor request 2yr warranty commence on date of "Conditional Acceptance".

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will issue a Certificate of Acceptance at such time the warranty shall commence. CDOT may, at its sole discretion, issue a Conditional Acceptance which may initiate the warranty phase.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **General**

SPECIFICATION PAGE NUMBER: N/A

PROPOSER'S REQUEST: What is the State's plan to release units to the contractor for overhaul as well as the State's plan to move the units off NJT property in Kearny, NJ?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will require the Contractor to make suitable arrangements with NJT to have units positioned for removal from NJT property. The Contractor shall be responsible for shipment of units to its facility for overhaul and shipment of units to CDOT when the overhaul work is completed.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Scope, Item 10, Change Order Procedure**

Section 40 Exhibit A, Cost Plus Work

SPECIFICATION PAGE NUMBER: Page 17 of RFP

Page 58 of Exhibit A

PROPOSER'S REQUEST: Please clarify which process will be followed for all Change Order Requests.

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

Sections A24 and A40 describe the processes for contractual changes and cost-plus work, respectively

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 1, Contract Period**

Exhibit A, Section A19, Contract Period and Liquidated Damages

SPECIFICATION PAGE NUMBER: Page 20 of RFP & Page 44 of Exhibit A

PROPOSER'S REQUEST: Please clarify the contract term. Section 1, page 20 of RFP indicates 5 years from date of execution and Section A19 Contract Time and Liquidated Damages, page 44 of Exhibit A indicates 720 calendar days from NTP.

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The Contract Time, A19, refers to the duration allowed to complete this work on these 4 locomotives. Contract Period allows up to 5 years for additional, similar work to be assigned and completed under this contract vehicle. For instance, the addition of another P40 locomotive.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 3 Locomotive Body and Structure Requirements 3.1.1.4.F**

SPECIFICATION PAGE NUMBER: 3-3

PROPOSER'S REQUEST: Will CDOT consider removing "with ConnDOTS approval" from this section? If not, when will CDOT's inspection and approval take place? If CDOT is required to inspect and approve we will need to incorporate the time required into the project schedule. We feel the criteria spelled out in this section are sufficient to identify any defects that need to be repaired.

CTDOT's RESPONSE:

A. **APPROVED:** X

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 15 Inspection and Test 15.1.3.A.1**

SPECIFICATION PAGE NUMBER: Amtrak performs self-load testing at our facility. We do not have a Dynamometer. Engine will be UTEX with OEM and testing will be performed at their test location prior to shipment. Is this acceptable to CDOT?

PROPOSER'S REQUEST:

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

The TS states "Full load engine test-**preferably** on a dynamometer". The Contractor is required to provide documentation that a full load test for the engine has been performed. CDOT may require that this test be witnessed by CDOT.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 13 Materials and Workmanship 13.6.2.A**

SPECIFICATION PAGE NUMBER: 13-6

PROPOSER'S REQUEST: Black iron schedule 80 pipe is normally used in these applications. We do not recommend using stainless steel or cooper piping. Will CDOT consider changing this section to allow use of black iron schedule 80?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will accept the use of Schedule 80 Black Iron pipe on main reservoir and brake pipe lines.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 13 Materials and Workmanship 13.1.3**

SPECIFICATION PAGE NUMBER: 13-2

PROPOSER'S REQUEST: In this section PVC is listed as a prohibited material. In sections 7.2.3.E.1 and 10.1.3.1.E it states to re-qualify the PVC pipe containing underframe wiring between left and right fuel tanks.

Question 1: Is the PVC wiring conduit between fuel tanks part of ACSES?

Question 2: If PVC is a prohibited material should all PVC be removed?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:**

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable): As a clarification to sections 7.2.3.E.1 and 10.1.3.1.E, it is CDOT's intention to remove any existing piping that exist on the locomotives that contain PVC.

SIGNATURE: _____

DATE: _____

CONNECTICUT DEPARTMENT OF TRANSPORTATION

RFP REQUEST FOR APPROVAL, EXCEPTION, OR CLARIFICATION

RFP: 15DOT7003

Project Description:

Overhaul of P40 Locomotives for the Connecticut Department of Transportation (CTDOT)

SPECIFICATION SECTION: **Section 11 Head End Power 11.1**

SPECIFICATION PAGE NUMBER: 11-1

PROPOSER'S REQUEST: Will a UTEX HEP alternator and switchgear be acceptable to CDOT?

CTDOT's RESPONSE:

A. **APPROVED:** _____

B. **APPROVED AS MODIFIED:** X

C. **DENIED:** _____

D. **ADDITIONAL INFORMATION REQUIRED:**

CTDOT's COMMENTS (if applicable):

CDOT will consider the use of UTEX HEP Alternators and HEP switchgear. However, the Contractor must provide a cost comparison and the specification the UTEX provider is using for CDOT review and approval prior to allowing this change.

SIGNATURE: _____

DATE: _____