CONTRACT SUPPLEMENT

SP-37 - Rev. 11/14/19 Prev. Rev. 10/24/19

Jill Belisle

DESCRIPTION:

Contract Specialist

860-713-5149 *Telephone Number*

STATE OF CONNECTICUT

DEPARTMENT OF ADMINISTRATIVE SERVICES
PROCUREMENT DIVISION
450 Columbus Boulevard, Hartford, CT 06103

CONTRACT AWARD NO.:
15PSX0010
Contract Award Date:
1 May 2015
Bid Due Date:
26 March 2015
SUPPLEMENT DATE:
11 May 2020

CONTRACT AWARD SUPPLEMENT #2

IMPORTANT: THIS IS NOT A PURCHASE ORDER. DO NOT PRODUCE OR SHIP WITHOUT AN AGENCY PURCHASE ORDER.

Books - Trade, Text, Technical, Medical, Paperback, Library, University Press and "Net" Books			
FOR:		TERM OF CONTRACT:	
Department of Educati	on,		
All Using State Agencies and		June 1, 2015 through May 31, 2020	
Political Subdivisions		Extended through May 31, 2025	
		AGENCY REQUISITION NUMBER: 0000000835	
CHANGE TO IN STATE (NON-SB)	CHANGE TO DAS-CERTIFIED SMALL	CHANGE TO OUT OF STATE	CHANGE TO TOTAL CONTRACT
CONTRACT VALUE	BUSINESS CONTRACT VALUE	CONTRACT VALUE	Award Value
-	-	\$250,000.00	\$250,000.00 est.

NOTICE TO CONTRACTORS: This notice is not an order to ship. Purchase Orders against contracts will be furnished by the using agency or agencies on whose behalf the contract is made. INVOICE SHALL BE RENDERED DIRECT TO THE ORDERING AGENCY.

<u>DISCLAIMER OF VALUE</u>: The total Contract Award amount stated is intended solely as an estimate, and does not constitute a representation of the actual value of the Contract.

<u>CASH DISCOUNTS:</u> Cash discounts, if any, shall be given SPECIAL ATTENTION, but such cash discount shall not be taken unless payment is made within the discount period.

<u>PRICE BASIS:</u> Unless otherwise noted, prices include delivery and transportation charges fully prepaid f.o.b. agency. No extra charge is to be made for packing or packages.

PLEASE NOTE:

- This Contract is hereby extended from May 31, 2020 to May 31, 2025.
- All terms and conditions not otherwise affected by this supplement remain unchanged and in full force and effect.

By: (Original Signature on Document in Procurement Files)

DEPARTMENT OF ADMINISTRATIVE SERVICES

Name: **JILL BELISLE**Title: Contract Specialist
Date: May 11, 2020

CONTRACT SUPPLEMENT

SP-37 - Rev. 4/28/14 Prev. Rev. 3/12/14 CONTRACT AWARD NO.: 15PSX0010

Contract Supplement #2

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Advanced Educational Products, Inc.

Company Address: 2495 Main Street, Suite 230, Buffalo, NY 14214

 Tel. No.: 800-311-1522
 Fax No.: 716-446-5642
 Contract Value: \$50,000 est.

 Contact Person: Renee Larcom
 Delivery: within 10 days ARO

Company E-mail Address and/or Company WebSite: sales@aepbooks.com/www.aepbooks.com

Certification Type (SBE, MBE or None): **None**Agrees to Supply Political SubDivisions: **Yes**

Prompt Payment Terms: 0%00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Baker & Taylor, LLC

Company Address: 2550 West Tyvola Road, Suite300, Charlotte, NC 28217

 Tel. No.: 800-775-7930x3169
 Fax No.: 704-998-3260
 Contract Value: \$50,000 est.

 Contact Person: Susan Gurley
 Delivery: 1-10 Days ARO

Company. E-mail Address and /or Company WebSite: <a href="mailto:susan.gurley@baker-taylor.com/www.baker-taylor.co

Certification Type (SBE, MBE or None): **None**Agrees to Supply Political SubDivisions: **Yes**

Prompt Payment Terms: 0%00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Brodart Co.

Company Address: **500 Arch Street, Williamsport, PA 17701**Tel. No.: **800-233-474-9802x6527**Fax No.:800-999-6799

Tel. No.: **800-233-474-9802x6527**Fax No.: 800-999-6799

Contract Value: **\$50,000 est.**Delivery: **within 30 Days ARO**

Company E-mail Address and/or Company WebSite: bookscs@brodart.com/bookord@brodart.com/www.brodartbooks.com

Certification Type (SBE, MBE or None): **None**Agrees to Supply Political SubDivisions: **Yes**

Prompt Payment Terms: 0%00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Mt. Library Services, Inc. dba Junior Library Guild Company Address: 7858 Industrial Parkway, Plain City, OH. 43064

Tel. No.: 800-491-0174 Fax No.: 800-827-30 Contract Value: \$50,000 est.

Contact Person: Barb Sanders Delivery: 10–15 Days ARO

Company E-mail Address and/or Company WebSite: sales@juniorlibraryguild.com/www.juniorlibraryguild.com/

Certification Type (SBE, MBE or None): **None**Agrees to Supply Political SubDivisions: **Yes**

Prompt Payment Terms: 0%00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Rainbow Book Company

Company Address: POB ox159, Crete, IL 60417

Tel. No.: 800-255-0965 Fax. No.: 800-827-5988 Contract Value: \$50,000 est.

Contact Person: Michael Beechin Delivery: within 30 Days ARO

Company E-mail Address and/or Company WebSite: cs@rainbowbookcompany.com/www.rainbowbookcompany.com/ Certification Type (SBE, MBE or None): None

Agrees to Supply Political Subdivisions: Yes

Prompt Payment Terms: 0%00 Net 45

CONTRACT SUPPLEMENT

SP-37 - Rev. 11/17/16 Prev. Rev. 4/28/14

Teresa Dupont Contract Specialist

860-713-5073 *Telephone Number*

STATE OF CONNECTICUT

DEPARTMENT OF ADMINISTRATIVE SERVICES
PROCUREMENT DIVISION
450 Columbus Boulevard, Hartford, CT 06103

CONTRACT AWARD NO.:
15PSX0010

Contract Award Date:
30 April 2015
Bid Due Date:
26 March 2015

SUPPLEMENT DATE: 19 September 2017

CONTRACT AWARD SUPPLEMENT #1

IMPORTANT: This is NOT a Purchase Order. Do NOT Produce or Ship without an Agency Purchase Order.

DESCRIPTION: Books - Trade, Text, Technical, Medical, Paperback, Library, University Press and "Net" Books

FOR: Department of Education, All Using State Agencies, and Political Subdivisions		TERM OF CONTRACT: June 1, 2015 thro	ough May 31, 2020
		AGENCY REQUISITION NUMBER: 0000000835	
CHANGE TO IN STATE (NON-SB)	CHANGE TO DAS-CERTIFIED SMALL	CHANGE TO OUT OF STATE	CHANGE TO TOTAL CONTRACT
CONTRACT VALUE	Business Contract Value	CONTRACT VALUE	Award Value
			Unchanged

NOTICE TO CONTRACTORS: This notice is not an order to ship. Purchase Orders against contracts will be furnished by the using agency or agencies on whose behalf the contract is made. INVOICE SHALL BE RENDERED DIRECT TO THE ORDERING AGENCY.

NOTE: Dollar amounts listed next to each contractor are possible award amounts, however, they do <u>not</u> reflect any expected purchase amounts (actual or implied). They are for CHRO use only.

NOTICE TO AGENCIES: A complete explanatory report shall be furnished promptly to the Procurement Manager concerning items delivered and/or services rendered on orders placed against awards listed herein which are found not to comply with the specifications or which are otherwise unsatisfactory from the agency's viewpoint, as well as failure of the contractor to deliver within a reasonable period of time specified. Please issue orders and process invoices promptly.

<u>CASH DISCOUNTS:</u> Cash discounts, if any, shall be given SPECIAL ATTENTION, but such cash discount shall not be taken unless payment is made within the discount period.

<u>PRICE BASIS:</u> Unless otherwise noted, prices include delivery and transportation charges fully prepaid f.o.b. agency. No extra charge is to be made for packing or packages.

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Rainbow Book Company
Company Address: PO Box 159, Crete, IL 60417

Tel. No.: 800-255-0965 Fax. No.: 800-827-5988

Contact Person: Michael Beechin

Company E-mail Address and/or Company Web Site: cs@rainbowbookcompany.com / www.rainbowbookcompany.com / www.rainbowbookcompany.com

Certification Type (SBE,MBE or None): **None**Agrees to Supply Political Subdivisions: **Yes**

Prompt Payment Terms: 0% 00 Net 45

PLEASE NOTE:

- The vendor listed above has assumed all of the contractual rights and responsibilities of Davidson Titles and has replaced them on this contract.
- All terms and conditions not otherwise affected by this supplement remain unchanged and in full force and effect.

DEPARTMENT C	OF ADMINISTRA	ATIVE	SERVICES
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Зу	<u>:</u>
	(Original Signature on Document in Procurement Files)

Name: **TERESA DUPONT**Title: Contract Specialist

Date: September 19, 2017

CONTRACT AWARD

SP-38 - Rev. 5/21/14 Prev. Rev. 3/12/14

Teresa Dupont *Contract Specialist*

STATE OF CONNECTICUT

DEPARTMENT OF ADMINISTRATIVE SERVICES

PROCUREMENT DIVISION

165 Capitol Avenue, 5th Floor South, Hartford CT 06106-1659

860-713-5073 *Telephone Number*

CONTRACT AWARD NO.:
15PSX0010
Contract Award Date:
30 April 2015
Bid Due Date:
26 March 2015

CONTRACT AWARD

IMPORTANT: THIS IS NOT A PURCHASE ORDER. DO NOT PRODUCE OR SHIP WITHOUT AN AGENCY PURCHASE ORDER.

DESCRIPTION:	odical Panorhack Library Unive	arcity Proce and "Not" Rooks		
Books - Trade, Text, Technical, Medical, Paperback, Library, University FOR:		TERM OF CONTRACT:		
Department of Education, All Using State Agencies, and		June 1, 2015 through May 31, 2020		
Political Subdivisions				
		AGENCY REQUISITION NUMBER: 0000000835		
IN STATE (NON-SB)	DAS CERTIFIED SMALL	OUT OF STATE	TOTAL CONTRACT	
CONTRACT VALUE	Business Contract Value	CONTRACT VALUE	AWARD VALUE	
		\$495,000.00 estimated	\$495,000.00 estimated	
NOTICE TO CONTRACTORS: This not	tice is not an order to ship. Purchase	Orders against contracts will be furnis	hed by the using agency or agencie	
	de. INVOICE SHALL BE RENDERED DIR			
		l amounts, however, they do <u>not</u> refle	ect any expected purchase amount	
(actual or implied). They are for CH				
		promptly to the Procurement Manage		
		re found not to comply with the specifi		
		ractor to deliver within a reasonable po	eriod of time specified. Please issu	
orders and process invoices prompt		ION, but such cash discount shall not b	and taken unless navment is made	
	i any, snan be given special at reinti	ion, but such cash discount shall not t	be taken unless payment is made	
within the discount period.	nd prices include delivery and transpe	ortation charges fully prepaid f.o.b. age	ancy. No oytra chargo is to be made	
for packing or packages.	u, prices include delivery and transpo	ortation charges rully prepaid 1.0.b. age	silcy. No extra charge is to be made	
CONTRACTOR INFORMATION:				
	EMENT WEB PAGE FOR THE MOST CURRENT CONT	TRACTOR INFORMATION. (http://das.ct.gov/mp?	1.aspx?page=8)	
NEPER TO THE CONTINUE ON THE BAST ROCCOR	ENEWY WEBT/AGE FOR THE MOST COMMENT COM	The form of the first of the fi	naspx.page of	
see overleaf for alphabetical listing	of contractors			
bee overreal for alphabetical listing	, or contractors.			
TI :				
		t the Contractor's solicitation respo	onse nas/nave been accepted	
and that the Contractor(s) and DA	is are bound by all of the terms ar	nd conditions of the Contract.		
		DEPARTMENT OF ADMINI	STRATIVE SERVICES	
		Ву:		
		(Original Signature on Docur	ment in Procurement Files)	
		Name: TERESA DUPONT		
		Title: Contract Specialist		

Date: May 28, 2015

CONTRACT AWARD CONTRACT AWARD NO.: 15PSX0010

SP-38 – Rev. 5/21/14 Prev. Rev. 3/12/14

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Advanced Educational Products, Inc.

Company Address: 2495 Main Street, Suite 230, Buffalo, NY 14214

Tel. No.: 800-311-1522 Fax No.: 716-446-5642 Contract Value: \$99,000.00 estimated

Contact Person: Renee Larcom Delivery: within 10 days ARO

Company E-mail Address and/or Company Web Site: sales@aepbooks.com / www.aepbooks.com

Certification Type (SBE,MBE or None): None Agrees to Supply Political SubDivisions: Yes

Prompt Payment Terms: 0% 00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Baker & Taylor, LLC

Company Address: 2550 West Tyvola Road, Suite 300, Charlotte, NC 28217

Tel. No.: 800-775-7930 x3169 Fax No.: 704-998-3260 Contract Value: \$99,000.00 estimated

Contact Person: Susan Gurley Delivery: 1 – 10 Days ARO

Company E-mail Address and/or Company Web Site: susan.gurley@baker-taylor.com / www.baker-taylor.com

Certification Type (SBE,MBE or None): None Agrees to Supply Political SubDivisions: Yes

Prompt Payment Terms: 0% 00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Brodart Co.

Company Address: 500 Arch Street, Williamsport, PA 17701

Tel. No.: 800-233-474-9802 x6527 Fax No.:800-999-6799 Contract Value: \$99,000.00 estimated

Contact Person: Debbie Schall Delivery: within 30 Days ARO

Company E-mail Address and/or Company Web Site: bookscs@brodart.com / bookord@brodart.com / www.brodartbooks.com

Certification Type (SBE,MBE or None): None Agrees to Supply Political SubDivisions: Yes

Prompt Payment Terms: 0% 00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Davidson Titles, Inc.

Company Address: 2345 Dr. F.E. Wright Drive, Jackson, TN 38305

Tel. No.: 800-433-3903 Fax No.: 800-787-7935 Contract Value: \$99,000.00 estimated

Contact Person: Brenda Davidson Delivery: within 30 Days ARO

Company E-mail Address and/or Company Web Site: jimmie@davidsontitles.com / www.davidsontitles.com

Certification Type (SBE,MBE or None): None Agrees to Supply Political SubDivisions: Yes

Prompt Payment Terms: 0% 00 Net 45

CONTRACTOR INFORMATION:

REFER TO THE CONTRACT ON THE DAS PROCUREMENT WEB PAGE FOR THE MOST CURRENT CONTRACTOR INFORMATION. (http://das.ct.gov/mp1.aspx?page=8)

Company Name: Mt. Library Services, Inc. dba Junior Library Guild Company Address: 7858 Industrial Parkway, Plain City, OH 43064

Tel. No.: 800-491-0174 Fax No.: 800-827-3080 Contract Value: \$99,000.00 estimated

Contact Person: Barb Sanders Delivery: 10 – 15 Days ARO

Company E-mail Address and/or Company Web Site: sales@juniorlibraryguild.com / www.juniorlibraryguild.com

Certification Type (SBE,MBE or None): None Agrees to Supply Political SubDivisions: Yes

Prompt Payment Terms: 0% 00 Net 45

CONTRACT

15PSX0010

Between

THE STATE OF CONNECTICUT

Acting by its

DEPARTMENT OF ADMINISTRATIVE SERVICES

AND

(Multiple Contractors as listed on SP38)

Awarded Contractor

BOOKS- TRADE, TEXT, TECHNICAL, MEDICAL, PAPERBACK, LIBRARY, UNIVERSITY PRESS, "NET" BOOKS, ETC.

Contract Document SP-50 Rev. 12/11/14 Prev. Rev. 9/3/14

Contract Table of Contents

- 1. Definitions
- 2. Term of Contract; Contract Extension
- 3. Description of Goods and Services
- 4. Price Schedule, Payment Terms and Billing, and Price Adjustments
- 5. Rejected Items; Abandonment
- 6. Order and Delivery
- 7. Contract Amendments
- 8. Assignment
- 9. Termination
- 10. Cost Modifications
- 11. Breach
- 12. Waiver
- 13. Open Market Purchases
- 14. Purchase Orders
- 15. Indemnification
- 16. Forum and Choice of Law
- 17. Contractor Guaranties
- 18. Implied Warranties
- 19. Goods, Standards and Appurtenances
- 20. Delivery
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- 22. Emergency Standby for Goods and/or Services
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- 24. Force Majeure
- 25. Advertising
- 26. Americans With Disabilities Act
- 27. Representations and Warranties
- 28. Representations and Warranties Concerning Motor Vehicles
- 29. Disclosure of Contractor Parties Litigation
- 30. Entirety of Contract
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- 33. Non-Discrimination
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- 35. Whistleblowing
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- 40. Parties
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- 46. Working and Labor Synergies
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- 55. Time of the Essence
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- 57. Campaign Contribution Restriction
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EXHIBIT A - Description of Goods & Services and Additional Terms and Conditions

EXHIBIT B - Price Schedule

EXHIBIT C - Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations

Contract Document SP-50 Rev. 12/11/14 Prev. Rev. 9/3/14

This Contract (the "Contract") is made as of the June 1, 2015 (the "Effective Date") as shown on the contract award form, number SP-38 corresponding to the subject procurement and is by and between, the contractor identified on such Form SP-38 (the "Contractor,") which is attached and shall be considered a part of this Contract, with a principal place of business as indicated on the signature page form, number SP-26, acting by the duly authorized representative as indicated on the SP-26, and the State of Connecticut, Department of Administrative Services ("DAS"), with a principal place of business at 165 Capitol Ave, Hartford, Connecticut 06106-1659, acting by Teresa Dupont, its Contract Specialist, in accordance with Sections 4a-2 and 4a-51 of the Connecticut General Statutes.

Now therefore, in consideration of these presents, and for other good and valuable consideration, the receipt and sufficiency of which the parties acknowledge, the Contractor and the State agree as follows:

- 1. <u>Definitions</u>. Unless otherwise indicated, the following terms shall have the following corresponding definitions:
- (a) Bid: A submittal in response to an Invitation to Bid.
- (b) Claims: All actions, suits, claims, demands, investigations and proceedings of any kind, open, pending or threatened, whether mature, unmatured, contingent, known or unknown, at law or in equity, in any forum.
- (c) Client Agency: Any department, commission, board, bureau, agency, institution, public authority, office, council, association, instrumentality or political subdivision of the State of Connecticut, as applicable, who is authorized and chooses to make purchases under, and pursuant to the terms and conditions of, this Contract.
- (d) Confidential Information: This shall mean any name, number or other information that may be used, alone or in conjunction with any other information, to identify a specific individual including, but not limited to, such individual's name, date of birth, mother's maiden name, motor vehicle operator's license number, Social Security number, employee identification number, employer or taxpayer identification number, alien registration number, government passport number, health insurance identification number, demand deposit account number, savings account number, credit card number, debit card number or unique biometric data such as fingerprint, voice print, retina or iris image, or other unique physical representation. Without limiting the foregoing, Confidential Information shall also include any information that the Client Agency or DAS classifies as "confidential" or "restricted." Confidential Information shall not include information that may be lawfully obtained from publicly available sources or from federal, state, or local government records which are lawfully made available to the general public.
- (e) Confidential Information Breach: This shall mean, generally, an instance where an unauthorized person or entity accesses Confidential Information in any manner, including but not limited to the following occurrences: (1) any Confidential Information that is not encrypted or protected is misplaced, lost, stolen or in any way compromised; (2) one or more third parties have had access to or taken control or possession of any Confidential

Contract Document SP-50 Rev. 12/11/14 Prev. Rev. 9/3/14

Information that is not encrypted or protected without prior written authorization from the State; (3) the unauthorized acquisition of encrypted or protected Confidential Information together with the confidential process or key that is capable of compromising the integrity of the Confidential Information; or (4) if there is a substantial risk of identity theft or fraud to the Client Agency, the Contractor, DAS or State.

- (f) Contract: The agreement, as of its Effective Date, between the Contractor and the State for any or all Goods or Services at the Bid price.
- (g) Contractor: A person or entity who submits a Bid and who executes a Contract.
- (h) Contractor Parties: A Contractor's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the Contractor is in privity of oral or written contract and the Contractor intends for such other person or entity to Perform under the Contract in any capacity.
- (i) Day: All calendar days other than Saturdays, Sundays and days designated as national or State of Connecticut holidays upon which banks in Connecticut are closed.
- (j) Force Majeure: Events that materially affect the cost of the Goods or Services or the time schedule within which to Perform and are outside the control of the party asserting that such an event has occurred, including, but not limited to, labor troubles unrelated to the Contractor, failure of or inadequate permanent power, unavoidable casualties, fire not caused by the Contractor, extraordinary weather conditions, disasters, riots, acts of God, insurrection or war.
- (k) Goods: For purposes of the Contract, all things which are movable at the time that the Contract is effective and which include, without limiting this definition, supplies, materials and equipment, as specified in the Invitation to Bid and set forth in Exhibit A.
- (I) Goods or Services: Goods, Services or both, as specified in the Invitation to Bid and set forth in Exhibit A.
- (m) Invitation to Bid: A State request inviting bids for Goods or Services. This Contract shall be governed by the statutes, regulations and procedures of the State of Connecticut, Department of Administrative Services.
- (n) Records: All working papers and such other information and materials as may have been accumulated by the Contractor in performing the Contract, including but not limited to, documents, data, plans, books, computations, drawings, specifications, notes, reports, records, estimates, summaries and correspondence, kept or stored in any form.
- (o) Services: The performance of labor or work, as specified in the Invitation to Bid and set forth in Exhibit A.
- (p) State: The State of Connecticut, including DAS, the Client Agency and any office, department, board, council, commission, institution or other agency of the State.
- (q) Termination: An end to the Contract prior to the end of its term whether effected pursuant to a right which the Contract creates or for a breach.

Contract Document SP-50 Rev. 12/11/14 Prev. Rev. 9/3/14

- (r) Title: all ownership, title, licenses, rights and interest, including, but not limited to, perpetual use, of and to the Goods or Services.
- Term of Contract; Contract Extension. The Contract will be in effect from the Effective Date through May 31, 2020.
 DAS, in its sole discretion, may extend this Contract for additional terms beyond the original term, prior to Termination or expiration, one or more times for a combined total period not to exceed the complete length of the original term.
- 3. <u>Description of Goods or Services and Additional Terms and Conditions</u>. The Contractor shall perform as set forth in <u>Exhibit A</u>. For purposes of this Contract, to perform and the performance in Exhibit A is referred to as "Perform" and the "Performance."
- 4. Price Schedule, Payment Terms and Billing, and Price Adjustments.
- (a) Price Schedule: Price Schedule under this Contract is set forth in Exhibit B.
- (b) Payment Terms and Billing: Payment shall be made only after the Client Agency receives and accepts the Goods or Services and after it receives a properly completed invoice. Unless otherwise specified in the Contract, payment for all accepted Goods or Services shall be due within forty-five (45) days after acceptance of the Goods or Services, or thirty (30) days if the Contractor is a certified small contractor or minority business enterprise as defined in Conn. Gen. Stat. § 4a-60g. The Contractor shall submit an invoice to the Client Agency for the Performance. The invoice shall include detailed information for Goods or Services, delivered and Performed, as applicable, and accepted. Any late payment charges shall be calculated in accordance with the Connecticut General Statutes.
- (c) If applicable to and during the term of this Contract, the Price Schedule will be adjusted to reflect any increase in the minimum wage rate that may occur, as mandated by state law. The Price Schedule will not be adjusted until the Contractor provides documentation, in the form of certified payroll or other documentation acceptable to the State, substantiating the increase in minimum wage rate.
- (d) Price Adjustments: No price increases are allowed under this Contract.
- 5. Rejected Items; Abandonment.
- (a) The Contractor may deliver, cause to be delivered, or, in any other way, bring or cause to be brought, to any State premises or other destination, Goods, as samples or otherwise, and other supplies, materials, equipment or other tangible personal property. The State may, by written notice and in accordance with the terms and conditions of the Contract, direct the Contractor to remove any or all such Goods ("the "Rejected Goods") and any or all other supplies, materials, equipment or other tangible personal property (collectively, the "Contractor Property") from and out of State premises and any other location which the State manages, leases or controls. The Contractor shall remove the Rejected Goods and the Contractor Property in accordance with the terms and conditions of the written notice. Failure to remove the Rejected Goods or the Contractor Property in accordance with the terms and conditions of the written notice shall mean, for itself and all Contractor Parties, that:

Contract Document SP-50 Rev. 12/11/14 Prev. Rev. 9/3/14

- (1) they have voluntarily, intentionally, unconditionally, unequivocally and absolutely abandoned and left unclaimed the Rejected Goods and Contractor Property and relinquished all ownership, title, licenses, rights, possession and interest of, in and to (collectively, "Title") the Rejected Goods and Contractor Property with the specific and express intent of (A) terminating all of their Title to the Rejected Goods and Contractor Property, (B) vesting Title to the Rejected Goods and Contractor Property in the State of Connecticut and (C) not ever reclaiming Title or any future rights of any type in and to the Rejected Goods and Contractor Property;
- (2) there is no ignorance, inadvertence or unawareness to mitigate against the intent to abandon the Rejected Goods or Contractor Property;
- (3) they vest authority, without any further act required on their part or the State's part, in the Client Agency and the State to use or dispose of the Rejected Goods and Contractor Property, in the State's sole discretion, as if the Rejected Goods and Contractor Property were the State's own property and in accordance with law, without incurring any liability or obligation to the Contractor or any other party;
- (4) if the State incurs any costs or expenses in connection with disposing of the Rejected Goods and Contractor Property, including, but not limited to, advertising, moving or storing the Rejected Goods and Contractor Property, auction and other activities, the State shall invoice the Contractor for all such cost and expenses and the Contractor shall reimburse the State no later than thirty (30) days after the date of invoice; and
- (5) they do remise, release and forever discharge the State and its employees, departments, commissions, boards, bureaus, agencies, instrumentalities or political subdivisions and their respective successors, heirs, executors and assigns (collectively, the "State and Its Agents") of and from all Claims which they and their respective successors or assigns, jointly or severally, ever had, now have or will have against the State and Its Agents arising from the use or disposition of the Rejected Goods and Contractor Property.
- (b) The Contractor shall secure from each Contractor Party, such document or instrument as necessary or appropriate as will vest in the Contractor plenary authority to bind the Contractor Parties to the full extent necessary or appropriate to give full effect to all of the terms and conditions of this section. The Contractor shall provide, no later than fifteen (15) days after receiving a request from the State, such information as the State may require to evidence, in the State's sole determination, compliance with this section.
- 6. Order and Delivery. The Contract shall bind the Contractor to furnish and deliver the Goods or Services in accordance with Exhibit A and at the prices set forth in Exhibit B. Subject to the sections in this Contract concerning Force Majeure, Termination and Open Market Purchases, the Contract shall bind the Client Agency to order the Goods or Services from the Contractor, and to pay for the accepted Goods or Services in accordance with Exhibit B.

7. Contract Amendments.

No amendment to or modification or other alteration of the Contract shall be valid or binding upon the State unless made in writing, signed by both parties and, if applicable, approved by the Connecticut Attorney General.

8. <u>Assignment</u>. The Contractor shall not assign any of its rights or obligations under the Contract, voluntarily or otherwise, in any manner without the prior written consent of DAS.

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DAS may void any purported assignment in violation of this section and declare the Contractor in breach of Contract. Any Termination by DAS for a breach is without prejudice to DAS's or the State's rights or possible Claims.

9. Termination.

- (a) Notwithstanding any provisions in this Contract, DAS, through a duly authorized employee, may Terminate the Contract whenever DAS makes a written determination that such Termination is in the best interests of the State. DAS shall notify the Contractor in writing of Termination pursuant to this section, which notice shall specify the effective date of Termination and the extent to which the Contractor must complete its Performance under the Contract prior to such date.
- (b) Notwithstanding any provisions in this Contract, DAS, through a duly authorized employee, may, after making a written determination that the Contractor has breached the Contract, Terminate the Contract in accordance with the provisions in the Breach section of this Contract.
- (c) DAS shall send the notice of Termination via certified mail, return receipt requested, to the Contractor at the most current address which the Contractor has furnished to DAS for purposes of correspondence, or by hand delivery. Upon receiving the notice from DAS, the Contractor shall immediately discontinue all services affected in accordance with the notice, undertake all commercially reasonable efforts to mitigate any losses or damages, and deliver to the Client Agency all Records. The Records are deemed to be the property of the Client Agency and the Contractor shall deliver them to the Client Agency no later than thirty (30) days after the Termination of the Contract or fifteen (15) days after the Contractor receives a written request from either DAS or the Client Agency for the Records. The Contractor shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to, ASCII or .TXT.
- (d) Upon receipt of a written notice of Termination from DAS, the Contractor shall cease operations as DAS directs in the notice, and take all actions that are necessary or appropriate, or that DAS may reasonably direct, for the protection, and preservation of the Goods and any other property. Except for any work which DAS directs the Contractor to Perform in the notice prior to the effective date of Termination, and except as otherwise provided in the notice, the Contractor shall terminate or conclude all existing subcontracts and purchase orders and shall not enter into any further subcontracts, purchase orders or commitments.
- (e) The Client Agency shall, within forty-five (45) days of the effective date of Termination, reimburse the Contractor for its Performance rendered and accepted by the Client Agency in accordance with Exhibit A, in addition to all actual and reasonable costs incurred after Termination in completing those portions of the Performance which the notice required the Contractor to complete. However, the Contractor is not entitled to receive and the Client Agency is not obligated to tender to the Contractor any payments for anticipated or lost profits. Upon request by DAS or the Client Agency, as applicable, the Contractor shall assign to DAS or the Client Agency, or any replacement contractor which DAS or the Client Agency designates, all subcontracts, purchase orders and other commitments, deliver to DAS or the Client Agency all Records and other information pertaining to its Performance, and remove from State premises, whether leased or owned, all of Contractor's property, equipment, waste material and rubbish related to its Performance, all as DAS or the Client Agency may

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request.

- (f) For breach or violation of any of the provisions in the section concerning Representations and Warranties, DAS may Terminate the Contract in accordance with its terms and revoke any consents to assignments given as if the assignments had never been requested or consented to, without liability to the Contractor or Contractor Parties or any third party.
- (g) Upon Termination of the Contract, all rights and obligations shall be null and void, so that no party shall have any further rights or obligations to any other party, except with respect to the sections which survive Termination. All representations, warranties, agreements and rights of the parties under the Contract shall survive such Termination to the extent not otherwise limited in the Contract and without each one of them having to be specifically mentioned in the Contract.
- (h) Termination of the Contract pursuant to this section shall not be deemed to be a breach of contract by DAS.
- 10. <u>Cost Modifications</u>. The parties may agree to a reduction in the cost of the Contract at any time during which the Contract is in effect. Without intending to impose a limitation on the nature of the reduction, the reduction may be to hourly, staffing or unit costs, the total cost of the Contract or the reduction may take such other form as the State deems to be necessary or appropriate.
- 11. Breach. If either party breaches the Contract in any respect, the non-breaching party shall provide written notice of such breach to the breaching party and afford the breaching party an opportunity to cure the breach within ten (10) days from the date that the breaching party receives such notice. Any other time provided for in the notice shall trump such ten (10) days. Such right to cure period shall be extended if the non-breaching party is satisfied that the breaching party is making a good faith effort to cure but the nature of the breach is such that it cannot be cured within the right to cure period. The notice may include an effective Contract Termination date if the breach is not cured by the stated date and, unless otherwise modified by the non-breaching party in writing prior to the Termination date, no further action shall be required of any party to effect the Termination as of the stated date. If the notice does not set forth an effective Contract Termination date, then the nonbreaching party may Terminate the Contract by giving the breaching party no less than twenty four (24) hours' prior written notice. If DAS believes that the Contractor has not performed according to the Contract, the Client Agency may withhold payment in whole or in part pending resolution of the Performance issue, provided that DAS notifies the Contractor in writing prior to the date that the payment would have been due in accordance with Exhibit B.

12. Waiver.

- (a) No waiver of any breach of the Contract shall be interpreted or deemed to be a waiver of any other or subsequent breach. All remedies afforded in the Contract shall be taken and construed as cumulative, that is, in addition to every other remedy provided in the Contract or at law or in equity.
- (b) A party's failure to insist on strict performance of any provision of the Contract shall only be deemed to be a waiver of rights and remedies concerning that specific instance of Performance and shall not be deemed to be a waiver of any subsequent rights, remedies or breach.

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13. Open Market Purchases. Failure of the Contractor to Perform within the time specified in the Contract, or failure to replace rejected or substandard Goods or fulfill unperformed Services when so requested and as the Contract provides or allows, constitutes a breach of the Contract and as a remedy for such breach, such failure shall constitute authority for DAS, if it deems it to be necessary or appropriate in its sole discretion, to Terminate the Contract and/or to purchase on the open market, Goods or Services to replace those which have been rejected, not delivered, or not Performed. The Client Agency shall invoice the Contractor for all such purchases to the extent that they exceed the costs and expenses in Exhibit B and the Contractor shall pay the Client Agency's invoice immediately after receiving the invoice. If DAS does not Terminate the Contract, the Client Agency will deduct such open market purchases from the Contract quantities. However, if the Client Agency deems it to be in the best interest of the State, the Client Agency may accept and use the Goods or Services delivered which are substandard in quality, subject to an adjustment in price to be determined by the Client Agency.

14. Purchase Orders.

- (a) The Contract itself is not an authorization for the Contractor to ship Goods or begin Performance in any way. The Contractor may begin Performance only after it has received a duly issued purchase order against the Contract for Performance.
- (b) The Client Agency shall issue a purchase order against the Contract directly to the Contractor and to no other party.
- (c) All purchase orders shall be in written or electronic form, bear the Contract number (if any) and comply with all other State and Client Agency requirements, particularly the Client Agency's requirements concerning procurement. Purchase orders issued in compliance with such requirements shall be deemed to be duly issued.
- (d) A Contractor making delivery without a duly issued purchase order in accordance with this section does so at the Contractor's own risk.
- (e) The Client Agency may, in its sole discretion, deliver to the Contractor any or all duly issued purchase orders via electronic means only, such that the Client Agency shall not have any additional obligation to deliver to the Contractor a "hard copy" of the purchase order or a copy bearing any hand-written signature or other "original" marking.

15. Indemnification.

(a) The Contractor shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Contract, including the acts of commission or omission (collectively, the "Acts") of the Contractor or Contractor Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Contract. The Contractor shall use counsel reasonably acceptable to the State in carrying out its obligations under this section. The Contractor's obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Contractor's bid, proposal or any Records, any intellectual property rights, other proprietary rights of any person or entity,

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- copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance.
- (b) The Contractor shall not be responsible for indemnifying or holding the State harmless from any liability arising due to the negligence of the State or any other person or entity acting under the direct control or supervision of the State.
- (c) The Contractor shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Contractor or any Contractor Parties. The State shall give the Contractor reasonable notice of any such Claims.
- (d)The Contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the Contract, without being lessened or compromised in any way, even where the Contractor is alleged or is found to have merely contributed in part to the Acts giving rise to the Claims and/or where the State is alleged or is found to have contributed to the Acts giving rise to the Claims.
- (e) The Contractor shall carry and maintain at all times during the term of the Contract, and during the time that any provisions survive the term of the Contract, sufficient general liability insurance to satisfy its obligations under this Contract. The Contractor shall cause the State to be named as an additional insured on the policy and shall provide (1) a certificate of insurance, (2) the declaration page and (3) the additional insured endorsement to the policy to the Client Agency prior to the Effective Date of the Contract evidencing that the State is an additional insured. The Contractor shall not begin Performance until the delivery of these 3 documents to the Client Agency. State shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that State is contributorily negligent.
- (f) This section shall survive the Termination of the Contract and shall not be limited by reason of any insurance coverage.
- 16. Forum and Choice of Law. The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.
- 17. Contractor Guaranties. Contractor shall:
- (a) Perform fully under the Contract;
- (b) Guarantee the Goods or Services against defective material or workmanship and to repair any damage or marring occasioned in transit or, at the Client Agency's option, replace them;

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- (c) Furnish adequate protection from damage for all work and to repair damage of any kind, for which its workers are responsible, to the premises, Goods, the Contractor's work or that of Contractor Parties;
- (d) With respect to the provision of Services, pay for all permits, licenses and fees and give all required or appropriate notices;
- (e) Adhere to all Contractual provisions ensuring the confidentiality of Records that the Contractor has access to and are exempt from disclosure under the State's Freedom of Information Act or other applicable law; and
- (f) Neither disclaim, exclude nor modify the implied warranties of fitness for a particular purpose or of merchantability.
- 18. <u>Implied Warranties</u>. DAS does not disclaim, exclude or modify the implied warranty of fitness for a particular purpose or the warranty of merchantability.
- 19. Goods, Standards and Appurtenances. Any Goods delivered must be standard new Goods, latest model, except as otherwise specifically stated in the Contract. Remanufactured, refurbished or reconditioned equipment may be accepted but only to the extent allowed under the Contract. Where the Contract does not specifically list or describe any parts or nominal appurtenances of equipment for the Goods, it shall be understood that the Contractor shall deliver such equipment and appurtenances as are usually provided with the manufacturer's stock model.

20. Delivery.

- (a) Delivery shall be made as ordered and in accordance with the Contract. Unless otherwise specified in the Contract, delivery shall be to a loading dock or receiving platform. The Contractor or Contractor's shipping designee shall be responsible for removal of Goods from the carrier and placement on the Client Agency loading dock or receiving platform. The receiving personnel of the Client Agency are not required to assist in this process. The decision of DAS as to reasonable compliance with delivery terms shall be final and binding. The burden of proof of proper receipt of the order shall rest with the Contractor.
- (b) In order for the time of delivery to be extended, the Client Agency must first approve a request for extension from the time specified in the Contract, such extension applying only to the particular item or shipment.
- (c) Goods shall be securely and properly packed for shipment, according to accepted standard commercial practice, without extra charge for packing cases, baling or sacks. The containers shall remain the property of the Client Agency unless otherwise stated in the Contract.
- (d) All risk of loss and damage to the Goods transfers to the Client Agency upon Title vesting in the Client Agency.
- 21. <u>Goods Inspection</u>. The Client Agency shall determine the manner and prescribe the inspection of all Goods and the tests of all samples submitted to determine whether they comply with all of the specifications in the Contract. If any Goods fail in any way to meet the specifications in the Contract, the Client Agency may, in its sole discretion, either reject it and owe nothing or accept it and pay for it on an adjusted price basis, depending on the

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degree to which the Goods meet the specifications. Any decision pertaining to any such failure or rejection shall be final and binding.

- 22. Emergency Standby for Goods and/or Services. If any Federal or State official, having authority to do so, declares an emergency or the occurrence of a natural disaster within the State of Connecticut, DAS and the Client Agency may request the Goods and Services on an expedited and prioritized basis. Upon receipt of such a request the Contractor shall make all necessary and appropriate commercially reasonable efforts to reallocate its staffing and other resources in order to give primary preference to Performing this Contract ahead of or prior to fulfilling, in whole or in part, any other contractual obligations that the Contractor may have. The Contractor is not obligated to make those efforts to Perform on an expedited and prioritized basis in accordance with this paragraph if doing so will make the Contractor materially breach any other contractual obligations that the Contractor may have. Contractor shall acknowledge receipt of any request made pursuant to this paragraph within 2 hours from the time that the Contractor receives it via purchase order or through a request to make an expedited or prioritized purchase through the State of Connecticut Purchasing Card (MasterCard) Program (the "P-Card Program"). If the Contractor fails to acknowledge receipt within 2 hours, confirm its obligation to Perform or actually Perform, as set forth in the purchase order or through the P-Card Program, then DAS and the Client Agency may procure the Performance from another source without further notice to Contractor and without creating any right of recourse at law or in equity against DAS or Client Agency.
- 23. <u>Setoff</u>. In addition to all other remedies available hereunder, the State, in its sole discretion, may setoff (1) any costs or expenses that the State incurs resulting from the Contractor's unexcused nonperformance under the Contract and under any other agreement or arrangement that the Contractor has with the State and (2) any other amounts that are due or may become due from the State to the Contractor, against amounts otherwise due or that may become due to the Contractor under the Contract, or under any other agreement or arrangement that the Contractor has with the State. The State's right of setoff shall not be deemed to be the State's exclusive remedy for the Contractor's or Contractor Parties' breach of the Contract, all of which shall survive any setoffs by the State.
- 24. <u>Force Majeure</u>. The State and the Contractor shall not be excused from their obligation to Perform in accordance with the Contract except in the case of Force Majeure events and as otherwise provided for in the Contract. In the case of any such exception, the nonperforming party shall give immediate written notice to the other, explaining the cause and probable duration of any such nonperformance.
- 25. <u>Advertising</u>. The Contractor shall not refer to sales to the State for advertising or promotional purposes, including, but not limited to, posting any material or data on the Internet, without DAS's prior written approval.
- 26. <u>Americans With Disabilities Act</u>. The Contractor shall be and remain in compliance with the Americans with Disabilities Act of 1990 ("Act"), to the extent applicable, during the term of the Contract. DAS may Terminate the Contract if the Contractor fails to comply with the Act.
- 27. <u>Representations and Warranties</u>. The Contractor, represents and warrants to DAS for itself and Contractor Parties, that:

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- (a) if they are entities, they are duly and validly existing under the laws of their respective states of organization and authorized to conduct business in the State of Connecticut in the manner contemplated by the Contract. Further, as appropriate, they have taken all necessary action to authorize the execution, delivery and Performance of the Contract and have the power and authority to execute, deliver and Perform their obligations under the Contract:
- (b) they will comply with all applicable State and Federal laws and municipal ordinances in satisfying their obligations to the State under and pursuant to the Contract, including, but not limited to (1) Connecticut General Statutes Title 1, Chapter 10, concerning the State's Codes of Ethics and (2) Title 4a concerning State purchasing, including, but not limited to Section 22a-194a concerning the use of polystyrene foam;
- (c) the execution, delivery and Performance of the Contract will not violate, be in conflict with, result in a breach of or constitute (with or without due notice and/or lapse of time) a default under any of the following, as applicable: (1) any provision of law; (2) any order of any court or the State; or (3) any indenture, agreement, document or other instrument to which it is a party or by which it may be bound;
- (d) they are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any governmental entity;
- (e) as applicable, they have not, within the three years preceding the Contract, in any of their current or former jobs, been convicted of, or had a civil judgment rendered against them or against any person who would Perform under the Contract, for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a transaction or contract with any governmental entity. This includes, but is not limited to, violation of Federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (f) they are not presently indicted for or otherwise criminally or civilly charged by any governmental entity with commission of any of the offenses listed above;
- (g) they have not within the three years preceding the Contract had one or more contracts with any governmental entity Terminated;
- (h) they have not employed or retained any entity or person, other than a bona fide employee working solely for them, to solicit or secure the Contract and that they have not paid or agreed to pay any entity or person, other than a bona fide employee working solely for them, any fee, commission, percentage, brokerage fee, gifts, or any other consideration contingent upon or resulting from the award or making of the Contract or any assignments made in accordance with the terms of the Contract;
- (i) to the best of their knowledge, there are no Claims involving the Contractor or Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract;
- (j) they shall disclose, to the best of their knowledge, to DAS in writing any Claims involving them that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform

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fully under the Contract, no later than ten (10) Days after becoming aware or after they should have become aware of any such Claims. For purposes of the Contractor's obligation to disclose any Claims to DAS, the ten (10) Days in the section of this Contract concerning Disclosure of Contractor Parties Litigation shall run consecutively with the ten (10) Days provided for in this representation and warranty;

- (k) their participation in the Invitation to Bid process is not a conflict of interest or a breach of ethics under the provisions of Title 1, Chapter 10 of the Connecticut General Statutes concerning the State's Code of Ethics;
- (I) the Bid was not made in connection or concert with any other person or entity, including any affiliate (as defined in the Tangible Personal Property section of this Contract) of the Contractor, submitting a bid for the same Goods or Services, and is in all respects fair and without collusion or fraud;
- (m) they are able to Perform under the Contract using their own resources or the resources of a party who is not a Contractor;
- (n) the Contractor shall obtain in a written contract all of the representations and warranties in this section from any Contractor Parties and to require that provision to be included in any contracts and purchase orders with Contractor Parties;
- (o) they have paid all applicable workers' compensation second injury fund assessments concerning all previous work done in Connecticut;
- (p) they have a record of compliance with Occupational Health and Safety Administration regulations without any unabated, willful or serious violations;
- (q) they owe no unemployment compensation contributions;
- (r) they are not delinquent in the payment of any taxes owed, or, that they have filed a sales tax security bond, and they have, if and as applicable, filed for motor carrier road tax stickers and have paid all outstanding road taxes;
- (s) all of their vehicles have current registrations and, unless such vehicles are no longer in service, they shall not allow any such registrations to lapse;
- (t) each Contractor Party has vested in the Contractor plenary authority to bind the Contractor Parties to the full extent necessary or appropriate to ensure full compliance with and Performance in accordance with all of the terms and conditions of the Contract and that all appropriate parties shall also provide to DAS, no later than fifteen (15) days after receiving a request from DAS, such information as DAS may require to evidence, in DAS's sole determination, compliance with this section;
- (u) except to the extent modified or abrogated in the Contract, all Title shall pass to the Client Agency upon complete installation, testing and acceptance of the Goods or Services and payment by the Client Agency;
- (v) if either party Terminates the Contract, for any reason, they shall relinquish to the Client Agency all Title to the Goods delivered, accepted and paid for (except to the extent any invoiced amount is disputed) by the Client Agency;

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- (w) with regard to third party products provided with the Goods, they shall transfer all licenses which they are permitted to transfer in accordance with the applicable third party license;
- (x) they shall not copyright, register, distribute or claim any rights in or to the Goods after the Effective Date of the Contract without DAS's prior written consent;
- (y) they either own or have the authority to use all Title of and to the Goods, and that such Title is not the subject of any encumbrances, liens or claims of ownership by any third party;
- (z) the Goods do not infringe or misappropriate any patent, trade secret or other intellectual property right of a third party;
- (aa) the Client Agency's use of any Goods shall not infringe or misappropriate any patent, trade secret or other intellectual property right of a third party;
- (bb) if they procure any Goods, they shall sub-license such Goods and that the Client Agency shall be afforded the full benefits of any manufacturer or subcontractor licenses for the use of the Goods; and
- (cc) they shall assign or otherwise transfer to the Client Agency, or afford the Client Agency the full benefits of any manufacturer's warranty for the Goods, to the extent that such warranties are assignable or otherwise transferable to the Client Agency.
- 28. Representations and Warranties Concerning Motor Vehicles. If in the course of Performance or in any other way related to the Contract the Contractor at any time uses or operates "motor vehicles," as that term is defined by Conn. Gen. Stat. §14-1 (including, but not limited to such services as snow plowing, sanding, hauling or delivery of materials, freight or merchandise, or the transportation of passengers), the Contractor, represents and warrants for itself and the Contractor Parties, that:
- (a) It is the owner of record or lessee of record of each such motor vehicle used in the Performance of the Contract, and each such motor vehicle is duly registered with the Connecticut Department of Motor Vehicles ("ConnDMV") in accordance with the provisions of Chapter 246 of the Connecticut General Statutes. Each such registration shall be in valid status, and shall not be expired, suspended or revoked by ConnDMV, for any reason or cause. If such motor vehicle is not registered with ConnDMV, then it shall be duly registered with another state or commonwealth in accordance with such other state's or commonwealth's applicable statutes. Each such registration shall be in valid status, and shall not be expired, suspended or revoked by such other state or commonwealth for any reason or cause.
- (b) Each such motor vehicle shall be fully insured in accordance with the provisions of Sections 14-12b, 14-112 and 38a-371 of the Connecticut General Statutes, as amended, in the amounts required by the said sections or in such higher amounts as have been specified by ConnDMV as a condition for the award of the Contract, or in accordance with all substantially similar provisions imposed by the law of the jurisdiction where the motor vehicle is registered.
- (c) Each Contractor Party who uses or operates a motor vehicle at any time in the Performance of the Contract shall have and maintain a motor vehicle operator's license or commercial driver's license of the appropriate class for the motor vehicle being used or operated. Each such license shall bear the endorsement or endorsements required by the provisions of

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Section 14-36a of the Connecticut General Statutes, as amended, to operate such motor vehicle, or required by substantially similar provisions imposed by the law of another jurisdiction in which the operator is licensed to operate such motor vehicle. The license shall be in valid status, and shall not be expired, suspended or revoked by ConnDMV or such other jurisdiction for any reason or cause.

- (d) Each motor vehicle shall be in full compliance with all of the terms and conditions of all provisions of the Connecticut General Statutes and regulations, or those of the jurisdiction where the motor vehicle is registered, pertaining to the mechanical condition, equipment, marking and operation of motor vehicles of such type, class and weight, including, but not limited to, requirements for motor vehicles having a gross vehicle weight rating of 18,000 pounds or more or motor vehicles otherwise described by the provisions of Conn. Gen. Stat. § 14-163c(a) and all applicable provisions of the Federal Motor Carrier Safety Regulations, as set forth in Title 49, Parts 382 to 399, inclusive, of the Code of Federal Regulations.
- 29. <u>Disclosure of Contractor Parties Litigation</u>. The Contractor shall require that all Contractor Parties, as appropriate, disclose to the Contractor, to the best of their knowledge, any Claims involving the Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to Perform fully under the Contract, no later than ten (10) Days after becoming aware or after they should have become aware of any such Claims. Disclosure shall be in writing.
- 30. <u>Entirety of Contract</u>. The Contract is the entire agreement between the parties with respect to its subject matter, and supersedes all prior agreements, bids, offers, counteroffers and understandings of the parties, whether written or oral. The Contract has been entered into after full investigation, neither party relying upon any statement or representation by the other unless such statement or representation is specifically embodied in the Contract.
- 31. <u>Exhibits</u>. All exhibits referred to in and attached to this Contract are incorporated in this Contract by such reference and shall be deemed to be a part of it as if they had been fully set forth in it.
- 32. Executive Orders. This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Contract as if they had been fully set forth in it. The Contract may also be subject to Executive Order No. 7C of Governor M. Jodi Rell, promulgated July 13, 2006, concerning contracting reforms and Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services, in accordance with their respective terms and conditions. If Executive Orders 7C and 14 are applicable, they are deemed to be incorporated into and are made a part of the Contract as if they had been fully set forth in it. At the Contractor's request, the Client Agency or DAS shall provide a copy of these orders to the Contractor.
- 33. Non-discrimination.
- (a) For purposes of this Section, the following terms are defined as follows:

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- (1) "Commission" means the Commission on Human Rights and Opportunities;
- (2) "Contract" and "contract" include any extension or modification of the Contract or contract;
- (3) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
- (4) "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
- (5) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- (6) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- (7) "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced;
- (8) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
- (9) "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and
- (10) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair

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of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

(b)

(1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with jobrelated qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor

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agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.

- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(g)

(1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to

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Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.

(h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

34. Tangible Personal Property.

- (a) The Contractor on its behalf and on behalf of its Affiliates, as defined below, shall comply with the provisions of Conn. Gen. Stat. §12-411b, as follows:
 - (1) For the term of the Contract, the Contractor and its Affiliates shall collect and remit to the State of Connecticut, Department of Revenue Services, any Connecticut use tax due under the provisions of Chapter 219 of the Connecticut General Statutes for items of tangible personal property sold by the Contractor or by any of its Affiliates in the same manner as if the Contractor and such Affiliates were engaged in the business of selling tangible personal property for use in Connecticut and had sufficient nexus under the provisions of Chapter 219 to be required to collect Connecticut use tax;
 - (2) A customer's payment of a use tax to the Contractor or its Affiliates relieves the customer of liability for the use tax;
 - (3) The Contractor and its Affiliates shall remit all use taxes they collect from customers on or before the due date specified in the Contract, which may not be later than the last day of the month next succeeding the end of a calendar quarter or other tax collection period during which the tax was collected;
 - (4) The Contractor and its Affiliates are not liable for use tax billed by them but not paid to them by a customer; and
 - (5) Any Contractor or Affiliate who fails to remit use taxes collected on behalf of its customers by the due date specified in the Contract shall be subject to the interest and penalties provided for persons required to collect sales tax under chapter 219 of the general statutes.
- (b) For purposes of this section of the Contract, the word "Affiliate" means any person, as defined in section 12-1 of the general statutes, that controls, is controlled by, or is under common control with another person. A person controls another person if the person

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owns, directly or indirectly, more than ten per cent of the voting securities of the other person. The word "voting security" means a security that confers upon the holder the right to vote for the election of members of the board of directors or similar governing body of the business, or that is convertible into, or entitles the holder to receive, upon its exercise, a security that confers such a right to vote. "Voting security" includes a general partnership interest.

- (c) The Contractor represents and warrants that each of its Affiliates has vested in the Contractor plenary authority to so bind the Affiliates in any agreement with the State of Connecticut. The Contractor on its own behalf and on behalf of its Affiliates shall also provide, no later than 30 days after receiving a request by the State's contracting authority, such information as the State may require to ensure, in the State's sole determination, compliance with the provisions of Chapter 219 of the Connecticut General Statutes, including, but not limited to, §12-411b.
- 35. Whistleblowing. This Contract may be subject to the provisions of Section 4-61dd of the Connecticut General Statutes. In accordance with this statute, if an officer, employee or appointing authority of the Contractor takes or threatens to take any personnel action against any employee of the Contractor in retaliation for such employee's disclosure of information to any employee of the contracting state or quasi-public agency or the Auditors of Public Accounts or the Attorney General under the provisions of subsection (a) of such statute, the Contractor shall be liable for a civil penalty of not more than five thousand dollars for each offense, up to a maximum of twenty per cent of the value of this Contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation, each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The State may request that the Attorney General bring a civil action in the Superior Court for the Judicial District of Hartford to seek imposition and recovery of such civil penalty. In accordance with subsection (f) of such statute, each large state contractor, as defined in the statute, shall post a notice of the provisions of the statute relating to large state contractors in a conspicuous place which is readily available for viewing by the employees of the Contractor.
- 36. <u>Notice</u>. All notices, demands, requests, consents, approvals or other communications required or permitted to be given or which are given with respect to this Contract (for the purpose of this section collectively called "Notices") shall be deemed to have been effected at such time as the notice is placed in the U.S. mail, first class and postage pre-paid, return receipt requested or placed with a recognized, overnight express delivery service that provides for a return receipt. All such Notices shall be in writing and shall be addressed as follows:

If to DAS:

State of Connecticut Department of Administrative Services 165 Capitol Ave, 5th Floor South Hartford, CT 06106-1659 Attention: Teresa Dupont

If to the Contractor:

At the address set forth on Form SP-38.

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- 37. <u>Insurance</u>. Before commencing Performance, the Contractor shall obtain and maintain at its own cost and expense for the duration of the Contract, the following insurance as described in (a) through (h) below. Contractor shall assume any and all deductibles in the described insurance policies. The Contractor's insurers shall have no right of recovery or subrogation against the State and the described Contractor's insurance shall be primary coverage. Any failure to comply with the claim reporting provisions of the policy shall not affect coverage provided to the State.
- (a) Reserved
- (b) Commercial General Liability: \$1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage. Coverage shall include, Premises and Operations, Independent Contractors, Products and Completed Operations, Contractual Liability and Broad Form Property Damage coverage. If a general aggregate is used, the general aggregate limit shall apply separately to the project or the general aggregate limit shall be twice the occurrence limit.
- (c) Reserved
- (d) Workers' Compensation and Employers Liability: Statutory coverage in compliance with the Compensation laws of the State of Connecticut. Coverage shall include Employer's Liability with minimum limits of \$100,000 each accident, \$500,000 Disease Policy limit, \$100,000 each employee.
- (e) Reserved
- (f) Reserved
- (g) Reserved
- (h) Reserved
- 38. <u>Headings</u>. The headings given to the sections in the Contract are inserted only for convenience and are in no way to be construed as part of the Contract or as a limitation of the scope of the particular section to which the heading refers.
- 39. <u>Number and Gender</u>. Whenever the context so requires, the plural or singular shall include each other and the use of any gender shall include all genders.
- 40. <u>Parties</u>. To the extent that any Contractor Party is to participate or Perform in any way, directly or indirectly in connection with the Contract, any reference in the Contract to "Contractor" shall also be deemed to include "Contractor Parties," as if such reference had originally specifically included "Contractor Parties" since it is the parties' intent for the terms "Contractor Parties" to be vested with the same respective rights and obligations as the term "Contractor."
- 41. <u>Contractor Changes</u>. The Contractor shall notify DAS in writing no later than ten (10) Days from the effective date of any change in:
- a. its certificate of incorporation or other organizational document;
- b. more than a controlling interest in the ownership of the Contractor; or

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c. the individual(s) in charge of the Performance.

This change shall not relieve the Contractor of any responsibility for the accuracy and completeness of the Performance. DAS, after receiving written notice by the Contractor of any such change, may require such agreements, releases and other instruments evidencing, to DAS's satisfaction, that any individuals retiring or otherwise separating from the Contractor have been compensated in full or that provision has been made for compensation in full, for all work performed under terms of the Contract. The Contractor shall deliver such documents to DAS in accordance with the terms of DAS's written request. DAS may also require, and the Contractor shall deliver, a financial statement showing that solvency of the Contractor is maintained. The death of any Contractor Party, as applicable, shall not release the Contractor from the obligation to Perform under the Contract until Performance is fully completed.

- 42. <u>Further Assurances</u>. The parties shall provide such information, execute and deliver any instruments and documents and take such other actions as may be necessary or reasonably requested by the other party which are not inconsistent with the provisions of this Contract and which do not involve the vesting of rights or assumption of obligations other than those provided for in the Contract, in order to give full effect to the Contract and to carry out the intent of the Contract.
- 43. Audit and Inspection of Plants, Places of Business and Records.
 - (a) The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract.
 - (b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.
 - (c) The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.
 - (d) All audits and inspections shall be at the State's expense.
 - (e) The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Contract, or (ii) the expiration or earlier termination of this Contract, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.

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- (f) The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.
- (g) The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.
- 44. <u>Background Checks</u>. The State may require that the Contractor and Contractor Parties undergo criminal background checks as provided for in the State of Connecticut Department of Emergency Services and Public Protection Administration and Operations Manual or such other State document as governs procedures for background checks. The Contractor and Contractor Parties shall cooperate fully as necessary or reasonably requested with the State and its agents in connection with such background checks.
- 45. <u>Continued Performance</u>. The Contractor and Contractor Parties shall continue to Perform their obligations under the Contract while any dispute concerning the Contract is being resolved.
- 46. Working and Labor Synergies. The Contractor shall be responsible for maintaining a tranquil working relationship between the Contractor work force, the Contractor Parties and their work force, State employees, and any other contractors present at the work site. The Contractor shall quickly resolve all labor disputes which result from the Contractor's or Contractor Parties' presence at the work site, or other action under their control. Labor disputes shall not be deemed to be sufficient cause to allow the Contractor to make any claim for additional compensation for cost, expenses or any other loss or damage, nor shall those disputes be deemed to be sufficient reason to relieve the Contractor from any of its obligations under the Contract.

47. Contractor Responsibility.

- (a) The Contractor shall be responsible for the entire Performance under the Contract regardless of whether the Contractor itself performs. The Contractor shall be the sole point of contact concerning the management of the Contract, including Performance and payment issues. The Contractor is solely and completely responsible for adherence by the Contractor Parties to all applicable provisions of the Contract.
- (b) The Contractor shall exercise all reasonable care to avoid damage to the State's property or to property being made ready for the State's use, and to all property adjacent to any work site. The Contractor shall promptly report any damage, regardless of cause, to the State.
- 48. <u>Severability</u>. If any term or provision of the Contract or its application to any person, entity or circumstance shall, to any extent, be held to be invalid or unenforceable, the remainder of the Contract or the application of such term or provision shall not be affected as to persons, entities or circumstances other than those as to whom or to which it is held to be invalid or unenforceable. Each remaining term and provision of the Contract shall be valid and enforced to the fullest extent possible by law.
- 49. <u>Confidential Information</u>. The State will afford due regard to the Contractor's request for the protection of proprietary or confidential information which the State receives. However, all materials associated with the Bid and the Contract are subject to the terms of the Connecticut Freedom of Information Act ("FOIA") and all corresponding rules, regulations and interpretations. In making such a request, the Contractor may not merely state generally that the materials are proprietary or confidential in nature and not,

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> therefore, subject to release to third parties. Those particular sentences, paragraphs, pages or sections that the Contractor believes are exempt from disclosure under the FOIA must be specifically identified as such. Convincing explanation and rationale sufficient to justify each exemption consistent with the FOIA must accompany the request. The rationale and explanation must be stated in terms of the prospective harm to the competitive position of the Contractor that would result if the identified material were to be released and the reasons why the materials are legally exempt from release pursuant to the FOIA. To the extent that any other provision or part of the Contract, especially including the Bid, the Records and the specifications, conflicts or is in any way inconsistent with this section, this section controls and shall apply and the conflicting provision or part shall not be given effect. If the Contractor indicates that certain documentation is submitted in confidence, by specifically and clearly marking said documentation as CONFIDENTIAL, DAS will endeavor to keep said information confidential to the extent permitted by law. DAS, however, has no obligation to initiate, prosecute or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information that is sought pursuant to a FOIA request. The Contractor shall have the burden of establishing the availability of any FOIA exemption in any proceeding where it is an issue. In no event shall DAS or the State have any liability for the disclosure of any documents or information in its possession which the State or DAS believes are required to be disclosed pursuant to the FOIA or other requirements of law.

50. References to Statutes, Public Acts, Regulations, Codes and Executive Orders.

All references in this Contract to any statute, public act, regulation, code or executive order shall mean such statute, public act, regulation, code or executive order, respectively, as it has been amended, replaced or superseded at any time. Notwithstanding any language in this Contract that relates to such statute, public act, regulation, code or executive order, and notwithstanding a lack of a formal amendment to this Contract, this Contract shall always be read and interpreted as if it contained the most current and applicable wording and requirements of such statute, public act, regulation, code or executive order as if their most current language had been used in and requirements incorporated into this Contract at the time of its execution.

51. Cross-Default.

- (a) If the Contractor or Contractor Parties breach, default or in any way fail to Perform satisfactorily under the Contract, then DAS may, in its sole discretion, without more and without any action whatsoever required of the State, treat any such event as a breach, default or failure to perform under any or all other agreements or arrangements ("Other Agreements") that the Contractor or Contractor Parties have with DAS. Accordingly, DAS may then exercise at its sole option any and all of its rights or remedies provided for in the Contract or Other Agreements, either selectively or collectively and without such election being deemed to prejudice any rights or remedies of DAS, as if the Contractor or Contractor Parties had suffered a breach, default or failure to perform under the Other Agreements.
- (b) If the Contractor or Contractor Parties breach, default or in any way fail to Perform satisfactorily under any or all Other Agreements with DAS or the State, then DAS may, in its sole discretion, without more and without any action whatsoever required of the State, treat any such event as a breach, default or failure to Perform under the Contract. Accordingly, the State may then exercise at its sole option any and all of its rights or remedies provided for in the Other Agreements or the Contract, either selectively or collectively and without such election being deemed to prejudice any rights or remedies of

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DAS or the State, as if the Contractor or Contractor Parties had suffered a breach, default or failure to Perform under the Contract.

- 52. <u>Disclosure of Records</u>. This Contract may be subject to the provisions of section 1-218 of the Connecticut General Statutes. In accordance with this statute, each contract in excess of two million five hundred thousand dollars between a public agency and a person for the performance of a governmental function shall (a) provide that the public agency is entitled to receive a copy of records and files related to the performance of the governmental function, and (b) indicate that such records and files are subject to FOIA and may be disclosed by the public agency pursuant to FOIA. No request to inspect or copy such records or files shall be valid unless the request is made to the public agency in accordance with FOIA. Any complaint by a person who is denied the right to inspect or copy such records or files shall be brought to the Freedom of Information Commission in accordance with the provisions of sections 1-205 and 1-206 of the Connecticut General Statutes.
- 53. <u>Summary of State Ethics Laws</u>. Pursuant to the requirements of section 1-101qq of the Connecticut General Statutes, the summary of State ethics laws developed by the State Ethics Commission pursuant to section 1-81b of the Connecticut General Statutes is incorporated by reference into and made a part of the Contract as if the summary had been fully set forth in the Contract.
- 54. Sovereign Immunity. The parties acknowledge and agree that nothing in the Invitation to Bid or the Contract shall be construed as a modification, compromise or waiver by the State of any rights or defenses of any immunities provided by Federal law or the laws of the State of Connecticut to the State or any of its officers and employees, which they may have had, now have or will have with respect to all matters arising out of the Contract. To the extent that this section conflicts with any other section, this section shall govern.
- 55. <u>Time of the Essence</u>. Time is of the essence with respect to all provisions of this Contract that specify a time for performance; provided, however, that this provision shall not be construed to limit or deprive a party of the benefits of any grace or use period allowed in this Contract.
- 56. <u>Certification as Small Contractor or Minority Business Enterprise</u>. This paragraph was intentionally left blank.
- 57. <u>Campaign Contribution Restriction</u>. For all State contracts as defined in Conn. Gen. Stat. § 9-612(g)(1) having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Contract expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations," attached as Exhibit C.
- 58. Health Insurance Portability and Accountability Act.

This paragraph was intentionally left blank.

59. <u>Protection of Confidential Information</u>.

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- (a) Contractor and Contractor Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.
- (b) Each Contractor or Contractor Party shall develop, implement and maintain a comprehensive data - security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of DAS or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:
 - (1)A security policy for employees related to the storage, access and transportation of data containing Confidential Information;
 - (2)Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;
 - (3)A process for reviewing policies and security measures at least annually;
 - (4)Creating secure access controls to Confidential Information, including but not limited to passwords; and
 - (5)Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.
- (c) The Contractor and Contractor Parties shall notify DAS, the Client Agency and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Contractor or Contractor Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the Contractor shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Client Agency and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the Contractor at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes § 36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The Contractors' costs and

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expenses for the credit monitoring and protection plan shall not be recoverable from DAS, the Client Agency, any State of Connecticut entity or any affected individuals.

- (d) The Contractor shall incorporate the requirements of this Section in all subcontracts requiring each Contractor Party to safeguard Confidential Information in the same manner as provided for in this Section.
- (e) Nothing in this Section shall supersede in any manner Contractor's or Contractor Party's obligations pursuant to HIPAA or the provisions of this Contract concerning the obligations of the Contractor as a Business Associate of Covered Entity.
- 60. <u>Audit Requirements for Recipients of State Financial Assistance.</u> This paragraph was intentionally left blank.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

1. DESCRIPTION OF GOODS AND SERVICES:

(a) **PRICING**:

Pricing will be in accordance with Exhibit B. Books purchased during the term of the Contract will be billed to the ordering Client Agency, and the price will include transportation and delivery charges, fully prepaid by the Contractor, ("F.O.B") Client Agency designated destination to any point in Connecticut.

Contractor percentage discounts shall remain firm for the length of the contract award.

Contractor shall furnish copies of catalogs and price lists, upon request, to any requesting Client Agency, and shall supplement catalogs as often as necessary during the life of the Contract.

Any proposed price increases attributable to freight shipping, fuel surcharges, FPT (Freight Pass Through), etc. will not be approved.

(b) ORDERING INFORMATION:

Purchase orders must indicate the full title (and edition, if applicable), name(s) of author(s), publisher(s), quantity and price of each title desired.

(c) MINIMUM ORDER:

Minimum order for each (single) destination will be one (1) book.

(d) SERVICE REQUIREMENTS:

In the event the Client Agency has not received an order within the timeframes set forth in section (f) it may cancel the order without further notice and purchase the ordered book(s) elsewhere.

(e) DELIVERY:

Deliveries must be made in the quantities specified by the Client Agency. Contractor shall be responsible for the delivery of the Goods in first class condition at the point of delivery and in accordance with good commercial practice.

Delivery must be made directly to the Client Agency's storehouse or receiving platform. Pickup deliveries will not be accepted.

Shipments must be securely and properly packed, according to accepted commercial practice, without extra charge for packing cases, bales, sacks or other containers. Contractor shall promptly replace any deliveries that do not conform to the specifications or are not in good condition upon receipt.

(f) DELIVERY AFTER RECEIPT OF ORDER (ARO):

Contractor shall make delivery of the Goods <u>within 30 days after receipt of purchase order</u>. For items four (4) thru six (6), delivery times may be extended up to 120 days ARO at the Client Agency's option. Client Agency may agree to later delivery dates proposed by Contractor.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

(g) URGENT REQUIREMENTS:

Client Agency may issue purchase orders with shorter delivery timeframes than those described in the previous section from time to time. Contractor shall reply to such inquiries within 3 working days after receipt of order and provide the fastest delivery date possible.

(h) OUT-OF-PRINT PUBLICATIONS:

Books or other publications which are out of print at the time of the placement of a Client Agency order are not required to be delivered by Contractor. Either of the following are deemed adequate to demonstrate that ordered titles are no longer available:

- Contractor submission of credible evidence from the publisher that any specific book or publication has been taken out of print.
- The written statement of a publisher, that any specific book or publication has gone out of print.

(i) OUT OF STOCK AND/OR NOT YET PUBLISHED:

Contractor shall notify the Client Agency within the timeframe specified in section f as to which books are out of stock and/or not yet published and shall advise the Client Agency as to their approximate availability date. The Client Agency shall instruct the Contractor within 20 days following Contractor notification to "Back Order" or to "Cancel" the affected order. Client Agency shall not request a backorder unless books are expected within 60 days after date of the Contractor provides its estimated availability notice to Client Agency. If Contractor does not receive Client Agency instructions within 20 days following Contractor notification to "Back Order" or to "Cancel", affected items will be automatically cancelled from order.

(j) EDITIONS:

Unless otherwise specified in orders, the latest editions of books must be supplied.

(k) SPECIAL EDITIONS:

When two or more publishers publish a title, the Client Agency reserves the right to select the edition.

(I) CONDITION OF BOOKS:

All Goods must be bound in accordance with normal trade practice unless otherwise specified by the Client Agency in writing. Client Agency shall return copies it deems defective (in binding, pagination, or otherwise) to Contractor at Contractor's expense. The Client Agency assumes no responsibility for losses in transit due to courier's loss. Contractor will be held strictly responsible for furnishing new and perfect publications in strict accordance with the specifications.

(m) CATALOGING AND PROCESSING SERVICE:

Contractor shall have a cataloging and processing service option available to complement book orders. This service must include the provision of a book pocket, book card, spine label and a complete set of catalog cards. Cataloging must be compatible with the Abridged Dewey Decimal Classification (DDC) and Library of Congress Classification (LCC) formats.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

(n) CORRESPONDENCE:

In the event that the awarded Contractor's name and/or federal identification number changes, and/or the Contractor moves and/or updates address and/or telephone number(s) and/or contact person, it is the Contractor's responsibility to advise Procurement Services of such changes in writing. The State will not be held responsible for payments or purchase orders, which are delayed due to additional routing, caused by the lack of notification on the Contractor's part. These updates must be forwarded to:

DAS/Procurement Services, 165 Capitol Avenue, 5th Floor South, Hartford, CT 06106, Attn: Teresa Dupont E-Mail: teresa.dupont@ct.gov, Tel.: 860-713-5073

(o) Invoicing:

The Contractor shall invoice the Client Agency directly. The invoice must contain the Client Agency's purchase order number. Invoices received without reference to a valid State purchase order number will result in delay of payment. Questions regarding payment status may be directed to the requesting Client Agency.

2. ADDITIONAL TERMS AND CONDITIONS:

(a) Contract Separately/Additional Savings Opportunities

DAS reserves the right to either seek additional discounts from the Contractor or to contract separately for a single purchase, if in the judgment of DAS, the quantity required is sufficiently large, to enable the State to realize a cost savings, over and above the prices set forth in Exhibit B, whether or not such a savings actually occurs.

(b) Mandatory Extension to State Entities

Contractor shall offer and extend the Contract (including pricing, terms and conditions) to political subdivisions of the State (towns and municipalities), schools, and not-for-profit organizations.

(c) P-Card (Purchasing MasterCard Credit Card)

Purchases made by the Client Agency from the Contractor that are less than \$1,000 may be made using the State of Connecticut Purchasing Card (MasterCard) in accordance with Memorandum No. 2011-11 issued by the Office of the State Comptroller.

Contractor shall be equipped to receive orders issued by the Client Agency using the MasterCard. The Contractor shall be responsible for the credit card user-handling fee associated with MasterCard purchases. The Contractor shall charge to the MasterCard only upon acceptance of Goods delivered to the Client Agency or the rendering of Services.

EXHIBIT A

DESCRIPTION OF GOODS & SERVICES AND ADDITIONAL TERMS & CONDITIONS

The Contractor shall capture and provide to its merchant bank, Level 3 reporting at the line item level for all orders placed by MasterCard.

Questions regarding the state of Connecticut MasterCard Program may be directed to Ms. Kerry DiMatteo, Procurement Card Program Administrator at 860-713-5072.

Please see indiv					
	idual vendor pages	s for specific d	etails.		
	Advanced Educational Products	Baker & Taylor, Inc.	Brodart Co.	Davidson Titles, Inc.	Mt Library dba Junior Library Guild
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PRICE SCHEDULE

SP-16 Rev. 05/08 Prev NEW. 5/07

STATE OF CONNECTICUT

BID NO 15PSX0010

Teresa Dupont Contract Specialist

(860)713-5073

PROCUREMENT DIVISION **EXHIBIT B**

860)713-5 Telephone N			CE SCHEI		DELIVERY: within 10-21 days ARO			
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PRICE SCHEDULE SP-16 Rev. 05/07 Prev Rev. 03/07

STATE OF CONNECTICUT

BID NO 12PSX0162

PROCUREMENT DIVISION Teresa Dupont **EXHIBIT B** Contract Specialist

(860)713-5073 PRICE SCHEDULE **BIDDER NAME:** Telephone Number Advanced Educational Products, Inc for 12PSX0162 ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES **Cataloging/Processing Services:** 8. Bidder must electronically upload any additional cataloging Cost and processing service pricing with bid or bid shall be rejected. Please list all pricing below: **Examples:** Cataloging and processing (print), Cataloging and processing (electronic), Card kit, Bar Codes, etc. Please list each individual Item **Please see Complete AEP Library Processing Pricing \$ \$ • Sheets attached** \$ 1.10 \$ Cataloging and processing (print) \$ 1.10 Cataloging and processing (electronic) • Card kit \$.45 Bar codes \$ 0.10 , (0.25 attached) • \$ \$ \$ \$ \$ \$ • \$ • • Use additional line/pages if necessary.

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Ordering information:

10.

Advanced Educational Products

716-446-0739 ; 800-311-1522

Renee Larcom

716-446-5642

sales@aepbooks.com

www.aepbooks.com

Company

Fax:

Email:

Phone Number:

Web address:

Company Contact Person:





ADVANCED EDUCATIONAL PRODUCTS, Inc.

aepbooks.com

2495 Main Street, Ste 230, Buffalo, NY (800) 311-1522

Library Processing Services Pricelist

AEP provides many shelf-ready services, including cataloging and physical processing of books and multimedia to your requirements. These options allow you to save valuable time and expense getting materials ready for circulation. Upon request, we can provide details about services in the following areas:

Book Processing	
Spine Label \$0.10 Spine Label Attachment \$0.15	Reading Level Labels\$0.10 Reading Level Label Attachment\$0.15
Barcode Label\$0.10 Barcode Label Attachment\$0.15	Customized Classroom Label\$0.18 Classroom Label Attachment\$0.22
Book Pocket \$0.10 Book Pocket Attachment \$0.15	Book Pocket Label\$0.25 Book Pocket Label Attachment\$0.15
Book Card\$0.05	Due Date Slip
CD/DVD Book Pocket Attachment\$1.75 Self-adhesive pocket for accompanying media	Security Strips\$0.35 3M Tattle Tape Security Strip Attachment\$0.15
Media Processing	
Spine Label\$0.10	Hub Label\$0.10
Spine Label Attachment\$0.15	Hub Label Attachment\$0.15
Barcode Label\$0.10 Barcode Label Attachment\$0.15	Media Case (per disc)\$1.00 2 ring binder with double sided sleeves
Case Artwork (price per case)\$1.60 B&W or Color Photocopies of Original Media Artwork	DVD Case (per disc)\$1.00 Standard, slim, or double cases
Security Strips (per disc)\$0.85 3M Tattle Tape—Overlay placed on disc Security Strip Attachment\$0.15	



Cataloging

Please note that we typically compile Library of Congress Subject Headings (LCSH) for our MARC records (6xx fields).

MARC Record (per record).....\$0.35

Catalog Card......\$0.10

Will notify customer in advance if needed

New Record Creation (Book).....\$9.00

Via electronic transfer

New Record Creation (Media).....\$12.00

For corresponding MARC record

Will notify customer in advance if needed

Binding

Please note that some books cannot be bound if books are more than 12 inches in length. Some books may not be suitable for binding. An AEP Service Representative will contact you regarding unsuitable titles received at the bindery, or they will be processed according to a pre-arranged profile agreement.

This process converts paperback to hardback. The original cover is removed, bound to acid-free binder board, laminated and re- attached. Tightly woven back lining cloth is used to reinforce the spine. Some titles require sewn binding reinforcement (no extra charge). Vinabinding typically extends the life of paperbacks by 8-9 times. A minimum number of 15 books applies. Please note that this process may take up to 2-3 weeks, since it is done outside of our facilities

Kapco Easy Covers for Paperback Books (per book)......\$3.95 Protects paperbacks using self-adhesive, 1.5 mil, clear polyester book covers. Additionally secured with reinforcement tape applied to the front and back hinges. Wipes clean and will not crack, yellow or dry-out. Typically extends the life of paperbacks by 5-6 times.

Protects hardcover books with dust jackets using a 1.5 mil, clear polyester book cover. Mylar covers attached with acid free, non-yellowing adhesive tape.

PRICE SCHEDULE SP-16 Rev. 05/08 Prev NEW, 5/07

STATE OF CONNECTICUT

BID NO 15PSX0010

PROCUREMENT DIVISION EXHIBIT B

Teresa Dupont Contract Specialist (860)713-5073 DELIVERY: Free shipping from PRICE SCHEDULE Telephone Number designated service center (see Attachment A) for 12PSX0162 TERMS: CASH DISCOUNT: Net 45 days from Page 1 OF 3 0 % date of invoice Days BIDDER NAME: Baker & Taylor, LLC ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES A single flat % discount shall be quoted Please see Attachments A through C for complete discount against each category listed below: (Range information and Category Definitions. discounts are not allowed le. 20% - 30%) TRADE BOOKS Category Definitions I, II (High demand materials from widely distributed publishers.) 1. These books are designed by the publishers as books of general interest including cook books, guide books, biographies, all time classics, works of fiction and non-fiction including the best sellers. % 44.0 TEXT AND TECHNICAL BOOKS Category Definition IX 2. Professional levels) and hand books or practical works of a technical 15.0* % scientific or business nature. MEDICAL BOOKS Category Definition IX 3. All books in the medical field including veterinary, medicine, % 15.0* dentistry, nursing and allied fields. PAPER BOUND Category Definitions III, IV, V (High demand materials from widely distributed publishers.) 4. These cover all paper back in the "PUBLISHERS TRADE LIST ANNUAL" of the latest issue. Also bound editions only of trade directories and books on library science. Not all Library Science books fall 25.0 % under this category. LIBRARY BOUND Category Definitions VI, VII (Includes Single Edition Reinforced.) 5. These cover juvenile, technical, text and trade books listed in the "PUBLISHERS TRADE LIST ANNUAL" of the latest issue bound in 22.0 % the Publishers own library binding. UNIVERSITY PRESS Category Definition VIII, IX 6. These cover all scholarly and academic books published by presses 15.0* affiliated with an academic institution. "NET" BOOKS Category Definition X, XI 7. Includes pamphlets, brochures, bulletins - imported books or any publications sold at no discount by publishers to distributors or 0.0** iobbers. Time between receipt of orders and shipments Days ARO In-Stock, non-processed 1-3 **Davs ARO** 5-10 Processed Titles/Units 1 million / 18 million Quantity of normal book stock inventory

Titles which receive minimal publisher discount will be invoiced at publisher's lower list price at the time of shipment.

^{**} Titles where Baker & Taylor receives no discount from the publisher, or prepayment is required by the publisher, or publishers whose titles have limited demand and/or non-commercial publishers will be invoiced at list price plus \$4.95/unit service charge. These titles are part of an optional program and may be blocked from order upon request.

PRICE SCHEDULE SP-16 Rev. 05/07 Prev Rev. 03/07

STATE OF CONNECTICUT

BID NO 12PSX0162

PROCUREMENT DIVISION EXHIBIT B

Teresa Dupont
Contract Specialist
[860)713-5073
PRICE SCHEDULE
Telephone Number
For 12PSX0162
BIDDER NAME:
Baker & Taylor, LLC

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PRICE SCHEDULE SP-16 Rev. 05/07 Prev Rev. 03/07

STATE OF CONNECTICUT

BID NO 12PSX0162

Teresa Dupont

Contract Specialist [860)713-5073

PROCUREMENT DIVISION EXHIBIT B

PRICE SCHEDULE

BIDDER NAME:

etepnone iv	umver	<u>for</u>	12PSX0162	Baker &	Taylor, LLC
ITEM#	Desc	CRIPTION OF COMMODITY	and/or Services		
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10.	Ordering inform Baker & Taylor Attn: Ordering E 3584 Old Maysv Commerce, GA Phone: 800-775	Department ille Road 30529	Company Cont Pho	Company tact Person: ne Number: Fax: Email:	Baker & Taylor, LLC Susan Gurley; Sr. Pricing Services Rep. 800-775-7930 x3169 704-998-3260 susan.gurley@baker-taylor.com

CUSTOMER SERVICE REPRESENTATIVES:

State Agencies / Library Customers: Kim Waldrop (800-775-1200 x2764) Email: kim.waldrop@baker-taylor.com

School Customers:

Andrea Turner (800-775-1200 x2281) Email: andrea.turner@baker-taylor.com

ATTACHMENT A (Continued)

Baker & Taylor, LLC Charlotte, NC Sealed Bid #15PSX0010 (Books)

Baker & Taylor, LLC Title Source Discount Chart Sealed Bid Number: 15PSX0010 (Books)

Baker & Taylor, LLC is pleased to offer the discount terms and conditions contained in this Attachment A. The pricing grid below provides discounts for each product category offered by Baker & Taylor.

Please note that the Title Source discount fields will hold only one discount per Price Indicator

Product Category	Category Definition*	Price Indicator	Discount
I.	Adult Trade Hardcover Editions (Popular Fiction & Non-Fiction)	0 (zero) (Hardcover Trade Editions) C (Hardcover Computer Books)	44.0%
II.	Juvenile Trade Hardcover Editions (Popular Fiction & Non-Fiction)	J	44.0%
III.	Adult Quality Paperback Editions (Popular Fiction & Non-Fiction)	B (Paperback Trade Editions) C (Paperback Computer Books)	25.0%
IV.	Juvenile Quality Paperback Editions (Popular Fiction & Non-Fiction)	G	25.0%
٧.	Mass Market Paperback Editions	P	25.0%
VI.	Single Edition Reinforced (Juvenile)	R	22.0%
VII.	Publisher's Library Edition (Juvenile)	Z	22.0%
VIII.	University Press Trade Editions	A	15.0%
IX.	Text, Technical, Reference, Small Press, and/or Titles of Limited Demand (May be of any binding and includes non-trade University Press titles and some spoken word audio.)	S/X/N (Text, Technical, or Reference Editions) L (Hardcover Editions from Small, Specialty Publishers and Titles of Limited Demand)*** M (Paperback Editions from Small, Specialty Publishers and Titles of Limited Demand)*** T/U/V/W/4/7/Letter O (Specialty Textbooks) 5/6/8 (Professional Medical Titles)	S=15.0% X=15.0% N=0.0%** L=15.0%*** M=15.0%*** T = 0.0 % U = 15.0 % V = 15.0 % W = 0.0 % 14 = 15.0 % 7 = 15.0 % Letter O = 15.0 % 6 = 15.0 % 8 = 15.0 %
X.	Imported English and Non-English Language Editions	F/K/1/3	F=0.0% K=15.0% 1=0.0% 3=0.0%
XI.	Enhanced Service Program (Optional Service)	Q/Y	0.0% + \$4.95/unit****
XII.	Spoken Word Audio	H	44.0%
XIII.	Board Books	I	20.0%
XIV.	Novelty Items/Activity Books	I	20.0%
XV.	Special Programs, such as: - Paw Prints Editions - Turtleback Editions	D Paw Prints Editions E Turtleback Editions	D=0.0% E=0.0%

^{*}Please see Attachment B for full category definitions, which are attached hereto and incorporated herein by reference. Materials produced for TextStream print-on-demand services may fall into any category, depending upon the relationship established with the individual content suppliers.

^{**}Titles which receive minimal publisher discount will be invoiced at publisher's list price.

^{***}Represents publishers with limited sales volume, based upon a semi-annual review and individual titles which qualify for preferred stock status, but have limited demand (calculated over a rolling 12 month period). Also represents individual titles which do not quality for preferred stock status, based upon quarterly review. These titles may be of any binding type or publisher of origin.

^{****}Titles noted as non-returnable will be invoiced at publisher's list price.

^{*****}Titles where Baker & Taylor receives no discount from the publisher or prepayment is required by the publisher or publishers whose titles have limited demand and/or non-commercial publishers will be invoiced at list price plus \$4.95/unit service charge.

ATTACHMENT A (Continued)

Baker & Taylor, LLC Charlotte, NC Sealed Bid #15PSX0010 (Books)

Also, please note that:

- Publisher's list price is subject to change without notice.
- Except where otherwise noted, book discounts are applied to the publisher's current list price at the time
 of shipment.
- Baker & Taylor reserves the sole right to be the final determinant of product categories, category definitions and price indicators. The discounts vary based on this determination.
- Titles are categorized by Baker & Taylor for pricing purposes by considering the binding, general
 marketing categories, demand for certain titles, preferred stock status, cost of acquisition, cost of
 distribution, and the size or type of publisher, as well as factors related to relationships with publishers
 such as shipping terms, payment terms, publisher's discount, returnability to publishers and other factors.
- Product categories, category definitions and price indicators are subject to change at Baker & Taylor's sole discretion, without notice, based upon the above-described factors for categorizing titles.
- For domestic titles where no publisher list price is assigned by the publisher, Baker & Taylor will assign such titles a price in its electronic catalog which is based upon Baker & Taylor's estimate of market conditions.
- For imported titles where no publisher list price is assigned by the publisher for the U.S. market, Baker & Taylor will assign such titles a U.S. dollar price in its electronic catalog which is based upon Baker & Taylor's estimate of market conditions.
- For PawPrints editions, Baker & Taylor will assign such titles a price in its electronic catalog which is based upon Baker & Taylor's estimate of market conditions.
- Titles of limited demand or from small specialty publishers generally are included in Product Category IX or Product Category XI.
- The discount terms and conditions in this Attachment A do not apply to Baker & Taylor's Continuation Service or Approval programs.
- Baker & Taylor provides an invoice that identifies the publisher's current list price, the discount offered, and the exact price charged for each title ordered.

Baker & Taylor, LLC Charlotte, NC Sealed Bid #15PSX0010 (Books)

Category Definitions

Adult Trade Hardcover Editions (0, C) (may include some spoken word audio materials) I.

High demand materials from widely distributed publishers designed for the general consumer, usually dealing with a subject matter having broad mass appeal. These titles are typically released in hardback and can be either fiction or current non-fiction. Publisher promotional/media expenditures and print runs are customarily higher for these titles than for most others. Inventory is maintained with preferred stock status (regularly stocked in three to four major warehouses). An example of a trade edition would be: The Broker by John Grisham, ISBN: 0385510454.

Juvenile Trade Hardcover Editions (J) II.

High demand, juvenile materials from widely distributed publishers designed for the general consumer, usually dealing with a subject matter having broad mass appeal. These titles are typically released in hardback and can be either fiction or current non-fiction. Publisher promotional/media expenditures and print runs are customarily higher for these titles than for most others. Inventory is maintained with preferred stock status (regularly stocked in three to four major warehouses). An example of a trade edition would be: A Light in the Attic by Shel Silverstein, ISBN: 0060256737.

Adult Quality Paperback Editions (B, C)

High demand paperback materials from widely distributed publishers, other than the standard rack size paperback, typically found in bookstores and other retail outlets. Inventory is maintained with preferred stock status (regularly stocked in three to four major warehouses). An example of a quality paperback would be: My Sister's Keeper by Jodi Picoult, ISBN: 0743454537.

Juvenile Quality Paperback Editions (G)

High demand, juvenile paperback materials from widely distributed publishers, other than the standard rack size paperback, typically found in bookstores and other retail outlets. Inventory is maintained with preferred stock status (regularly stocked in three to four major warehouses). An example of a quality paperback would be: Charlotte's Web by E.B. White, ISBN: 0064400557.

٧. Mass Market Paperback Editions (P)

A standard rack size paperback typically found in bookstores or other retail outlets. An example of a mass market paperback would be: The Girl Who Loved Tom Gordon by Stephen King, ISBN: 0671042858.

VI. Single Edition Reinforced (R)

A high quality binding designed to provide a long shelf life in a heavy use environment. Although the binding is fanned and glued it may not be sewn, which is typically found in the publisher library edition. Subject content can include both fictional and non-fiction works appealing to juveniles as well as adults. These bindings are identified by the publisher to Baker & Taylor. An example of a single edition reinforced binding would be: Bunnicula Strikes Again! By James Howe, ISBN 0689814631.

Publisher Library Editions (Z) VII.

Fiction as well as non-fiction materials appealing to both juveniles and adults, designed with the rugged durability required of the environment typically found in a library setting. Publisher Library Editions are traditionally of the highest quality, usually fanned, sewn and glued to provide the greatest possible shelf life of any binding. These bindings are identified by the publisher to Baker & Taylor. An example of a publisher library edition would be: If You Give A Pig A Pancake by Laura Joffe Numeroff, ISBN: 0060266872.

University Press Trade Editions (A) (may Include some spoken word audio materials)

This category would include any University Press Trade Editions, both adult and juvenile, and are subject to publisher reclassification. An example of a university press trade edition would be: The Oxford Companion to the Garden by Oxford University Press, ISBN: 0199551979.

Text, Technical, Reference, Small Press, and/or Titles of Limited Demand (S, X, N, L, M, V, T, U, W, Letter O, 4, 5, 6, 7, 8) IX.

Category of materials includes, but is not limited to, text, technical, reference, professional medical, small press, and some university press titles (excluding University Press Trade Editions). It includes titles purchased from publishers on a non-returnable basis, those publishers that extend little discount to Baker & Taylor, and publishers whose titles have limited sales volume based upon a semi-annual review. It includes individual titles which do not qualify for preferred stock status (based upon a quarterly review) and individual titles which qualify for preferred stock status, but have limited demand (calculated over a rolling 12 month period). Additionally, any publisher which is not in compliance with some of Baker & Taylor's purchasing requirements could be in this category. Materials in this category are both adult and juvenile and may be of any binding. Examples within this category would be: The Merck Index, ISBN: 0911910131, Strategies That Work, ISBN: 1571103104 and Beauty and the East ISBN: 1566563879.

Imported English and Non-English Language Editions (F,K,1,3) X.

Titles produced and distributed outside of the domestic US. These titles may be of any binding type and represent various publishers.

XI. **Enhanced Service Program Titles (Y/Q)**

This category includes materials where Baker & Taylor receives no discount from the publisher, or prepayment is required by the publisher, or publishers which have restrictions on returns, or books of small or non-commercial publishers with limited sales volume based upon a semi-annual review. Any publisher which is not in compliance with Baker & Taylor's purchasing requirements would be in this category. Materials in this category may be of any binding. These titles will receive no discount and are subject to a service charge. An example within this category would be: Paths to Recovery, ISBN: 0910034311.

Spoken Word Audio (H) XII.

Materials designed for the general consumer, usually dealing with a subject matter having broad mass appeal. These titles can be either fiction or current nonfiction. An example of a spoken word audio edition would be: The Broker by John Grisham, ISBN: 0739316443.

XIII. Board Books (I)

Durable materials from widely distributed domestic publishers designed for young children; pages are manufactured of heavy gauge cardboard to prevent tearing. These editions typically feature few pages, simple themes and colorful illustrations or photographs. An example of a board book would be: Runaway Bunny by Margaret Wise Brown, ISBN: 0061074292.

Novelty Items/Activity Books (I) XIV.

Specially packaged gift set or novelty item related to a book product or attached as an accessory to a book product. These items would include a book with toy, rag books, washable cloth books, books with accessories or kits, electronic sound books, sticker books, tracing books or coloring books. This category also includes any non-book merchandise such as model kits, hobby kits, flash cards or Jigsaw puzzles. An example of an item in this category would be: Chesterfield the Pig: Book and Toy, ISBN 0307145077.

Special Programs (D and E as indicated in Attachment A) XV.

Programs, formats, or editions offered only by Baker & Taylor or not included in any other category. These programs include but may not be limited to PawPrints and Turtleback editions. Examples of Items in this category would be: <u>Clifford's Valentines Day</u> by Norman Bridwell, ISBN 1435201736 (PawPrints prebound edition) and <u>Mr. Putter and Tabby Spin the Yarn</u> by Cynthia Rylant, ISBN 1417795565 (Turtleback prebound edition).

ATTACHMENT C
Baker & Taylor, LLC
Charlotte, NC
Sealed Bid #15PSX0010 (Books)

Enhanced Services Program

Baker & Taylor is pleased to provide a service that will save your library time and money when procuring titles from small and hard to find publishers. By utilizing B&T's vast publisher and title database, the library can purchase a wide variety of low demand and small print run titles from associations and limited edition, prepayment, and non-returnable publishers.

Baker & Taylor's Enhanced Services Program (ESP) provides the library with access to millions of active book titles representing over 75,000 imprints. This breadth of coverage is greater than that of any other book industry wholesaler.

The ESP program builds on Baker & Taylor's already outstanding publisher relations by:

- Expanding our vendor relations team responsible for the follow-up of all publisher orders, improving the speed of delivery of all titles to the library;
- Widening our publisher base to include hundreds of small non-commercial publishers formerly considered apply direct by the book industry; and
- Increasing our reporting capabilities by providing order status reports for 100% of all titles not yet published, and by supplying anticipated publication release dates for all out-of-stock items.

In order to provide these enhanced title acquisition services, Baker & Taylor will apply a service charge to qualifying titles. Material where Baker & Taylor receives no discount from the publisher, or where prepayment is required by the publisher, or books of small, limited in-demand and/or non-commercial publishers will be invoiced at list price plus the \$4.95 per unit surcharge.

For libraries concerned about purchasing these types of titles, B&T's Title Source for Windows can assist the librarian in researching a particular item's category and format. Surcharge titles will appear with a Y or Q in the discount code field. Additionally, you may contact your Customer Service representative or Information Services via phone, fax, or e-mail (btinfo@baker-taylor.com) to determine surcharge titles before placing an order.

As a convenience to the library, B&T can exclude these titles from all orders by adjusting the library's account profile setup. Please contact your Customer Service Representative for additional information.



Baker & Taylor, LLC Charlotte, NC Sealed Bid #15PSX0010 (Books)

Institutional Returns Policy

(Revised August 2007)

The following guidelines are required to ensure prompt handling of your return. All product returns (excluding Book Leasing programs) require prior authorization from a Customer Service Representative. You may contact your appropriate representative via the toll-free number listed on your packing list.

How to Obtain Return Authorization

Please use the Return Authorization Form from your shipment's packing list to make all returns. Contact your Customer Service Representative for return authorization. *All claims must be made within 45 days from the date of invoice.*

- 1. When calling for return authorization, please have the following information available:
 - A. Return Authorization Form
 - B. Your account number and ATS# from the shipment's packing list (located mid-page under the Return Authorization Form explanation)
 - C. Reason for the claim/return
 - D. Action being requested -
 - 1. Replacement of product
 - 2. Credit to your account; no replacement product necessary
- 2. Your Customer Service Representative will assign your return an authorization number (RTA#). To expedite the process, please clearly mark the RTA# on the Return Authorization Form and on the outside of the carton in the upper right corner from the shipping label.
- 3. Make your return via an insured and traceable carrier; Baker & Taylor is not liable for returns lost in transit.
- 4. Products incorrectly shipped by Baker & Taylor may be returned with authorization within 45 days of the product's date of invoice. Product(s) meeting the definition of Publisher defective may be returned with prior authorization within six months of the product's date of invoice. Products purchased with value-added processing services which have been shipped as ordered are considered non-returnable.

DAMAGED SHIPMENTS: If you receive a damaged carton(s) which resulted in damaged product(s), please hold the product(s) and save the carton for Carrier inspection. If the damage is visible at the time of delivery, bring it to the Carrier's attention and note it on the Bill of Lading. Then, contact your Baker & Taylor Customer Service Representative via the toll-free number listed on the packing list.

CLAIMING SHORTAGES: Please check your packing list or invoice before claiming shortages. *All claims must be made within 45 days from the product's invoice date.* Please ensure you have received all cartons of a shipment prior to signing for receipt from the Carrier. Cartons you have signed for as received from the Carrier are not claimable as shortages from Baker & Taylor.

INTERNATIONAL CUSTOMERS ONLY: For information on making returns of damaged, defective, or incorrect products, please contact your local International Sales Office or our International Customer Service Department in Momence, Illinois (FAX: 815-472-9886). You may also refer to the website at http://www.btol.com/international/return.

All returns should be sent to:

Baker & Taylor Returns Center Department R 5055 W. 79th St. Indianapolis, IN 46268



SECTION A

Baker & Taylor, LLC Charlotte, NC Sealed Bid #15PSX0010 (Books)

Processing Price List for The State of Connecticut

Full	l Processingcludes mylar jacket, spine label, book p	pocket with insert, bo	orrov	s1.29/u	nit
Ma to o	CTION B ny libraries no longer need the comprecustomize your technical services packaged only the options you need from the ckage, a minimum of \$.45/unit is appli	age to include just the items listed below.	ose	components which meet your exact	specifications.
1.	Bar Code Label	\$.19/label	11.	Mylar Jacket (taped)	\$.69/unit
2.	Catalog Card Kit		12.	Mylar Jacket (glued)	\$.65/unit
	Attached Unattached	\$1.05/unit \$.99/unit	13.	Mylar Jacket (unattached)	\$.59/unit
	(Includes book pocket & card, spine	· · · · · · · · · · · · · · · · · · ·	14.	Ownership Label	\$.15/label
	set)		15.	Book Pocket	\$.25/unit
3.	Catalog Card Set (Includes a shelflist card, two main er	\$.89/unit	16.	Shelflist Card	\$.15/card
	title card, and sufficient additional car		17.	Sorted Cards	\$.15/unit
	entries)		18.	Spine Label	\$.19/label
4.	Circulation Card	\$.15/card	19.	Stamping, Customer Supplied	\$.15/location
5.	Custom Label	\$.15/label		(per stamp location)	
6.	Date Due Slip	\$.15/unit	20.	Theft Detection Device	\$.50/unit
7.	Extra Main Entry Cards (beyond 1)	\$.15/card		Checkpoint 3M	\$.50/unit
8.	Label Application (customer-supplied)	\$.15/label			
9.	Label Protectors	\$.15/unit			
10.	MARC/MicroLIF Record (download from B&T Website)	Free			

SECTION C

Prebinding Services:

1. Vinabind:	\$5.25/unit
2. Textmount:	\$5.99/unit
3. Laminated Paperback Covering:	\$1.99/unit

ATTACHMENT H

Baker & Taylor, LLC Charlotte, NC Sealed Bid #15PSX0010 (Books)

ELECTRONIC ORDERING AND/OR ORDER INTERFACING

ILS

B&T's ordering systems are compatible with most commercial ILS systems. Depending upon the capabilities of the system, electronic order, order acknowledgement, and invoicing services are available.

Title Source (Basic Service Free of Charge)

Baker & Taylor's internet ordering site is available through our website at www.baker-taylor.com. The site is designed to allow our customers to place basic orders via the Internet, **FREE OF CHARGE**. Features include the ability to search and select products, check price and title availability, create and maintain a shopping cart, send the order to Baker & Taylor via the Internet, and receive order confirmation within minutes of sending the order.

For additional information on this site, please visit Baker & Taylor's website or contact Information Services by phone at 800-775-1800 or by e-mail at btinfo@baker-taylor.com.

PRICE SCHEDULE SP-16 Rev. 05/08

STATE OF CONNECTICUT

PROCUREMENT DIVISION EXHIBIT B

BID NO 15PSX0010

Teresa Dupont
Contract Specialist
(860)713-5073

Prev NEW. 5/07

DELIVERY: PRICE SCHEDULE Telephone Number within 30 days for 12PSX0162 TERMS: CASH DISCOUNT: 3 Page 1 OF net 45 0 % N/A Days days BIDDER NAME: Brodart Co. ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES A single flat % discount shall be quoted against each category listed below. (Range discounts are not allowed ie. 20% - 30%) 1. TRADE BOOKS These books are designed by the publishers as books of general interest including cook books, guide books, biographies, all time classics, works of fiction and non-fiction including the best sellers. 45* % 2. **TEXT AND TECHNICAL BOOKS** Professional levels) and hand books or practical works of a (Non-Trade) technical scientific or business nature. 10* % 3. **MEDICAL BOOKS** All books in the medical field including veterinary, medicine, dentistry, nursing and allied fields. 10* % 4. **PAPER BOUND** These cover all paper back in the "PUBLISHERS TRADE LIST ANNUAL" of the latest issue. Also bound editions only of trade directories and books on library science. 40* % 5. LIBRARY BOUND These cover juvenile, technical, text and trade books listed in the "PUBLISHERS TRADE LIST ANNUAL" of the latest issue bound in the Publishers own library binding. 20 % 6. UNIVERSITY PRESS These cover all scholarly and academic books published by presses affiliated with an academic institution. 10* %

7. "NET" BOOKS

Includes pamphlets, brochures, bulletins - imported books or any publications sold at <u>no discount</u> by publishers to distributors or jobbers.

Time between receipt of orders and shipments

In-Stock, non-processed Processed

Quantity of normal book stock inventory

**Shipment from order release date Days ARO Days ARO

Titles/Units

0%*

1-2**

3-5**

375,000

*Items on which Brodart receives minimal or no discount and/or the publisher requires prepayment may be discounted at the non-trade discount (10%) or invoiced at publisher's list price plus a service charge of \$3.95.

PRICE SCHEDULE BID NO STATE OF CONNECTICUT SP-16 Rev. 05/07 12PSX0162 Prev Rev. 03/07 PROCUREMENT DIVISION Teresa Dupont **EXHIBIT B** Contract Specialist (860)713-5073 PRICE SCHEDULE BIDDER NAME: Telephone Number Brodart Co. for 12PSX0162 ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES Cataloging/Processing Services: 8. Bidder must electronically upload any additional cataloging Cost and processing service pricing with bid or bid shall be rejected. Please list all pricing below: **Examples:** Cataloging and processing (print), Cataloging and processing (electronic), Card kit, Bar Codes, etc. Please list each individual Item \$ \$.99 Starter Automated Cataloging and Processing (for print material includes attached jacket, spine label, barcode and Englishlanguage MARC record) \$ ٠ Jacket \$.65 \$ \$ \$ \$ \$ Spine label \$.20 ٠ Barcode label \$.20 Theft detection (standard 3M or Checkpoint) \$.50 Property stamp/label (per location) \$.15 \$2.20 BrodartGuard (paperback reinforcement) BrodartConvert (paperback conversion) \$4.50 \$.25 Standard full-level MARC record for English-language print \$ • • \$ • • • •

Use additional line/pages if necessary.

Brodart's Attachment B.

Discounts, processing and cataloging charges are outlined in

^{*}Items on which Brodart receives minimal or no discount and/or the publisher requires prepayment may be discounted at the non-trade discount (10%) or invoiced at publisher's list price plus a service charge of \$3.95.

PRICE SCHEDULE STATE OF CONNECTICUT BID NO SP-16 Rev. 05/07 12PSX0162 Prev Rev. 03/07 PROCUREMENT DIVISION Teresa Dupont **EXHIBIT B** Contract Specialist (860)713-5073 BIDDER NAME: PRICE SCHEDULE Telephone Number Brodart Co. for 12PSX0162 ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES NOTE: Only those charges provided in the bid submission will be reviewed for 9. evaluation. Additional charges will not be allowed once the contract is posted. Please list any additional pricing and/or fees below: Type of Charge: Service charge – applies to hardcover and paperback titles in which \$ 3.95 Brodart receives minimal or no discount and/or the publisher \$ Requires prepayment. \$ Bidder shall describe/outline below the capabilities for electronic ordering, e-commerce and/or order interfacing with various integrated library systems or for use by any user agency. Brodart 's free online collection development and ordering tool, Bibz, is available for searching, selecting and ordering material. Bibz will provide access to our entire database of over 4 million items. Brodart does not Have a closed list to choose from. Our database is updated daily with new titles being added regularly. Each agency will be provided their own username. Brodart works with all major integrated library systems. Orders can be submitted directly from the ILS and on order records can be imported. 10. Ordering information:

Additional information regarding ordering options can be found in Brodart's Attachment A - Orders.

Company

Fax:

Email:

Phone Number:

Web address:

Company Contact Person:

Brodart Co.

Debbie Schall

800.233.474.9802 ext.6527

800.999.6799

<u>booksrd@brodart.com</u> or <u>bookord@brodart.com</u>

www.brodartbooks.com

ATTACHMENT B PRICING PROPOSAL State of Connecticut Contract #15PSX0010

Discounts

Items will be supplied to the State of Connecticut at publisher's list prices less the following discounts:

Trade Hardcover Editions	45.0%*
Non-Trade Hardcover & Paperback Editions	10.0%*
Publisher's Library Editions	20.0%
BrodartBound	20.0%
Single Reinforced Editions (School & Library)	35.0%
Trade & Mass Market Paperbacks	40.0%*

Continuations will be supplied to the State of Connecticut at publisher's list prices less the following discounts:

Trade Hardcover & Paperback Editions	40.0%*
Non-Trade Hardcover & Paperback Editions	10.0%*

^{*} Hardcover and paperback titles on which Brodart receives minimal or no discount and/or the publisher requires prepayment may be discounted at the non-trade discount (10%) or invoiced at publisher's list price, plus a service charge of \$3.95.

Please see attached binding definitions.

Book Processing Options (applicable to books purchased through Brodart)

A variety of cataloging and processing options are available through Brodart. Please contact your Sales Representative, Robert Scott, if the option that best meets the needs of your library is not listed below.

Starter Automated Processing	\$.99/book
(Includes attached jacket, spine label, barcode and English-language Jacket	* MARC record) \$.65/item
Spine Label	\$.20/item
Barcode Label	\$.20/item
Theft Detection (Standard Checkpoint or 3M)	\$.50/item
Property Stamp/Label	\$.15/location
Standard full-level MARC record for English-language materials	\$.25/book
Reinforcement Services	
BrodartGuard (paperback reinforcement)	\$2.20/book
BrodartConvert (conversion process)	\$4.50/book
Collection Development Services	

Collection Builder (Customized Selection Lists)

Collection Builder Custom Selection Lists No Charge

FASTips (Standing Orders)

FASTips Profiles No Charge

TIPS Profiles (Profiled Selection Lists)

Silver TIPS No Charge Gold TIPS

\$50 per month per profile Diamond TIPS

\$100 per month per profile

Package pricing at a reduced rate available for five or more profiles.

Online TIPS lists

Lists posted to your Bibz account No Charge Paper TIPS lists \$15 per copy

Note: Standard delivery method is Priority Mail (United States Postal Service).

Other delivery methods are available for an additional fee.

Online Tool

Bibz is Brodart's online collection development and ordering tool.

Unlimited Users for the State of Connecticut

No Charge

Shipping

Shipments will be made by best means (USPS, Common Carrier or UPS Ground) and designated for delivery to a main location.

Shipping and Delivery

No Charge

Publisher's list prices are subject to change without notice. The above discounts will remain in effect until May 31, 2020.



Brodart Co., Books & Library Services

Trade Hardcover: Published with a glued binding and a hardcover. Trade discounted hardcover editions are usually fiction or current nonfiction and are generally published for the average consumer. Publishers promote and advertise these titles more aggressively and print runs are greater than the norm.

Juvenile Trade Hardcover: Published with a glued binding and a hardcover. Popular fiction or nonfiction books designed for children, usually with subject matter of broad appeal.

Publisher's Library Reinforced: Published with a high quality, usually fanned, sewn and glued binding. Sometimes known as a "School" or "Library" binding. This binding has the durability required in a high use library setting and is usually reserved for children's materials. All publisher library reinforced editions will receive the discount quoted in this category.

Hardcover Reinforced: Published with a durable fanned and glued hardcover binding that may or may not be sewn. Also known as "Single", "School" or "Library" binding. Subject matter may be directed at adults or juveniles (though they are usually reserved for children's materials) and may be fiction or nonfiction.

Non-Trade Hardcover: Published with a glued binding. Subject matter such as technical, reference, scientific, medical and/or is published by a small press for adult and juvenile readers. Titles on which Brodart receives minimal or no discount and/or the publisher requires prepayment may be discounted at the non-trade discount quoted in this category or invoiced at the publisher's list price.

University Press: Hardcover and paperback selections. This category includes materials for which Brodart receives minimal or no discount and/or the publisher requires prepayment.

Trade Paperback: Published with a paper cover. May also be known as a trade paper, quality paperback or mass market paperback. The quality of the paper and printing in trade and quality paperbacks is usually high. Mass market paperbacks are paperbacks sized to fit standard retail display racks; trade paperbacks have larger page sizes. Trade discounted paperback editions are generally published for the average consumer with subject matter of broad appeal.

Non-Trade Paperbacks: Published with a paper cover. Subject matter such as technical, reference, scientific, medical or small presses for adult and juvenile readers. Paperback titles on which Brodart receives minimal or no discount and/or the publisher requires prepayment may be discounted at the non trade discount quoted in this category or invoiced at the publisher's list price. Publishers whose titles have limited sales volume and those who are not in compliance with Brodart's purchasing requirements may be in this category.

BrodartBound: Turtleback's high-quality bindings significantly extend the life of a book by using the finest materials and processes in the industry. More durable double-fan adhesive or sewn binding replaces the publisher's original binding. The publisher's colorful, illustrated paperback cover is scanned, digitized, and reprinted to produce new cover sheets that are wrapped around heavy hardback board.

BrodartConvert®: Originally published in paperback and converted to hardcover.

BrodartGuard: Paperback reinforced with a 10-mil (2-mil spine) laminated cover.

PRICE SCHEDULE SP-16 Rev. 05/08

STATE OF CONNECTICUT

PROCUREMENT DIVISION

BID NO 15PSX0010

Teresa Dupont Contract Specialist (860)713-5073

Prev NEW. 5/07

EXHIBIT B

860)713-5073 Telephone Number		PRICE SCHEDULE			DELIVERY:			
			for -12PSX016 2	15PSX0010	30 day	7S		
		Page	1 OF	3	TERMS:	CASH DISCOU	NT:	
		9			45 days	0 % 0	Days	
					BIDDER N Davidson		*	
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				against each category listed below. (Range discounts are <i>not</i> allowed ie. 20% - 30%)				
1.	TRADE BOOKS				uiscounts	are not allowed le.	20/0 - 30%)	
		These books are designed by the publishers as books of general						
	interest including cook books, guide books, biographies, all time					00		
	classics, works of	fiction and non-fi	ction including th	ne best sellers.			%	
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2.	TEXT AND TECHN		c or number of	ike of -				
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	scientific or busin	ness nature.				5	%	
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4.	PAPER BOUND							
	AND DESCRIPTION OF THE PROPERTY.	aper back in the "	PUBLISHERS TRAD	DE LIST				
	ANNUAL" of the l	latest issue. Also	bound editions or					
	directories and b	ooks on library sci	ience.			30	_ %	
_	LIBDADA BOLING							
5.	LIBRARY BOUND These cover juve	nile, technical, tex	t and trade book	s listed in the				
		ADE LIST ANNUAL'						
		vn library binding.				20	%	
		,						
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	aiilliated with an	acauemic institut	.1011.				_ %	
7.	"NET" BOOKS							
	syvesom some version pre-sommers.	ets, brochures, bu	lletins - imported	books or any		0	%	
	3	at <u>no discount</u> by	publishers to dis	tributors or	_			
	jobbers.							
	Time l	between receipt of	orders and shipme	nts				
		-		, non-processed	7–15	Day	s ARO	
				Processed	30 da		s ARO	
		Quantit	y of normal book	stock inventory	2,785,00	00 Titl	es/Units	

PRICE SCHEDULE SP-16 Rev. 05/07 Prev Rev. 03/07

STATE OF CONNECTICUT

BID NO 12PSX0162

Teresa Dupont Contract Specialist

PROCUREMENT DIVISION EXHIBIT B

15PSX0010

(860)713-5073 BIDDER NAME: PRICE SCHEDULE Telephone Number Davidson Titles, Inc. for-12PSX0162- 15PSX0010 ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES Cataloging/Processing Services: 8. included Bidder must electronically upload any additional cataloging Cost and processing service pricing with bid or bid shall be rejected. Please list all pricing below: **Examples:** Cataloging and processing (print), Cataloging and processing (electronic), Card kit, Bar Codes, etc. Please list each individual Item • Cataloging and processing (print) \$.99 • Cataloging and processing (electronic) \$.89 • Card Kit \$.99 Barcodes \$.13 included with electronic. \$ • . Free Mylars, if needed with automation processing. \$.00 • Карсо \$ 2.79 • Theft Detection \$.99 • AR or RC labels \$.13 • Shelf List Card \$.13 · Catalog Card Sets \$.79 Date Due \$.13 \$ • Borrower's Card .13 \$ • Pocket .29 \$ · Book Stamping

Use additional line/pages if necessary.

• Spine Tape

Free Shipping on all orders. There is no minimum order amount. www.davidsontitles.com offers a more complete offering and is updated daily. Items not listed maybe acquired.

.10 per

.85

\$

\$

PRICE SCHEDULE SP-16 Rev. 05/07 Prev Rev. 03/07 Teresa Dupont

STATE OF CONNECTICUT

BID NO 12PSX0162 15PSX0010

PROCUREMENT DIVISION EXHIBIT B

Contract Specialist (860)713-5073

BIDDER NAME: PRICE SCHEDULE Telephone Number Davidson Titles, Inc. for 12PSX0162 15PSX0010 DESCRIPTION OF COMMODITY AND/OR SERVICES ITEM#

9.	NOTE: Only those charges provided in the bid submission will be reviewed for evaluation. Additional charges will not be allowed once the contract is posted. Please list any additional pricing and/or fees below:			
	Type of Charge:	5	0.0	
	None	\$.00	
		\$		
		\$		
		ı		

Bidder shall describe/outline below the capabilities for electronic ordering, e-commerce and/or order interfacing with various integrated library systems or for use by any user agency.

The website, www.davidsontitles.com, is all e-commerce for all agencies. Should special interfaces be necessary, our IT department will be glad to speak to the technical service person for each agency.

10. Ordering information:

Order using the website. Telephone: 800-433-3903 Fax: 800-787-7935

email: jimmie@davidsontitles.com Direct with a sales consultant.

Company **Company Contact Person:** Phone Number: Fax:

> Email: Web address:

Davidson Titles, Inc. Brenda Davidson 800-433-3903 800-787-7935 brenda@davidsontitles.com

www.davidsontitles.com

Book Processing DTI standard specifications (in parenthesis) will be used unless another specification is marked.

Custo	mer Information								
Date:	Purchase Order #:	Bill To:							
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I. Proc	essing Packages								
□ 1.	Automation Processing (Attached)								
□ 2.	Automation Processing (Unattached)			69¢ per book					
□ 3.	Automation Processing Plus Cards (Unattached). Catalog card set, MARC records disk, bar code label, spine la	ahel No mylar	•••••	99¢ per book					
1 4.			•••••	99¢ per book					
	Attachment Fee (per book)			20¢ ner hook					
	THE COUNTY AND A COUNTY OF THE								
U	For complete product listings visit	our website at www.davidsontitles	.com!						

Book Processing DTI standard specifications (in parenthesis) will be used unless another specification is marked.

	Stan	dard Individual Items	
	5.	MARC Records Disk (one time fee - no charge on backorders)	
	6.	☐ Sunlink: Code\$5.50 per disk ☐ Access PA: Code\$5.50 p	er disk
	7.	Bar Code Label with Protector □ Attached* □ Unattached	13¢ per book
0	8.	Spine Label (with protector if no mylar)	13¢ per book
	9.	Spine Tape	85¢ per book
0		. AR Label (with protector if no mylar) Location:	
0	11.	. RC Label (with protector if no mylar) Location:	13¢ per book
. 🗇	12.	Small Book Information	13¢ per book
0	13.	Blue AR Logo Identification Label (with protector if no mylar) Location:	13¢ per book
	14.	. Catalog Card Set (shelflist, main entry, author, title, & subject cards)	79¢ per book
	15.	. Shelflist Card	13¢ per book
□	16.	. Date Due Slip □ Unattached Location of date due slip:	13¢ per book
	17.	. Borrower's Card	13¢ per book
	18.	Pocket	<mark>29¢ per book</mark>
	19.	. Mylar on Books with Dust Jackets	<mark>59¢</mark> per book
0	20.	. Theft Detection (attached only - price includes attachment fee)	99¢ per book
	21.	. KAPCO Easy Covers on Paperbacks (attached only - price includes attachment fee)	\$2.79 per book

* Please Note:

If you choose "Attached" for any standard individual item, you will be charged a one time attachment fee of 20¢ per book, with the exception of #20 and #21.

Book Processing DTI standard specifications (in parenthesis) will be used unless another specification is marked. II. Standard Individual Items - continued ☐ 22. Book Stamping 23. Property Label15¢ per label Stamp purchased through DTI\$15.00 with additional 10¢ per stamp (Please PRINT what is to be on stamp, if stamp is not provided.) (Please PRINT what is to be on label.) Location of Label: Location of Stamp: III. MARC Records Specifications 1. Software: 4. Automation Choice: ☐ USMARC 852 Holdings (Microlif .001) 2. Computer Type: USMARC 949 Holdings (Marc .001) ☐ IBM ☐ Apple/Macintosh ☐ '87 MicroLIF (IBM.FIL) 3. Data Format: 5. Local Holdings: ☐ 3-1/2 inch CD □ 852a:_____ ☐ Electronic transfer (must provide email address below) □ 852b:_____ □ 949m:____ □ 949n: IV. Cataloging Specifications Please Note: To ensure that your order is expedited, DTI standard specifications (in parenthesis) will be used unless another specification is marked. (Capitalize first main entry letter only) ☐ Capitalize all main entry letters 4. Collective Biography: (920 with first three letters of author's surname) 920 with first _____ letters of author's surname 1. Fiction: 92 with first _____ letters of author's surname (F with first three letters of author's surname) ☐ B with first _____ letters of author's surname ☐ FIC with first _____ letters of author's surname Other:____ ☐ Fic with first ____ letters of author's surname ☐ F with first _____ letters of author's surname 5. Easy Fiction: Other: (E with first three letters of author's surname) ☐ E with first _____ letters of author's surname 2. Nonfiction: ☐ First _____ letters of author's surname (Dewey with first three letters of author's surname) Other: Abridged Dewey with first _____ letters of author's surname Unabridged Dewey with numbers past decimal & 6. Short Story Collections: first _____ letters of author's surname (808.8 with first three letters of author's surname) ☐ 808.8 with first _____ letters of author's surname 3. Individual Biography: ☐ SC with first _____ letters of author's surname (92 with first three letters of biographee's surname) ☐ Follow fiction options ☐ 921 with first ____ letters of biographee's surname Other:____ ☐ 92 with first _____ letters of biographee's surname ☐ B with first _____ letters of biographee's surname Other:

Book Processing DTI standard specifications (in parenthesis) will be used unless another specification is marked. IV. Cataloging Specifications - continued 7. Reference: (please indicate titles on order) 8. Foreign Language R over Dewey number Classify bilingual as foreign language: Yes No REF over Dewey number ☐ As prefix ☐ As suffix ☐ Ref over Dewey number □SPA □Spa □SP □Sp Other: V. Bar Code Specifications 1. Customized Bar Codes: Please indicate the name of your school or library as it should appear on your bar codes. PLEASE PRINT below. (30 character max including spaces) DTI standard is upper & lower case and will be used unless marked otherwise. ☐ All upper case ☐ (Upper & lower case) 2. Starting Bar Code Number: (PLEASE PROVIDE TO AVOID DELAYS!) Use next bar code number on file with Davidson Titles Use this starting bar code number: Use the following bar code number range: _____ to 3. Bar Code Type: Follet 2 of 5 (T) Interleave 2 of 5 Codabar Codabar Code 3 of 9 Code 3 of 9 mod 10 ☐ Code 3 of 9 mod 43 Don't know (please send sample) School prefix ____ 4. Bar Code Label Position DTI standard bar code location is "F" and will be used unless marked otherwise. For vertical placement, bar code label should read from: top to bottom bottom to top Check if cover information must remain readable First Bar Code: (Please indicate placement by marking with an 0 on diagrams below) protected attached vertical ☐ unattached unprotected ☐ horizontal Second Bar Code: (Please indicate placement by marking with an X on diagrams below) protected attached ☐ vertical unprotected ☐ unattached ☐ horizontal **Horizontal Options Vertical Options** A B K 0 P WX E F Inside Inside Back Front Back Front Front Back G Front Back Cover Cover Cover Cover **Flyleaf Flyleaf** Cover Cover

AA - On book pocket

ZZ - 1/2" above book pocket

Q R

UV



C

D

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MN

Website: www.davidsontitles.com • Email: info@davidsontitles.com

PRICE SCHEDULE SP-16 Rev. 05/08 Prev NEW. 5/07

STATE OF CONNECTICUT

BID NO 15PSX0010

Teresa Dupont Contract Specialist (860)713-5073 Telephone Mumber

PROCUREMENT DIVISION EXHIBIT B

360)713-5073 elephone Number		PR	ICE SCHI	EDULI	E	DELIVER	Y:		
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PRICE SCHEDULE STATE OF CONNECTICUT BID NO SP-16 Rev. 05/07 12PSX0162 Prev Rev_03/07 PROCUREMENT DIVISION Teresa Dupont **EXHIBIT B** Contract Specialist (860)713-5073 BIDDER NAME: PRICE SCHEDULE Telephone Number for 12PSX0162 2012 ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES Cataloging/Processing Services: 8. Bidder must electronically upload any additional cataloging Cost and processing service pricing with bid or bid shall be rejected. Please list all pricing below: **Examples:** Cataloging and processing (print), Cataloging and processing (electronic), Card kit, Bar Codes, etc. Please list each individual Item \$ 9 <u>,00</u> he habel, barrode label and Mulds. \$ ree ° €C) \$ \$ 00 \$ \$ Moel \$ \$ \$ Tiee \$ \$. \$ •

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Use additional line/pages if necessary.

PRICE SCHEDULE BID NO STATE OF CONNECTICUT SP-16 Rev. 05/07 12PSX0162 Prev Rev. 03/07 PROCUREMENT DIVISION Teresa Dupont EXHIBIT B Contract Specialist (860)713-5073 BIDDER NAME PRICE SCHEDULE Telephone Number for 12PSX0162 ITEM# DESCRIPTION OF COMMODITY AND/OR SERVICES NOTE: Only those charges provided in the bid submission will be reviewed for 9. evaluation. Additional charges will not be allowed once the contract is posted. Please list any additional pricing and/or fees below: \$960- Free with Puche Type of Charge: a Ecoxegories or Bidder shall describe/outline below the capabilities for electronic ordering, e-commerce and/or order interfacing with various integrated library systems or for use by any user agency. 10. Ordering information: Company

Orders can be placed via phone, mail, Jox, email or Our website. Our online catalog displays real-time invertory so customers can tell at a glance whether or not a little is in stock.

Company Contact Person:

Phone Number:

Web address:

Fax:

Ordered titles can be exported into Excel Jormant to be uploaded into procurement and cataloging software.