



REQUEST FOR QUOTATION

This is NOT an order to ship.

Please quote on the commodities or services listed below. **All prices must be FOB Destination.** You must show Unit Price, Amount and Total or bid may be rejected. The State of Connecticut is exempt from payment of Federal Excise taxes and the Connecticut Sales Tax. Do not include such taxes in bid prices. CCSU reserves the right to reject in whole or in part any or all bids submitted.

ISSUED BY (return to) Central Connecticut State University Purchasing Department 1615 Stanley Street New Britain CT 06050-4010	BID NUMBER: 2019-04
VENDOR NAME _____ ADDRESS _____ _____	BID DUE DATE: May 18, 2018 3:00 PM
	DATE ISSUED: April 30, 2018

SIGNED (for Agency) <i>Thomas Brodeur, C.P.M.</i>	Prepared by: Thomas Brodeur, C.P.M. Director of Purchasing Phone 860-832-2531 Fax 860-832-2523	Purchasing Authority: C.G.S. 10a-151b C.G.S. 4a-52a
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Fire and Security Alarm Systems Services at CCSU
Part A – Provide maintenance, service, and emergency services
Part B – Provide testing services

Included with this RFP document –
Page 2, Response Requirements Checklist
General Terms, Conditions and Requirements
Part A, Scope of Work, Technical and Facility Requirements, Maintenance, Service and Emergency Services
Part B, Scope of Work, Technical and Facility Requirements, Testing Services
Attachment A, CCSU Fire Alarm System Device Matrix (to be handed out at prebid meeting – see below)

A mandatory pre-bid conference will be held on May 8, 2018 10:00 AM at East Hall. Late arrivals (10 minutes or more) will not be given credit for attendance nor allowed to participate in the bid process

Return bids to the CCSU Purchasing Department in a sealed envelope clearly marked: CCSU Bid # 2019-04 due May 18, 2018. Faxed or emailed responses cannot be accepted.

For all State contracts as defined in P.A. 07-1 having a value in a calendar year of \$50,000 or more or combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Agreement expressly acknowledges receipt of the State Election Enforcements Commission’s notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice.
See Attachment [SEEC Form 11] _____/_____

Initial Date

Vendor Authorized Signature _____	Date _____
Printed Name _____	
Email Address _____	
Telephone and Extension _____	
FEIN _____	Cash Discount Payment Terms ____% ____days, Net 30

A complete bid response will include the submission of all the forms, attachments and documentations listed below.

PARTIAL ANSWERS AND/OR MISSING ATTACHMENTS MAY DEEM YOUR RESPONSE AS NON-COMPLIANT. NON-COMPLIANT BIDS ARE DISQUALIFIED FROM CONSIDERATION.

- [] Completed Request for Quotation form (page 1)
 - [] Completed Pricing Sheet
 - [] Completed Statement of Bidder's Qualifications form
 - [] Completed References form
 - [] A letter from EST verifying that the bidder is a Strategic Partner with EST and is an authorized service organization, with factory trained personnel based in the servicing office, for all of the respective equipment at the facility
 - [] Documentation of experience and knowledge in the **operation, repair and programming AND/OR testing** of EST Fire Alarm Systems **AND** IRC Fire Alarm Systems.
 - [] Documentation of experience and knowledge in the operation and repair of Gamewell fire alarm equipment
 - [] CHRO Paperwork, Nondiscrimination Certification, and OPM Ethics forms 1 and 5
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GENERAL TERMS, CONDITIONS, REQUIREMENTS

APPLICABLE LAWS

Contractor shall comply with all applicable federal, state and municipal laws, ordinances, building, and construction codes. Contractor and its employees shall acquire and possess all required permits and licenses. Contractor shall be responsible for the payment of all fees associated with these permits, licenses and inspections. Contractor shall provide a list of licensed employees who will be working under this Agreement. List of licensed employees shall be submitted to the Agency prior to any work being performed under this Agreement

MANDATORY PRE BID CONFERENCE

CCSU will conduct a mandatory pre-bid conference on May 8, 2018, at 10:00 AM in CCSU's East Hall on Wells Street to review specifications and requirements. Failure to attend this meeting or late arrivals (10 minutes or more) will preclude your firm from submitting a proposal.

SUBMISSION OF QUESTIONS

Contractors may submit questions or requests for clarification via email to brodeur@ccsu.edu. The deadline for submission of questions is 4:30 p.m., E.S.T., on May 11, 2018. Any answers, clarifications or corrections that change the scope of this RFQ will be issued as an addendum.

ADDENDA TO THIS RFQ

CCSU may need to issue one or more addenda related to this RFQ. Such addenda shall be added to the original RFQ document and posted at <http://www.ccsu.edu/purchasing/currentBids.html>.

It shall be the responsibility of prospective contractors and other interested parties to familiarize themselves with the web site and visit it regularly during the RFQ process for updated information or addenda related to this RFQ.

Receipt of addenda must be acknowledged by each proposer, and the failure of a proposer to acknowledge any addendum shall not relieve the proposer of the responsibility for complying with the terms thereof. All addenda must be signed by an authorized respondent representative and returned with the proposal on or before the proposal opening date and time.

This process is intended to ensure that all vendors have equal access to information relative to this RFQ. No information communicated verbally shall be effective unless confirmed by written communication from the Purchasing Department of the University.

ACCEPTANCE

CCSU reserves the right to reject any bid which does not include all required documentation, or a bidder who in the opinion of CCSU does not meet the high standards of experience, accessibility to the ongoing training of the manufacturers, factory authorization of the systems manufacturers, maintenance of factory technical bulletins, or any other requirement of the specification.

STRUCTURE OF AWARD

CCSU reserves the right to issue one all-inclusive award or two awards (one contractor awarded Part A Maintenance Services and another contractor awarded Part B Testing) based on the bid responses and the best interests of CCSU.

CONTRACT and CONTRACT TERM

CCSU shall enter into a Contract with the awarded contractor for the services described herein. Purchase Orders issued by the Agency's Purchasing Office shall be issued for annual service needs once a contract has been executed. No work is to be done prior to receiving a valid, signed Purchase Order.

For a copy of the contract draft see Attachment Service Contract Template. This draft is provided for informational purposes only and should not be completed/returned with your bid response.

The contract period for the described services will be for a four (4) year period starting upon execution date of the resulting contract, on or about July 1, 2018.

TRAVEL TIME

At no time during the contract shall contractor invoice the University for travel time costs or normal delivery costs. Invoices will only be paid for hours spent on the job.

JOB SITE REPORTING

All service slips will be reviewed and approved by the CCSU University representative.

At the start and completion of each job, contractors will be required to sign a Log Book located at the CCSU Police Department. The following information must be included for each job: (1) name(s) of employee(s) working on site, (2) location of the job, (3) description of the work to be performed, and (4) hours spent on the job (time in and time out).

In addition to this procedure, contractors must leave daily Work Order Reports with the respective University representative. This Work Order Report must include hours spent on the job. When invoicing the University, Work Orders associated with specific filter changes must accompany the invoice.

Contractor will be required to equip their staff members with mobile phones and be accessible to the University while on duty. A list of these numbers shall be provided to the CCSU Police Dispatch Center and updated as needed.

SAFETY

The Contractor is reminded that all work will be carried out on a busy university campus. Safety is of utmost importance. The Contractor shall conduct all operations in a safe manner and shall comply with all pertinent local, state and federal safety regulations and with whatever requirements deemed necessary by the Agency Representative, by CCSU Police Department or by CCSU Fire Safety to protect the health, safety and well-being of the university community. Safety precautions must be taken at all times to prevent the possibility of injury to pedestrians.

DISPOSAL OF OLD PARTS AND SUPPLIES

All old panel parts & pieces, detectors, modules are the property of CCSU and will be disposed of by CCSU. The contractor shall be responsible for disposing of all debris etc in accordance with all applicable federal, state and local laws. Where possible, contractor shall recycle electronics, lights, batteries and other items with a local, properly licensed recycling center in order to promote CCSU's Environmental Sustainability initiative.

PAYMENT CLAUSE

Services: Invoices for regular scheduled services shall be submitted semiannually within two (2) weeks after the scheduled services have been completed (unless there is a specific clause in a separate large project quote which allows for partial payments).

Invoices for work not covered under normal maintenance shall be submitted within thirty (30) days of work. Invoices submitted after 30 days may be subject to delayed payments.

Testing: Invoices for regular scheduled tests shall be submitted semiannually within two (2) weeks after the scheduled tests have been completed and as follows:

- Submit only after each test for all buildings has been totally completed, and all problems corrected in full.
- Invoices shall include all required test reports, properly executed, for each building. No partial reports or invoices will be processed.

No payments will be made until all of the above conditions are complied with in full. Section 3-117 of the General Statutes of the State of Connecticut prohibits any state agency from making prepayment for repair or maintenance services.

Contractor shall not invoice for, and CCSU shall not pay for, quotations requested for future work.

PERSONNEL

Bidder shall provide only trained employees to perform any work for this contract. **All employees providing service under this contract will be required to hold a valid State of Connecticut Department of Consumer Protection Electrical L6 license or higher.** As required by the State of CT the employee shall have a copy of their Electrical License with them at all times. No sub-contracting of fire alarm maintenance or programming shall be allowed. Sub-contracting for 'electrical' work where required may be allowed to affect repairs.

The successful bidder shall be required to conduct a comprehensive background check on all employees assigned to this contract, including a check of employment history, arrest information, and citizenship. All new employees assigned to this contract during the contract period shall undergo the same comprehensive background check prior to being assigned to the contract. A report on these background checks shall be sent to the CCSU Police Department prior to their first day working on CCSU Property. The Agency reserves the right to reject any person applying for assignment to this contract. See attached Background Check Letter for further information. . This must be renewed at the start of each new contract award. Any employee that will be in the Dispatch Center at the Police Department Building will also be required to fill out an Agreement to Protect Confidentiality of Computerized Criminal Record Data provided to the employee by the on duty dispatchers.

A list of all employees who may be assigned to CCSU shall be required, including name and background of work experience and any applicable license type and number. CCSU will use the information to verify the employee's suitability to perform this work in a University environment prior to contract award.

Only personnel approved by CCSU will be allowed to perform work on site for this contract.

The contractor shall not enter any occupied resident hall room without an escort by a University employee. CCSU reserves the right to cancel said contract if Contractor is found to be in violation of this agreement

All employees shall be required to obtain a University issued ID Badge which must be worn at all times while on campus.

Contractors will also be required to ensure that their employees are wearing a company uniform clearly showing the Company name at all times while at CCSU.

SUBCONTRACTING

There will be no unapproved subcontracting allowed under this contract. In the event of extenuating circumstances the Contractor will request, in writing, to the Agency Representative, permission before any subcontractors are permitted to work on campus. In the case of a legitimate emergency (as authorized by CCSU) verbal permission would be allowed. CCSU reserves the right to cancel said contract if Contractor is found to be in violation of this agreement.

CCSU reserves the right to contract directly with OEM service providers if it is deemed to be in the best interests of CCSU.

NEW BUILDINGS

CCSU anticipates that several new buildings will be erected and put on-line during the terms of the contract resulting from this RFQ. Additional fees charged for these new buildings will be negotiated in good faith by both parties and shall not exceed a reasonable percent increase over the existing fees charged.

EQUIPMENT

The system is comprised of each building and all devices and panels. The buildings and quantity of devices is listed in this bid (attachment A).

Equipment is EST addressable building systems, EST central receiving panels with color graphics, EST CPU computers, EST/IRC systems, and Gamewell 500 microprocessor addressable building systems, Dualarm.

There are four systems involved -

The main control panel at the CCSU Police Dispatch Center (Four IRC Loops and Two EST3 Loops)

The Early Learning Center (1285 East Street, NB),

The New Grounds Building (195 Cocomo Circle, NB) (Currently connected to an off campus alarm monitoring company).
ITBD (185 Main St., NB),

Both the Early Learning Center and ITBD are tied into the Main EST3 Loops at the Public Safety Dispatch. They are still tied into a separate dialer in the event of a Fiber Failure.

The alarm monitoring company is Life Source Inc, Milford CT. CCSU is responsible for the cost of this third party monitoring. Winning bidder is responsible to maintain a professional relationship with Life Source to ensure complete and coordinated service to CCSU.

SECURITY

The contractor shall assure that all doors unlocked by the contractor will be returned to the locked position when leaving the area. Any security alarm that may have been disarmed to enter the area will be rearmed when leaving the area.

Propping open of any building entrance or exit door is absolutely forbidden.

Issues arising from violations of the above two items may result in cancellation of the contract and the Contractor will be responsible for all damages that occurred due to their actions.

All contractor vehicles shall be registered with the CCSU Police Department, and shall park only in CCSU designated areas, displaying CCSU Contractor Hang (Parking) Tags.

All employees and agents of the Contractor shall be subject to the jurisdiction of the CCSU Representative while performing services on CCSU property. Each employee must comply with the "Standards of Conduct" applicable to all employees of CCSU. CCSU reserves the right to dismiss any employee of the Contractor who violates these Standards of Conduct or who exhibits other conduct deemed inappropriate by CCSU.

OFFSITE FIRE ALARM PROGRAMMING STORAGE AND RETRIEVAL

The contractor shall provide storage of the fire alarm panel's programming in a secure, regularly managed, continuously backed-up, off-site location. The contractor shall provide written proof of authorized users of the offsite system. Said proof shall be included in the submittal package.

The storage mechanism shall be web-based and available in a secure fashion without need for anything but a compliant Internet browser. The storage mechanism shall store, manage and archive custom fire alarm programming and system files. File access permissions will be at the discretion of the University. File access will be accessible by the University and vendors' field technicians.

System will:

- Limit access to system files to the CCSU designated manager and chosen authorized users only
- Automatically notify CCSU management by e-mail if contractor does not save critical files within a pre-determined time period
- Log and report all user activity including log-on, log-off and file transfers.
- Auto-archive all previous version files for backup and version control.

SCHEDULING

Testing shall be conducted twice a year, in January and July. Each complete test takes approximately five months to complete due to limited building access.

CCSU reserves the right to alter and change the schedule as needed due to construction, weather, conflicts with class schedules, etc. CCSU will do everything within its powers to not schedule testing on known days where issues may arise. The CCSU Fire Lt. does have the right to cancel scheduled testing with 24 hour notice or less without penalty to CCSU. Normally if a problem is known the CCSU Fire Lt will give as much advanced notice as possible to the contractor

All entry to buildings must be cleared with CCSU Police Department, the CCSU Fire Lt. or CCSU Facilities Management representatives. All testing will be scheduled with the University Fire Lt. not less than two weeks prior to the date(s) of testing.

Buildings may require early evening or early morning access only. The Agency reserves the right to amend access privileges to any building due to building use. Changes in accessibility shall not result in an extension of the testing period. No additional charges will be considered for off-regular time testing requirements to accommodate these factors. (ie: Horn & Strobe testing or delayed entry into the Res Hall Apartments)

KEYS, ACCESS CARDS and ACCESSIBILITY

CCSU shall issue keys and access cards for most areas except the dormitories. Contractor shall not be permitted to duplicate any keys or cards under any circumstance. A lost or misplaced key will require CCSU to re-key all affected locks at the contractor's expense.

All keys/card access shall be returned at the end of the technicians work day on campus.
At no time shall the technician take keys home for the evening.

Access to the residence halls will require CCSU personnel to accompany the technicians at all times.

DOWNTIME

The buildings under this contract are utilized to the fullest. Buildings shall not be left in an inoperative condition. CCSU shall perform the fire watch of any building left inoperative and the contractor shall be held responsible for payment to the University for the fire watch if the impairment was due to the direct actions of the contractor's repair crews.

At no time shall a building being serviced, repaired or tested be left unattended. Any time that a building horns and strobes are turned off or a device is disabled for any reason, the contractor shall notify the University Police Department of the impairment, the expected length of the impairment and when the horns/strobes or device is turned back on. The contractor is also responsible to fill out the horn/strobe/device disable sheet in the CCSU Police Dispatch Center.

Part A
SCOPE OF SERVICE, TECHNICAL AND FACILITY REQUIREMENTS
MAINTENANCE, SERVICE AND EMERGENCY SERVICES

SCOPE OF SERVICE

Contractor shall provide maintenance, scheduled service and emergency service of the CCSU Fire Alarm system consisting of the Fire Alarm systems in all buildings, the interconnection of all buildings to the Central Police Station, and the Central Police Station receiving microprocessors and Color Graphic computers. Security Alarm systems throughout the campus and Carbon Dioxide and Oxygen Depletion detectors will also be included in this contract. Bidder shall be responsible for all control panels, all equipment connected to the control panels and the tie between control panels and the fire alarm system. It is the intent of this specification to provide as complete a scope as possible, however the bidder shall bear ultimate responsibility to know and understand the full nature of the systems. The bidder shall be responsible to maintain and service the complete systems in their entirety.

All Fire Alarm equipment is manufactured for and UL Listed for use in Edwards Systems Technology (EST) and Gamewell. All bidders shall meet all requirements of this specification and no substitutions, compromises, or deviations of any kind shall be allowed. The University Fire Marshal shall be the final authority for interpretation of these specifications.

The successful bidder shall supply trained and certified personnel to service current and install new (including programming) all devices that report into the EST3 and IRC3 fire alarm loops in the CCSU Public Safety dispatch **on a semiannual and annual basis**. (including smoke detectors, co detectors, smoke/co detectors, heat detectors, duct smoke detectors, pull stations, modules all fire alarm control panels and parts in the individual building and those that act as a main control/loop controller and batteries for all panels.)

The successful bidder shall be able to do all necessary programming on a 24/7 basis with in-house personnel. There is no splitting of this contract allowed and there is no sub-contracting allowed for programming. Bidders shall be able to show proof of current contracts that have EST3 and IRC3 fiber loops (single mode and multi-mode) that service separate buildings on the same loop.

Security alarm systems encompass various manufactures. **See attachment A for more information.** It shall be the bidder's responsibility to obtain identical replacement parts or provide UL documentation of compatibility of another manufactures replacement part in the event of failure. If neither can be provided, it shall be the bidder's responsibility to replace the entire system with new equipment manufactured by Detection Systems Inc.

EMERGENCY CALL RESPONSE TIME

Emergency: Phone response within one half hour (30 minutes) of initial call. On site response shall be within two (2) hours of initial call for service by the agency.

All technicians that have been dispatched to come out to CCSU for an Emergency Repair shall be capable of fixing the IRC and EST3 Systems, including any necessary programming. CCSU will not pay for additional personnel to come out to assist if the dispatched technician is not capable of fixing the system due to lack of knowledge on or lack of proper equipment for a particular system.

Invoices for services performed as a result of emergency service calls shall be adjusted accordingly if onsite response takes more than two (2) hours and services starts or continues after 4:30 PM.

Correction of Service Problems: All problems found and/or called in by CCSU shall be corrected immediately. Any problem that will affect the panel from working as designed and programmed will be fixed immediately

MAINTENANCE AND SERVICE

The maintenance shall include any and all maintenance required as a result of tests, regular or emergency service calls, or manufacturer's recommended preventative measures.

The service shall include the repair or replacement of all components in the system, including but not limited to, all devices, controls, CPU's, and displays.

For work not covered under normal maintenance, normal hours shall be Monday through Friday, 8:00 a.m.-4:30 p.m

DOCUMENTATION OF TESTS

(For any new additions, removal, change of device or adding/change out of a Control Panel)

All printouts from the central station showing each device shall be submitted on National Fire Protection Association (NFPA) forms (NFPA 72/99 figure 7-5.2.2). One form is required for each building, signed by the test technician, and an officer of the contractor's corporation. All forms must be complete and accurate and also show any problems found and the corrective action taken. All forms are to be presented at one time after each complete facility test. The final test reports and forms will be sent to the University Fire Lt. via Email and a Hard Copy will also be mailed or hand delivered to the CCSU Facilities Department.

The contractor shall provide a web based "Preventative Maintenance Management Software" system that will provide a history of inspection information that tracks discrepancies, service work history, and compliance information. Inspection information is stored in a master database eliminating manual process and is accessible remotely by specified users.

All devices shall have an accurate history and tracking of repairs documented and stored on the web data base. Date of test, deficiencies found, date of repairs, disabling/enabling of device and other narrative information must be able to be stored. Users must be able to view reports that easily searchable and identify devices found to be non-compliant. Inspection information is archived for historical purposes and placed on recurring inspection schedule.

At the completion of the contract period, the contractor will surrender all information, on disk, to CCSU in a format deemed usable by the CCSU IT department for placement on the University's web server.

The contractor shall keep, in conjunction with the CCSU Fire Marshal, a daily log sheet of any devices (including but not limited to Batteries, Smoke Detectors, Heat Detectors, CO Detectors, Pull Stations, Duct Smoke Detectors) that may require service. The Contractor shall upon finding any issues that may require a repair, report the problem immediately to the University Fire Lt.

OFFSITE FIRE ALARM PROGRAMMING STORAGE AND RETRIEVAL

The contractor shall provide storage of the fire alarm panel's programming in a secure, regularly managed, continuously backed-up, off-site location. The contractor shall provide written proof of authorized users of the offsite system. Said proof shall be included in the submittal package.

The storage mechanism shall be web-based and available in a secure fashion without need for anything but a compliant Internet browser. The storage mechanism shall store, manage and archive custom fire alarm programming and system files.

File access permissions will be at the discretion of the University. File access will be accessible by the University and vendors' field technicians.

System will:

- Limit access to system files to the CCSU designated manager and chosen authorized users only
- Automatically notify CCSU management by e-mail if contractor does not save critical files within a pre-determined time period
- Log and report all user activity including log-on, log-off and file transfers.
- Auto-archive all previous version files for backup and version control.

OTHER SERVICE REQUIRMENTS and EXCLUSIONS

The contractor may be required to pull wire and conduit as needed during a repair or service. Subcontracting this service shall not be allowed.

Repairs needed as a result of vandalism, misuse, abuse, unauthorized service, or Acts of God causing damage or inoperation to the system is not included. If requested by CCSU, repairs to these types of damages shall be on a time and materials basis.

PROGRAMMING

Bidder shall be responsible for all system programming, regardless of field equipment vendor .There shall be NO SUBCONTRACTING of programming allowed. This is important to ensure we have a complete and accurate database for the system in case of failure.

Bidder will provide a complete system backup on disk or portable drive to be stored with the agency after ANY programming change is made. If programming changes require several days, and back up will be made at the end of each day.

THESE BACKUPS AND ALL SYSTEM SOFTWARE ARE THE SOLE PROPERTY OF CCSU AND SHALL NOT BE REMOVED FROM CCSU PROPERTY WITHOUT WRITTEN PERMISSION FROM THE UNIVERSITY.

PARTS

Awarded contractor shall be responsible for having available the following repair parts and supplies. NO substitutions shall be allowed.

If during the contract parts become unavailable, scarce or develop long lead times, the contractor shall notify CCSU as soon as the condition becomes known to the contractor so CCSU can plan accordingly.

Item

EST

Smoke Detectors

EST 2251FB	5
SIGA-PS	5
SIGA2-PS	5
SIGA2-PCOS (Smoke/CO Combo) or SIGA-PCD	5

Heat Detectors

EST 5251FB	5
SIGA-HFS	5
Standard Heat Detector	5

Bases for above Heat & Smoke Detectors

Base for EST 2251FB and 5251FB	5
Sounder Base for the EST 2251FB	5
Base for the SIGA PS and SIGA HFS	5
Sounder Base for the SIGA PS	5
Base for the SIGA2-PS and SIGA2-PCOS/PCD	5
Sounder Base for the SIGA2-PS and SIGA2-PCOS/PCD	5

Duct Detectors

SIGA-SD (Super Duct)	3
FA-ESD 4WJ Conventional Duct Smoke Detector	3
Analog Pull Station with Addressable Module (of each model used)	2
Signature pull station with Addressable Module (of each model used)	2
Analog Addressable Monitor Modules (of each model used)	2
Signature Addressable Monitor Modules (of each model used)	2
Analog Addressable Control Modules (of each model used)	2
Signature Addressable Control Modules (of each model used)	2
Horn/Strobe Unit (of each model used)	2
Speaker/Strobe Unit (of each model used)	2
Strobe only (of each model used)	2
Panel Power Supply (of each model used)	1
Panel Auxiliary Power Supply (of each model used)	1
Panel Building CPU/Control (of each model used)	1
Panel Voice Control with Microphone (of each model used)	1
Panel Battery* each size (all 12v)	1 Set of each 8ah, 18ah, 35ah, 44ah and 50ah
Panel, Pull Station, Auxiliary box Keys and locks (ES40)	3
EST ZAS Card	2

*Due to shelf life, batteries are not required to be stored on site.

Part B
SCOPE OF SERVICE, TECHNICAL AND FACILITY REQUIREMENTS
TESTING SERVICES

SCOPE OF SERVICE

Contractor shall provide testing of the facility Fire Alarm system consisting of the Fire Alarm systems in all buildings, the interconnection of all buildings to the Central Police Station, and the Central Police Station receiving microprocessors and Color Graphic computers. Security Alarm systems throughout the campus and Carbon Dioxide and Oxygen Depletion detectors will also be included in this contract. Bidder shall be responsible for all control panels, all equipment connected to the control panels and the tie between control panels and the fire alarm system. It is the intent of this specification to provide as complete a scope as possible, however the bidder shall bear ultimate responsibility to know and understand the full nature of the systems. The bidder shall be responsible to maintain, service, and test the complete systems in their entirety.

All Fire Alarm equipment is manufactured for and UL Listed for use in Edwards Systems Technology (EST) and Gamewell. All bidders shall meet all requirements of this specification and no substitutions, compromises, or deviations of any kind shall be allowed. The University Fire Marshal shall be the final authority for interpretation of these specifications.

The successful bidder shall supply trained and certified personnel to test all devices that report into the EST3 and IRC3 fire alarm loops in the CCSU Public Safety dispatch on a semiannual and annual basis, including smoke detectors, co detectors, smoke/co detectors, heat detectors, duct smoke detectors, pull stations and batteries for all panels.

There shall be no outsourcing or use of sub contractors to meet this requirement.

SCHEDULING for TESTING

The testing crews arrive on site and start testing at 8am. Testing shall end at 3pm and crews should be off site by 3:30pm. Crews may arrive early to set up any needed items for testing or to obtain keys.

These times may be changed on a mutual agreement between CCSU and the Vendor to allow for device testing to not interfere with the business operations of CCSU. There shall be no change of contract needed. The vendor shall not charge CCSU for any change in time, unless CCSU requests testing on Holidays, Weekends, or week day hours outside of 6am and 6pm.

RESIDENCE HALL TESTING

The residence hall rooms may need to be tested individually as available. This does not preclude that a residence hall must be totally tested in an expeditious manner. The contractor shall make any provisions necessary to accommodate these inherent conditions of testing these buildings and include them as part of the contractor's bid.

Note: testing of rooms are done sequentially, with the exception of the individual RD Apartment that will be tested during a time scheduled by the University Fire Lt.

MONITORING

CCSU reserves the right to monitor all or any part of the testing, and to stop any test not conforming to this specification or in accordance with CCSU security requirements.

TEST, MAINTENANCE, AND SERVICE REQUIREMENTS

TESTING PROCEDURE/SENSITIVITY TEST

As part of this bid and subsequent contract, the Contractor shall be responsible for the following:

- All testing will be done in accordance with the current National Fire Protection Association Fire Codes and the Fire Codes of the State of CT.
- Testing will only be done during the hours of 8am to 3:00pm, Monday thru Thursday unless otherwise scheduled in advanced with the University Fire Lt.
- During all testing noted below the Alarm Company shall provide that necessary personnel to test the devices and to monitor and acknowledge the Fireworks panel at the CCSU Police Dispatch Center and disable any buildings needed during these tests.
- All Fire Alarm related devices except those noted below will be tested semi-annually commencing in the months of January and July as scheduled by the University Fire Lt.
- All elevator Fire Alarm related items will be tested in the July/August testing as scheduled by the University Fire Lt. The alarm company will assign necessary testing personnel to work with the CCSU Elevator Contractor and the University Electricians to test these devices.
- All Fire Suppression Systems including but not limited to the FM 200 Systems, Kitchen Hood Suppression Systems and CO2 Extinguisher Systems will also be tested semi-annually commencing in the months of January and July as scheduled by the University Fire Lt with the CCSU Fire Suppression Contractor. The Alarm contractor will be asked to silence the horns and strobes in the buildings were this testing is ongoing and will be asked to acknowledge and confirm the device came into the CCSU Police Department Panel and Fireworks Computer. This testing will be done in conjunction with the Semi Annual Fire Alarm Testing.
- All Wet, Dry and Pre-action Sprinkler systems (Supervisory Tamper and Flow Switches) are tested quarterly in January, April or May, July and October by the CCSU Sprinkler Contractor, however The Alarm contractor will be asked to silence the horns and strobes in the buildings were this testing is ongoing and will be asked to acknowledge and confirm the device came into the CCSU Police Department Panel and Fireworks Computer. This testing will be done in conjunction with the Semi Annual Fire Alarm Testing. During the April/May and October tests, the contractor shall also provide personnel to silence the horns and strobes in the buildings were this testing is ongoing and will be asked to acknowledge and confirm the device came into the CCSU Police Department Panel and Fireworks Computer.
- Within 30 days of the conclusion of the testing cycles the Alarm Company shall provide a printed report of all devices tested.
- There will be no partial payment made for testing. Invoice and payment of the semiannual fee will only be done after the completion of the January and, July testing and when reports have been received. It is the sole responsibility of the Fire Alarm Company to in its best interest provide CCSU with the completed reports as soon as possible for prompt payment of the testing fees.

This contract will include testing as follows:

Device Testing - Twice a year, all buildings, each device.

- Every device, including but not limited to, pull stations, heat detectors, duct smoke detectors, elevator recall smoke and heat detectors (tested in July only), magnetic door holders, smoke detectors, strobes, combination horn/strobe units, speakers, combination speaker/strobe units, enunciators, vandal alarm connections, motion detectors, security system control panels, water bugs, temperature alarms, fire alarm control, and voice control panels.
- Sprinkler tamper switches and flow switches are tested quarterly in the field by a third party and are not part of this RFQ. However contractor personnel at the Police Department shall be asked to disable building sound devices and shall be asked to acknowledge and clear all devices as part of this semi-annual fire alarm testing.

- Each device shall initiate an alarm condition within the building, at the building panel and associated enunciators, at the Central receiving control and alpha-numeric display, on the Color graphic computer display, and on a print-out.
- The contractor shall verify each alarm at each local device point, local control panel, and the central receiving control, color graphics display, and printer before verifying the next alarm. Communication shall be via cell phone or portable radio on a frequency not used by the Agency.
- Testing is done starting in January (approximately 4 - 5 months) and in July (approximately 5 – 6 months). This schedule may be adjusted by CCSU to accommodate construction or other projects. April and October Sprinkler testing is typically done over eight to nine days.

Local Building Panels - Each local fire alarm and security panel shall be tested by the operation of each device. One half of each system test shall be performed on batteries only. Batteries shall be replaced as required. Note: testing of the Gamewell panels on batteries with a concurrent disconnection of AC power requires the reprogramming of the panel. The panels shall be reset after each device is operated to assure the proper confirmation of each device alarm.

Central Station - The Central Station addressable receiving panel and Color Graphics shall be tested by the operation of each remote alarm point. The following is required for each received alarm:

- Verify at the receiving panel as to the location of device on the alphanumeric display
- Verify at the Color Graphics control as to the location, correct type of alarm, proper message, and correctness of printout.
- Contractor may also be contracted to modify the nomenclature, add or delete points as required. Such modification of programming is typically done via a service call. It is imperative that the contractor has the necessary expertise to make any changes to the receiving panels, CPU, and Color Graphic touch screens. Familiarity with AutoCAD 2013, .DWG file formats, and the Agency’s as-built drawings is mandatory.
- Verify alarm transmission to the off campus monitoring company.

DOCUMENTATION OF TESTS (Existing equipment)

All printouts from the central station showing each device shall be submitted on National Fire Protection Association (NFPA) forms (NFPA 72/99 figure 7-5.2.2). One form is required for each building, signed by the test technician, and an officer of the contractor’s corporation. All forms must be complete and accurate and also show any problems found and the corrective action taken. All forms are to be presented at one time after each complete facility test. The final test reports and forms will be sent to the University Fire Lt. via Email and a Hard Copy will also be mailed or hand delivered to the CCSU Facilities Department.

The contractor shall provide a web based “Preventative Maintenance Management Software” system that will provide a history of inspection information that tracks discrepancies, service work history, and compliance information. Inspection information is stored in a master database eliminating manual process and is accessible remotely by specified users.

All devices shall have an accurate history and tracking of repairs documented and stored on the web data base. Date of test, deficiencies found, date of repairs, disabling/enabling of device and other narrative information must be able

to be stored. Users must be able to view reports that easily searchable and identify devices found to be non-compliant. Inspection information is archived for historical purposes and placed on recurring inspection schedule.

At the completion of the contract period, the contractor will surrender all information, on disk, to CCSU in a format deemed usable by the CCSU IT department for placement on the University's web server.

The contractor shall keep, in conjunction with the CCSU Fire Marshal, a daily log sheet of any devices (including but not limited to Batteries, Smoke Detectors, Heat Detectors, CO Detectors, Pull Stations, Duct Smoke Detectors) that may require service. The Contractor shall upon finding any issues that may require a repair, report the problem immediately to the University Fire Lt.

CCSU RFP 2019-04
CCSU FIRE AND SECURITY ALARM SYSTEMS
PRICE SCHEDULE

Normal Hourly Rate, Monday through Friday, 8:00 a.m.- 4:30 p.m. \$_____/hour

Overtime Hourly Rate, Monday through Friday, 4:30 p.m. - 8:00 a.m.\$_____/hour

Saturdays & Sundays Hourly Rate \$_____/hour

Holidays* Hourly Rate \$_____/hour

* Holidays are defined as New Year's Day, Independence Day, Thanksgiving Day and Christmas Day

Discount for Parts _____%

Contractors shall use the UN List Price as a benchmark for pricing.

CCSU reserves the right to request copies of supplier invoices at any time for auditing.

Semi-annual cost for testing of the CCSU fire and security alarm systems –

January services on campus: \$_____ per service
(Includes 25% of bi-annual sensitivity testing)

July services on campus: \$_____ per service
(Includes 25% of bi-annual sensitivity testing and elevator recall smoke and heat detectors)

January services at **ITBD**: \$_____ per service
(Includes 25% of bi-annual sensitivity testing)

July services at **ITBD**: \$_____ per service
(Includes 25% of bi-annual sensitivity testing and elevator recall smoke and heat detectors)

January services at **Charter Oak**: \$_____ per service
(Includes 25% of bi-annual sensitivity testing)

July services at **Charter Oak**: \$_____ per service
(Includes 25% of bi-annual sensitivity testing and elevator recall smoke and heat detectors)

Company Name _____

Authorized Signature _____

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STATEMENT OF BIDDER'S QUALIFICATIONS for RFQ 2019-04, page 1 of 3

This form will be used in assessing a Bidders Qualification and will be used to determine if bid submitted is from a responsible bidder. State law designates that contracts be awarded to the lowest responsible qualified bidder. Factors such as past performance, integrity of the bidder, conformity to the specifications, etc., will be used in evaluating bids. Attach additional sheet(s) as necessary.

Name: _____

Business Address: _____

Location of facility that will be servicing CCSU, if different –

How many years has your company been in business under its present name? _____

How many years has your firm been performing the specific type of work described herein? _____

List any relevant Certifications, Licenses, Registrations, etc., which qualify your company to meet the requirements of this bid. (PLEASE ATTACH AN ADDITIONAL SHEET IF NECESSARY)

Does your company have:

In-house CAD facilities utilizing AutoCAD, current version? _____

In-house technical expertise to update and maintain .DWG files as required? _____

The ability to respond to all service calls within two (2) hours on a 24 hour a day basis? _____

At your facility, a UL listed smoke detector sensitivity tester? _____

In stock at your facility all spare parts necessary for the CCSU fire alarm system in addition to the spare parts required by this specification? _____

STATEMENT OF BIDDER'S QUALIFICATIONS for RFQ 2019-04, page 2 of 3

Is your company a UL Certification Station, listed under UUIS? _____

As requested in the RFQ Response Checklist on page 2, a letter from EST verifying that the bidder is a Strategic Partner with EST and is an authorized service organization, with factory trained personnel based in the servicing office, for all of the respective equipment at the facility has been included? _____

As requested in the RFQ Response Checklist on page 2, documentation of experience and knowledge in the operation and repair of EST/IRC Systems has been included? _____

As requested in the RFQ Response Checklist on page 2, documentation of experience and knowledge in the operation and repair of Gamewell fire alarm equipment has been included? _____

Provide day and night telephone numbers for the two hour emergency service response requirement –

Provide a listing of all employees, including technical personnel who may be assigned to service CCSU, contact names if different from the service personnel, and a name CCSU should contact to arrange for a visit to the bidder's facility. Include work experience including qualifications, certifications, etc

Provide an organizational chart showing the contract administrators and staff up to, and including, the president. (PLEASE ATTACH CHART AS NECESSARY)

List all other contracts your company currently holds (current client list) for similar services for accounts similar in size, scope and nature to that of CCSU. Include account name, nature of service(s) and annual dollar value.

STATEMENT OF BIDDER'S QUALIFICATIONS for RFQ 2019-04, page 3 of 3

In the past three years, has your company failed to complete any work awarded to it? _____ If yes, provide details on when, where and why.

In the past three years, has your company had a contract terminated for failure to perform, or for failure to meet any requirements of the contract? _____ If yes, provide details on when, where and why.

In the past three years, have you, or your company, been cited by the Labor Department of the State of Connecticut, or by any State agency, for any violations of state, or federal, labor laws, regulations, or guidelines, for nonpayment of wages and/or benefits to your employees? _____ If yes, provide details on when, where and why.

Are there any judgments, claims or suits pending, or outstanding, against your company, or its officers? _____ If yes, provide details on when, where and why.

Is your company, or any of its principals, presently debarred, suspended, proposed for debarment, declared ineligible, or involuntarily or voluntarily excluded by any State, or Federal, agency from participation in bidding on construction projects? _____ If yes, please attach a letter of explanation.

ACKNOWLEDGMENT: I (We), the undersigned, hereby certify that the above information is true and accurate and that the Agency will rely on said information as a basis for determining the bidder's qualifications for the Project being bid. The undersigned further understands that any material misrepresentation, or inaccuracy, stated above will result in bidder's disqualification, or if applicable, will constitute grounds for the termination of the contract for construction, should one have been issued to the bidder.

Name of Company _____

Signature _____

Print Name: _____

Title: _____

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REFERENCES for CCSU RFP 2019-04

Proposals should include five organizations or institutions, of similar or the same size, where you have provided services similar to the services outlined herein. Provide current references that show current locations of your contracts on Edward System Technology (EST) fire alarm panels (EST3 and IRC3) and use of the EST fireworks computer graphic computer. Two EST3 w/ Computerized Fireworks Graphics references and two IRC location references preferable. Please indicate experience next to each reference.

Any experience with ANY Connecticut State agency MUST be included here.

Please include name, title, telephone number and e-mail address of a contact person at each institution.

References may be checked electronically; the requirement for e-mail addresses is a mandatory requirement.

References:	Institution	Contact	Telephone No.
Reference #1	_____	_____	_____
E-mail:	_____		
Reference #2	_____	_____	_____
E-mail:	_____		
Reference #3	_____	_____	_____
E-mail:	_____		
Reference #4	_____	_____	_____
E-mail:	_____		
Reference #5	_____	_____	_____
E-mail:	_____		

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COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES
CONTRACT COMPLIANCE REGULATIONS
NOTIFICATION TO BIDDERS (rev 09/17/07)

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to “aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials.” “Minority business enterprise” is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: “(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n.” “Minority” groups are defined in Section 32-9n of the Connecticut General Statutes as “(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the Iberian Peninsula . . . (4) Women . . . (5) Asian Pacific Americans and Pacific Islanders; (6) American Indians . . .” An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder’s qualifications under the contract compliance requirements:

- (a) the bidder’s success in implementing an affirmative action plan;
- (b) the bidder’s success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Administrative Regulations of Connecticut State Agencies, inclusive;
- (c) the bidder’s promise to develop and implement a successful affirmative action plan;
- (d) the bidder’s submission of employment statistics contained in the “Employment Information Form”, indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
- (e) the bidder’s promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.

INSTRUCTIONS AND OTHER INFORMATION

The following two (2) sided BIDDER CONTRACT COMPLIANCE MONITORING REPORT must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidders good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenues not exceeding ten million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

MANAGEMENT: Managers plan, organize, direct, and control the major functions of an organization through subordinates who are at the managerial or supervisory level. They make policy decisions and set objectives for the company or departments. They are not usually directly involved in production or providing services. Examples include top executives, public relations managers, managers of operations specialties (such as financial, human resources, or purchasing managers), and construction and engineering managers.

BUSINESS AND FINANCIAL OPERATIONS: These occupations include managers and professionals who work with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, credit, and financial analysts.

MARKETING AND SALES: Occupations related to the act or process of buying and selling products and/or services such as sales engineer, retail sales workers, and sales representatives including wholesale.

LEGAL OCCUPATIONS: In-House Counsel who is charged with providing legal advice and services in regards to legal issues that may arise during the course of standard business practices. This category also includes assistive legal occupations such as paralegal, legal assistants.

COMPUTER SPECIALISTS: Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software engineers, database administrators, computer scientists, systems analysts, and computer support specialists.

ARCHITECTURE AND ENGINEERING: Occupations related to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

OFFICE AND ADMINISTRATIVE SUPPORT: All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written communications and records; collecting accounts; gathering and distributing information; operating office machines and electronic data processing equipment; and distributing mail. Job titles listed in this category include telephone operators, bill and account collectors, customer service representatives, dispatchers, secretaries and administrative assistants, computer operators and clerks (such as payroll, shipping, stock, mail and file).

BUILDING AND GROUNDS CLEANING AND MAINTENANCE: This category includes occupations involving landscaping, housekeeping, and janitorial services. Job titles found in this category include supervisors of landscaping or housekeeping, janitors, maids, grounds maintenance workers, and pest control workers.

CONSTRUCTION AND EXTRACTION: This category includes construction trades and related occupations. Job titles found in this category include boilermakers, masons (all types), carpenters, construction laborers, electricians, plumbers (and related trades), roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and painters. Paving, surfacing, and tamping equipment operators; drywall and ceiling tile installers; and carpet, floor and tile installers and finishers are also included in this category. First line supervisors, foremen, and helpers in these trades are also grouped in this category.

INSTALLATION, MAINTENANCE AND REPAIR: Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

MATERIAL MOVING WORKERS: The job titles included in this group are Crane and tower operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and freight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators; refuse and recyclable material collectors; and miscellaneous material moving workers.

PRODUCTION WORKERS: The job titles included in this category are chemical production machine setters, operators and tenders; crushing/grinding workers; cutting workers; inspectors, testers sorters, samplers, weighers; precious stone/metal workers; painting workers; cementing/gluing machine operators and tenders; etchers/engravers; molders, shapers and casters except for metal and plastic, and production workers.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information)

White (not of Hispanic Origin)- All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East.

Black(not of Hispanic Origin)- All persons having origins in any of the Black racial groups of Africa.

Hispanic-All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin regardless of race.

Asian or Pacific Islander- All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa.

American Indian or Alaskan Native- All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

BIDDER CONTRACT COMPLIANCE MONITORING REPORT (Page 3)

PART I - Bidder Information

Company Name Street Address City & State Chief Executive	Bidder Federal Employer Identification Number _____ Or Social Security Number _____
Major Business Activity (brief description)	Bidder Identification (response optional/definitions on page 1) -Bidder is a small contractor. Yes__ No__ -Bidder is a minority business enterprise Yes__ No__ (If yes, check ownership category) Black__ Hispanic__ Asian American__ American Indian/Alaskan Native__ Iberian Peninsula__ Individual(s) with a Physical Disability__ Female__
Bidder Parent Company (If any)	-Bidder is certified as above by State of CT Yes__ No__
Other Locations in Ct. (If any)	- DAS Certification Number _____

PART II - Bidder Nondiscrimination Policies and Procedures

1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes__ No__	7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.? Yes__ No__
2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes__ No__	8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes__ No__
3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes__ No__	9. Does your company have a mandatory retirement age for all employees? Yes__ No__
4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes__ No__	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes__ No__ NA__
5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes__ No__	11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes__ No__ NA__
6. Does your company have a collective bargaining agreement with workers? Yes__ No__ 6a. If yes, do the collective bargaining agreements contain non-discrimination clauses covering all workers? Yes__ No__ 6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of Ct? Yes__ No__	12. Does your company have a written affirmative action Plan? Yes__ No__ If no, please explain.
	13. Is there a person in your company who is responsible for equal employment opportunity? Yes__ No__ If yes, give name and phone number.

Part III - Bidder Subcontracting Practices

1. Will the work of this contract include subcontractors or suppliers? Yes__ No__
- 1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)
- 1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above? Yes__ No__

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JOB CATEGORY *	OVERALL TOTALS	WHITE (not of Hispanic origin)		BLACK (not of Hispanic origin)		HISPANIC		ASIAN or PACIFIC ISLANDER		AMERICAN INDIAN or ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Management											
Business & Financial Ops											
Marketing & Sales											
Legal Occupations											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support											
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction											
Installation, Maintenance & Repair											
Material Moving Workers											
Production Occupations											
TOTALS ABOVE											
Total One Year Ago											
FORMAL ON THE JOB(T) (ENTER FIGURES FOR THE SAME CATEGORIES AS ARE SHOWN ABOVE)											
Apprentices											
Trainees											

* NOTE: Job categories can be changed or added to (ex. Sales can be added or replace a category not used in your company)

PART V - Bidder Hiring and Recruitment Practices

1. Which of the following recruitment sources are used by you? (Check yes or no, and report percent used)				2. Check (X) any of the below listed requirements that you use as a hiring qualification (X)				3. Describe below any other practices or actions that you take which show that you hire, train, show that you hire, train and promote employees without discrimination			
SOURCE	YES	NO	% of applicants provided by source								
State Employment Service							Work Experience				
Private Employment Agencies							Ability to Speak or Write English				
Schools and Colleges							Written Tests				
Newspaper Advertisement							High School Diploma				
Walk Ins							College Degree				
Present Employees							Union Membership				
Labor Organizations							Personal Recommendation				
Minority/Community Organizations							Height or Weight				
Others (please identify)							Car Ownership				
							Arrest Record				
							Wage Garnishments				

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

(Signature)	(Title)	(Date Signed)	(Telephone)
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STATE OF CONNECTICUT
NONDISCRIMINATION CERTIFICATION – Affidavit
By Entity
For Contracts Valued at \$50,000 or More

Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended

INSTRUCTIONS:

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT:

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath. I am _____ of _____, an entity
 Signatory's Title Name of Entity
 duly formed and existing under the laws of _____.
 Name of State or Commonwealth

I certify that I am authorized to execute and deliver this affidavit on behalf of _____ and that _____
 Name of Entity Name of Entity

has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended.

 Authorized Signatory

 Printed Name

Sworn and subscribed to before me on this _____ day of _____, 20____.

**Commissioner of the Superior Court/
 Notary Public**

Commission Expiration Date

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STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE:

Initial Certification 12 Month Anniversary Update (Multi-year contracts only.)

Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- 1) "Contract" means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- 3) "Contractor" means the person, firm or corporation named as the contractor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any campaign contributions to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that all lawful campaign contributions that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign Contributions to Candidates for Statewide Public Office:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Lawful Campaign Contributions to Candidates for the General Assembly:

<u>Contribution Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

Printed Contractor Name

Printed Name of Authorized Official

Signature of Authorized Official

Subscribed and acknowledged before me this _____ day of _____, 20____.

Commissioner of the Superior Court (or Notary Public)

My Commission Expires



STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a State contract for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b)

INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or vendor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. **If the bidder or vendor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1):** Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

AFFIDAVIT: [Number of Affidavits Sworn and Subscribed On This Day: _____]

I, the undersigned, hereby swear that I am the chief official of the bidder or vendor awarded a contract, as described in Connecticut General Statutes § 4a-81(a), or that I am the individual awarded such a contract who is authorized to execute such contract. I further swear that I have not entered into any consulting agreement in connection with such contract, **except for the agreement listed below:**

_____ Consultant's Name and Title		_____ Name of Firm (if applicable)
_____ Start Date	_____ End Date	_____ Cost

Description of Services Provided: _____

Is the consultant a former State employee or former public official? YES NO

If YES: _____
Name of Former State Agency Termination Date of Employment

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

_____ Printed Name of Bidder or Vendor	_____ Signature of Chief Official or Individual	_____ Date
---	---	----------------------

Printed Name (of above) Awarding State Agency

Sworn and subscribed before me on this _____ day of _____, 20____.

**Commissioner of the Superior Court
or Notary Public**

My Commission Expires _____

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LEFT BLANK
INTENTIONALLY**

Connecticut State University System



**Central Connecticut State University
Eastern Connecticut State University
Southern Connecticut State University
Western Connecticut State University
System Office**

STANDARD TERMS AND CONDITIONS

I. DEFINITIONS

The following words, when used herein, shall have the following meanings:

1. "Contract" shall mean any agreement negotiated by and between CCSU and the contractor selected by CCSU as the result of a request for proposal, request for quotation, or request for bid, including, but not limited to, a personal service agreement or purchase order.
2. "CCSU" shall refer to Central Connecticut State University.
3. "Person" shall mean an individual, partnership, corporation or other business entity, as the context requires.
4. "Proposal" shall mean a response to a request for proposal, request for bid, or request for quotation.
5. "Proposer" shall mean a contractor that submits a response to a request for proposal, request for bid, or request for quotation.
6. "RFP" shall mean a request or invitation for proposal, bid, or quotation, as applicable.

II. TERMS AND CONDITIONS RELATED TO REQUESTS FOR PROPOSALS

A. General Conditions

1. CCSU reserves the right to amend or cancel an RFP prior to the date and time for the opening of proposals. CCSU, in its sole discretion, reserves the right to accept or reject any and all proposals, in whole or in part, and to waive any technicality in any proposal submitted, and to accept any part of a proposal deemed to be in the best interest of CCSU.
2. Proposals received from proposers debarred by the State of Connecticut will not be considered for award.
3. CCSU does not commit to specific volumes of activity, nor does it guarantee the accuracy of statistical information provided in the RFP. Such information is supplied to proposers for reference only.
4. All responses to the RFP shall be and remain the sole property of CCSU.
5. Each proposer shall bear all costs associated with proposer's response to an RFP, including, but not limited to, the costs of any presentation and/or demonstration required by CCSU. In addition, answers or clarifications sought by CCSU arising out of or in connection with the proposal shall be furnished by the proposer at the proposer's expense.
6. CCSU reserves the right to negotiate, as it may deem necessary, with any or all of the proposers that submit proposals.
7. Any alleged oral agreement or arrangement made by any proposer with CCSU or any employee thereof shall not be binding.

B. Submission of Proposals

1. Proposals must be submitted on forms supplied by CCSU. Telephone, facsimile, or email proposals will not be accepted in response to an RFP.
2. Proposals must be computer prepared, typewritten or handwritten in ink. Proposals submitted in pencil will be rejected.
3. Proposers must answer all the questions set forth in the RFP using the outline and numbering scheme set forth therein. Proposers must furnish all information requested in the RFP and supply all materials required for consideration. Failure of the proposer to answer all questions and supply all information and materials requested may be grounds for rejection of the proposal.
4. All proposals must be signed by a person duly authorized to sign proposals on behalf of the proposer. All signatures on the proposal must be original. Proposals bearing stamp signatures will be rejected. Unsigned proposals will be rejected.
5. Alterations or corrections to the proposal must be initialed by the person signing the proposal or his or her authorized designee. All initials on alterations or corrections to the proposal must be original. In the event that an authorized designee initials an alteration or correction, the proposer must submit a written authorization from the proposal's signatory to the authorized designee, authorizing the designee to make the alteration or correction. Failure to submit such an authorization shall result in rejection of proposal as to those items altered or corrected and not initialed.
6. Conditional proposals are subject to rejection in whole or in part, in the sole discretion of CCSU. A conditional proposal is defined as one that limits, modifies, expands or supplements any of the terms and conditions and/or specifications of the RFP.
7. Alternate proposals will not be considered by CCSU, unless otherwise noted on the RFP or on the proposal form. An alternate proposal is defined as one that is submitted in addition to the proposer's primary response to the RFP.
8. Each proposer's prices must be firm for a period up to 120 days from date of the opening of proposals. Prices must be extended in decimal, not fraction, must be net, and must include transportation and delivery charges, fully prepaid by the contractor, to the destination specified in the proposal, and subject only to cash discount.
9. Pursuant to Section 12-412 of the Connecticut General Statutes, the State of Connecticut is exempt from the payment of excise, transportation and sales taxes imposed by the Federal Government and/or the State. Accordingly, such taxes must not be included in proposal prices.
10. If there is a discrepancy between a unit price and an extended price, the unit price will govern.
11. By submitting a proposal, the proposer asserts that the offer and information contained therein is in all respects fair and without collusion or fraud and was not made in connection with any competing proposer's submission of a separate response to the RFP. By submitting a proposal, the proposer further asserts that it neither participated in the formation of CCSU's solicitation development process nor had any knowledge of the specific contents of the RFP prior to its issuance, and that no employee of CCSU participated directly or indirectly in the preparation of the proposer's proposal.
12. Proposals for the provision of services must include the cost of obtaining all permits, licenses, and notices required by the city or town in which the services is to be provided, and the State and Federal governments..

III. CONTRACT AWARD

1. All proposals properly submitted will be opened and read publicly. Upon execution of awarding contract, the proposals are subject to public inspection. CCSU will not prepare abstracts of proposals received for distribution, nor will information concerning the proposals received be conveyed by telephone.
2. Award will be made to the lowest responsible qualified proposer who complies with the proposal requirements. Price alone need not be the sole determining factor for an award. Other criteria, listed in the RFP, may be considered by CCSU in the award determination.
3. CCSU reserves the right to grant an award and/or awards by item, or part thereof, groups of items, or all items of the proposal and to waive minor irregularities and omissions if, in CCSU's judgment, the best interests of CCSU or the State of Connecticut will be served.
4. CCSU reserves the right to correct inaccurate awards resulting from its administrative errors.

IV. TERMS AND CONDITIONS RELATED TO CONTRACT WITH SUCCESSFUL PROPOSER

By submitting a response to the RFP, the proposer agrees that any contract negotiated between it (if the successful proposer), as contractor, and CSU may contain the following provisions, as deemed applicable by CSU:

A. General Conditions

1. The contractor shall: (i) guarantee its products against defective materials and workmanship; (ii) repair damage of any kind, for which it is responsible, to CSU's premises or equipment, to its own work or to the work of other contractors; (iii) obtain and pay for all applicable licenses, permits, and notices; (iv) give all notices and comply with all requirements of the municipality in which the service is to be provided and of the State and federal governments; and (v) carry proper and sufficient insurance to protect the State from loss.
2. The contract shall be interpreted and governed by the laws of the State of Connecticut, without regard to its principles of conflicts of laws.
3. The contractor agrees that it shall be subject to and abide by all applicable federal and state laws and regulations.
4. The contractor agrees that it shall comply with Section 4a-60 of the Connecticut General Statutes and with Executive Orders Nos. 3, 16, 17 and 7C.
5. The contractor agrees that the sole and exclusive means for the presentation of any claim against the State of Connecticut, the Connecticut State University or the Board Of Trustees arising from a contract with CSU, shall be in accordance with the provisions of Chapter 53 of the Connecticut General Statutes (Claims Against the State) and that no additional legal proceedings will be initiated in any state or federal court in addition to, or in lieu of, said Chapter 53 proceedings.
6. The contractor or subcontractor, as applicable, shall offer and agree to assign to CSU all rights, title and interest in and to all causes of action it may have under Section 4 of the Clayton Act, 15 U.S.C. 15, or under Chapter 624 of the general statutes, arising from the purchase of services, property or intangibles of any kind pursuant to a public purchase contract or subcontract; such assignment shall be made and become effective at the time the contract is executed by the parties, without further acknowledgment by them.
7. The contractor shall not assign or otherwise dispose of the contract or its right, title or interest therein, or its power to execute such contract, to any other person without the prior written consent of CSU.
8. If any provision, term or condition of the contract is prohibited, invalid, or unenforceable then that provision, term or condition shall be ineffective to the extent of the prohibition, invalidity, or prohibition without invalidating the remaining provisions, terms and conditions unless it materially alters the nature or intent thereof.
9. Should the terms of any purchase order or invoice issued in connection with the contract conflict with the terms of the contract, the terms of the contract shall prevail.
10. Failure of the contractor to deliver commodities or perform services as specified in the contract will constitute authority for CSU to purchase these commodities or services on the open market. The contractor shall promptly reimburse CSU for excess costs incurred by CSU due to these purchases, and these purchases shall be deducted by CSU from the quantities contracted for.
11. No right or duty, in whole or in part, of the contractor under the contract may be assigned or delegated without the prior written consent of CSU. The subcontracting or assignment of any of contractor's obligations under the contract to a subcontractor shall require the prior written approval of CSU.
12. Upon termination of the contract by CSU, the contractor shall both immediately discontinue all services (unless the notice directs otherwise) and deliver to CSU all data, drawings, specifications, reports, estimates, summaries, and such other information and materials as may have been accumulated by the contractor in performing its duties under the contract, whether completed or in progress. All such documents, information, and materials shall become the property of CSU.
13. The State of Connecticut shall assume no liability for payment for services under the terms of the contract until the contractor is notified that the contract has been accepted by CSU and, if applicable, approved by the Office of Policy and Management ("OPM") or the Department of Administrative Services ("DAS") and by the Attorney General of the State of Connecticut.

Central Connecticut State University is an affirmative action equal opportunity institution. The University will not knowingly do business with any bidder, contractor, subcontractor or supplier of materials found to be in violation of any state or federal antidiscrimination law.



NOTICE TO EXECUTIVE BRANCH STATE CONTRACTORS AND PROSPECTIVE STATE CONTRACTORS OF CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

This notice is provided under the authority of Connecticut General Statutes §9-612(g)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined below):

CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No *state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor*, with regard to a *state contract or state contract solicitation* with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall **knowingly solicit** contributions from the state contractor's or prospective state contractor's employees or from a *subcontractor or principals of the subcontractor* on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

PENALTIES FOR VIOLATIONS

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties - Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties - Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, www.ct.gov/seec. Click on the link to "Lobbyist/Contractor Limitations."

DEFINITIONS

“State contractor” means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. “State contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee.

“Prospective state contractor” means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. “Prospective state contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee.

“Principal of a state contractor or prospective state contractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has *managerial or discretionary responsibilities with respect to a state contract*, (v) the spouse or a *dependent child* who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

“State contract” means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. “State contract” does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

“State contract solicitation” means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

“Managerial or discretionary responsibilities with respect to a state contract” means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

“Dependent child” means a child residing in an individual’s household who may legally be claimed as a dependent on the federal income tax of such individual.

“Solicit” means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

“Subcontractor” means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor’s state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. “Subcontractor” does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee.

“Principal of a subcontractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.



Central Connecticut State University

Start with a Dream. Finish with a Future.

Purchasing Department

To all interested parties

November 5, 2009

In an effort to provide the safest possible environment for our students, faculty and staff while recognizing that many of the services required to operate the university are provided by employees of private companies and as such are not directly subject to the Connecticut State University System Pre-Employment Background Verification Policy (dated March 4, 2005), CCSU is incorporating the following language into all its ongoing service contracts -

“The Contractor shall be responsible for conducting background checks, including social security number verification; federal criminal check; criminal arrests, convictions, and warrants; Sex Offender Registry status; and a motor vehicle check on all individuals it plans to assign to work on CCSU's campus. The Contractor shall screen individuals based on the results of their background checks prior to assigning them to work on CCSU's campus. For those individuals whom the Contractor has assigned to work on CCSU's campus, the Contractor shall provide a designated CCSU administrator with access to their background checks a minimum of two weeks prior to the beginning of their work assignments at CCSU. CCSU shall have the right to refuse the assignment to CCSU of individuals who have been convicted of violent crimes, crimes against property including vandalism and theft, or who are listed in the Sex Offender Registry.”

SSC Inc of Shelton CT holds the CSUS contract for employment screening services. To maintain quality and consistency it is strongly suggested that contractors use SSC for their background checks. The contact person at SSC is Maribeth DiIullo. Maribeth can be reached at 203-925-6192. At SSC's discretion background checks may be conducted for states other than Connecticut if the individual's residence history warrants.

All background checks shall be maintained by the contractor as part of the employee's records and shall be made available to CCSU immediately upon request.

Note that this shall apply to all new hires from October 1, 2009 forward but may be required for any contractor employee at the request of CCSU.

Sincerely

Thomas J. Brodeur, C.P.M.
Director of Purchasing
Phone: (860) 832-2531

xc: Bachoo, Magnan, Cintorino, Scarlett, Karas

ENVIRONMENTAL HEALTH AND SAFETY PROCEDURES AND REQUIREMENTS

The Central Connecticut State University Office of Environmental Health and Safety (EH&S) remains committed to providing a safe work place environment for its students, faculty, staff and contractors. The following procedures have been put into place for all contractors to follow with all work both routine and emergency. Failure to comply will lead to job stoppage, employee suspension or removal and possible loss of contract work.

- A. All workers need to be experienced and OSHA safety trained in their specific job duties or trades.
- B. A job hazard analysis must be completed in writing by the contractor utilizing administrative, engineering and personal protective controls.
- C. A safety plan must be submitted for approval to the CCSU EH&S, including all Material Safety Data Sheets along with OSHA 10 and all other document-required trainings, i.e. scaffolds, confined space, lift truck, tow motor, etc.

Barricades, safe work zones, signage, timing of work and other work control methods are required in the safety plan as the protection of the students, staff and faculty and workers is required at all times and is of the utmost importance.

All unsafe work conditions are required to be addressed at once. Near misses and other unsafe events should be documented and reported to the EH&S office at once.

- D. A hot work permit must be obtained prior to any hot work. Contact EH&S for written permission.
- E. If any work will block or alter a response of Emergency Apparatus, (fire, police or medical) the contractor must gain approval from the CCSU EH&S in advance.
- F. Contractor are NOT ALLOWED to tamper or disable any fire detection/protection device without the written permission of the CCSU EH&S. This includes physically covering a device.
- G. Contractor must provide to EH&S a list of emergency contact numbers during work and off hours.
- H. Contractor assumes responsibility for site safety at all times. If any student or staff enters into a work zone without being signed onto the safety plan or not wearing the correct personal protective equipment, work must be stopped and the situation corrected.
- I. Contractor assumes responsibility for securing the site or any hazards upon completion of work both at lunch and end of day.
- J. Contractor is required to secure and provide a "CALL BEFORE YOU DIG" permit if digging any holes by mechanical means, and only after an acceptable layout has been done.
- K. All contractor injuries must report to the CCSU EH&S within 1 hour of the injury. A written report, photos and method changes will be required before the job re-starts.
- L. Contractors doing work on off hours (Monday thru Friday 8:00 am to 4:00 pm) must sign in and out with the university Police Department whenever there is no project manager, CCSU contract administrator or EH&S professional available.
- M. The project managers will perform job site inspections daily or as needed with the project contact person.
- N. Any changes to work plans or documents require reporting to CCSU EH&S
- O. Unless specifically required for the project at hand, use of campus sidewalks by contractor vehicles is restricted to emergencies only and needs prior approval by EH&S or the University Police. All vehicles on campus sidewalks shall follow these rules of operation:
 - Vehicle speed shall not exceed 5 mph
 - Vehicle shall turn on flashers and headlights at all times
 - Driver and passenger(s) shall wear seatbelts whenever the vehicle is in motion
 - Contractor shall provide a ground man for larger vehicles and or while backing up
- P. No work by a contractor or sub will start without first contacting the office of Environmental Health and Safety unless it has immediate impact to student/staff safety or is emergency response related. All off hour injuries, near miss, and other incidents require immediate contact even in off hours. CCSU Office of Environmental Health and Safety contact information:

Karen Misbach 860-832-2499, email: karen.misbach@ccsu.edu
Terrence Ferrarotti 860-832-2386, email: ferrarottitep@ccsu.edu
CCSU Police Department 860-832-2375